

AMERICAN HISTORICAL
ASSOCIATION

2013 Annual Report



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Officer's Reports

Professional Division Report

Research Division Report

Teaching Division Report

American Historical Review Report

2013 Professional Division Report

Jacqueline Jones, University of Texas at Austin
Vice-President of the Professional Division

In this my last annual report covering the activities of the Professional Division, I must begin with a note of gratitude to members of the AHA staff for their hard work, high level of professionalism, proactive approach to current issues affecting the discipline, and timely response to concerns of our members. Special thanks are due to Jim Grossman, Sharon Tune, Debbie Doyle, Allen Mikaelian, Julia Brookins, Rob Townsend, and Liz Townsend. I also want to take this opportunity to thank members of the division, including Sara Abosch, Andy Rotter, and Lou Roberts.

The PD was pleased with the success of the “Malleable PhD” thread at the 2013 annual meeting in New Orleans, a series of sessions and workshops related to careers outside the academy. These events focused on jobs in academic administration, the federal government, and a variety of other sectors, and on the challenge of transforming graduate education to promote the malleable PhD.

During its June meeting Council approved a recommendation from the PD that the Association issue a statement calling on graduate programs and universities to adopt a flexible policy that would allow newly minted PhDs to embargo their online dissertations for up to six years, if they wished to do so. This straightforward statement, which aimed to protect the most vulnerable members of the profession— untenured scholars—elicited strong reactions from members of the Association, from scholars outside the discipline, from university librarians and administrators, and from proponents of unfettered open access policies. Subsequent to the announcement of the statement, members of Council and the AHA staff sought to counter the suggestions of critics that the AHA was insisting on a six-year embargo in all cases (the statement stressed individual choice, not a “one-size-fits-all” policy for all), and to explain some of the unintended consequences that the lack of an embargo might entail—including the reluctance of acquisition editors to consider even revised manuscripts if the dissertation had been online for any length of time, data mining by other scholars, and the decline of the published history monograph.

The PD also responded directly to members who sought to consult with us on a wide variety of issues. In several instances we discussed a communication from a single member, and brought that issue to attention of the membership at large, or took action to encourage the Association to modify its policy in some way. Council approved our recommendation that we change the wording related to the AHA practice of highlighting the administrations of those institutions advertising for positions in *Perspectives on History* and on the AHA web site that remain under sanction by the American Association of University Professors. We placed a notice in *Perspectives* warning members of spurious journals that offer to publish conference papers by historians and other scholars, often at exorbitant fees. We issued a statement of support for a letter written by the Association for Slavic, Eastern European, and Eurasian Studies protesting a policy by the Russian government that would label any research institute receiving funding from outside the country as a foreign agent.

The PD also called upon graduate programs to embrace transparency in their placement records. We initiated an effort to revise and update the *Statement on Standards of Professional Conduct*, an effort that is ongoing, and we conducted a modest survey of AHA members who identified as faculty at for-profit institutions. Other topics of discussion included the charge to the newly formed ad hoc Committee on Adjuncts, and a revision and consolidation of AHA documents and statements related to hiring. We carried through with our successful program of offering childcare subsidies to members attending the annual meeting. We nominated Thomas Rugh (the outgoing chair of the AHA Finance Committee) for the Troyer Steele Anderson Prize, a move that was approved by Council.

We received communications from members who had believed that their work had been plagiarized, or that they had been unfairly dismissed from their job. We explained that the division does not investigate such complaints. In response to such concerns the Vice President wrote an article for *Perspectives* titled “What the PD Can and Cannot Do” (May 2013). Other *Perspectives* articles included “AHA Job Ads and AAP Censure” (March 2013) and “The Malleable PhD Mini-Conference” (February, 2013).

Over the last three years the PD has focused on three main areas of concern—the vagaries of the academic job market, the worsening work conditions and pay that are the lot of non-tenured and non-tenure-track faculty, and the historians’ new digital world. I think the greatest challenge for the division in the future will be to address these three intertwined issues. More and more institutions, whether nonprofit or for-profit, are embracing a “business model” of academic administration, a model that seeks to instruct the largest number of students (in some cases through online instruction) for the least amount of money. This approach however depends upon an ill-paid faculty workforce composed of individuals without job security or benefits, men and women who feel isolated from their co-workers and demoralized about their chances for participating fully in the life of the profession. Certainly efforts to promote the malleable PhD will insure that fewer history PhDs see part-time, adjunct, and contract work as the only option available to them.

It has been a pleasure and an honor for me to serve the AHA in this capacity over the last three years. I extend special thanks to Sara Abosch, who is also rotating off the PD, for her service during this period.

December 1, 2013

2013 Research Division Report

John McNeill, Georgetown University
Vice-President of the Research Division

The AHA's Research Division generally divides its times between routine oversight tasks related to the Association prizes and publications, and more expansive attention to research issues in the discipline at large. In 2013 our work fell largely into two categories: prizes and the annual meeting.

Prizes:

The RD is pleased to note that the fundraising campaigns for both the Bentley Prize in world history and the Katz prize in Latin American history topped the required \$50,000 mark and therefore will be instituted and awarded at the 2015 annual meeting. These campaigns took well under two years, thanks to the efforts of Alan Karras and Merry Weisner-Hanks (Bentley Prize) and John Coatsworth and Barbara Weinstein (Katz Prize).

The RD recommended accepting a bequest creating the Dorothy Rosenberg Prize for the history of the Jewish Diaspora, to which Council agreed in June. A modest fundraising effort will be required to teach the necessary minimum. The RD also recommended to Council that the AHA accept a further bequest to establish a Dorothy Rosenberg Phi Beta Kappa Fellowship for graduate students appearing on the annual meeting program.

In addition, the RD clarified the prize descriptions of the J. Franklin Jameson Award and Littleton-Griswold Prize. The Jameson Award, for the editing of historical sources, will be in future specifically for editing historical *primary* sources. The Littleton-Griswold Prize will henceforth be described as for the best book in the history of U.S.—not 'American'—law and society, to avoid confusion with the hemispheric meaning of that word.

The RD also adjusted the length of the terms of the Helen and Howard R. Marraro Prize committee members so as to match those served by committee members nominated by the American Catholic Historical Association and the Society for Historical Italian Studies.

Eager for still further work on prizes, the RD agreed with a recommendation from the John E. O'Connor Film Award committee to the effect that the RD should have oversight of this award and worked with the prize committee to reinvigorate the prize.

Annual Meeting:

Several issues came up concerning the AHA's annual meeting on which the RD ruled or made recommendations to Council. The RD clarified the policy for the \$10,000 fund for travel grants to foreign scholars controlled by the Program Committee, specifying that these grants should go only to scholars participating in sessions organized by the Program Committee (so as to avoid responsibility for means-testing the many foreign scholars who might be eligible).

The RD approved a suggestion that the Program Committee should be able to waive registration fees for up to 10 non-historians specifically invited to participate on sessions organized by the committee.

The RD revised the Annual Meeting Guidelines to permit the Local Arrangements Committee to create up to 5 sessions at the meeting, which in effect ratifies recent practice.

The RD approved a suggestion from the staff to separate the awards ceremony and presidential address, which takes effect at this (2014) annual meeting.

In response to consistent impatience with the traditional format of AHA panels, the RD encouraged the use of creative and experimental session formats through a memo to the Program Committee and articles in *Perspectives*.

Miscellany:

As usual, in 2013 a fair bit of the RD's work fell under the heading of miscellany.

The RD crafted three letters from the president and executive director: a letter of support in the Luke Nichter lawsuit regarding unsealing records in the US v. Liddy (Watergate) case; a letter to the Archivist of the United States in the form of comments on the National Archives strategic plan; and a letter to the Secretary of State asking to facilitate visas for scholars from Cuba attending the conference of the Latin American Studies Association.

In response to a member's request, the RD issued a statement discouraging journals from circulating draft articles to potential reviewers (this statement will be up on the blog shortly and in the January *Perspectives*).

In order to encourage the further use of articles appearing in *Perspectives*, the RD endorsed the use of a Creative Commons CC-BY-NC-ND license for them.

Ending a decades-old tradition, the RD decided against publishing a revised *AHA Guide to Historical Literature* in spite of an invitation from Oxford University Press to do so. The RD's logic in this case was that (a) in this day and age with bibliographies and book reviews readily available, the value added of a new *Guide* is much reduced and (b) the opportunity cost in terms of time invested by hundreds of AHA members remains considerable.

Lastly, the RD kept abreast of the ongoing search for the next editor of the *AHR*, a quest on which the Division's Vice-President has lavished time, energy, and his modest supply of tact.

By way of conclusion, I wish to thank the members of the Research Division Stephen Aron, Martha Howell, Randall Packard, and our ex-officio member Rob Schneider for their good work and judgment in 2013. Lastly, I wish to thank Debbie Doyle for her indispensable guidance and crisp efficiency.

2013 Teaching Division Report

Elaine Carey, St. John's University
Vice President, Teaching Division

Over the course of 2013, the Teaching Division has worked on a number of projects, developed new collaborations, and initiated new projects to enhance the teaching of history at all levels. These projects will strengthen ties between the AHA and its diverse constituents: K-12 teachers, higher education professors, historical practitioners, and historians in industry, government, and other areas.

In 2011, Patty Limerick and Jim Grossman successfully authored an \$837,000 grant proposal from the Lumina Foundation for the [History Tuning Project](#). Tuning is a faculty-led project to enable history departments to articulate the disciplinary core of historical study and to define what a student should understand and be able to do at the completion of a history degree program. In June 2012, nearly 70 historians gathered in Washington DC to begin the Tuning process. In 2013, many met again at the AHA annual meeting in New Orleans. The second full project meeting took place in Washington in February, 2013, where faculty discussed their progress and presented their findings and accomplishments. Several faculty participants in Tuning wrote articles regarding the process in a Tuning forum published in the April 2013 issue of *Perspectives on History*. In September, Teaching Division member Anne Hyde compiled the tuners' many ideas and suggestions to articulate the AHA's History Tuning Project: [History Discipline Core](#). On Thursday January 2, 2014, Tuners held a series of workshops regarding the teaching of history in general education, building bridges to STEM disciplines, and quantitative fluency.

The NEH-sponsored project, [Bridging Cultures at Community Colleges](#), continues. From January 14-18, 2013, William Deverell (Univ. of Southern California and Huntington Library), led the first institute at the Huntington Library in San Marino, California. Twenty-three community college professors joined Deverell and other scholars to explore Pacific Rim history and learn more about globalizing U.S. History. The second institute will take place in Washington DC, January 5-10, 2014. Philip Morgan (Johns Hopkins University) will lead this institute, and Teaching Division member Josh Reid will join Morgan and other scholars.

Working closely with the National Council for Social Studies (NCSS) and over 3000 social studies professionals, the TD members reviewed the [College, Career, and Civic Life \(C3\) Framework for Social Studies State Standards](#) that offers guidelines to promote inquiry-based learning of civics, economics, geography, and history. The AHA was represented by Merry Wiesner-Hanks (Univ of Wisconsin, Milwaukee) in the process of drafting the standards. During the draft review, the TD recognized the positive integration of historical inquiry, and also stressed the importance of people as agents essential to historical processes and as the creators of evidence. The C3 Framework is intended as a guide for teachers, school districts, and curriculum writers, but college and university teachers might also find interesting approaches to teach critical thinking and problem solving.

To promote the teaching of History at the K-12 levels, the TD has embarked on collaborations with the Gilder Lehrman Institute of American History (GLI) and [Microsoft Research](#) and [Learn NC](#). On Saturday, January 4, the AHA, the GLI, and NCSS hosted a K-12 workshop: "Atlantic Encounters: Internationalizing U.S. History in the Classroom." Joining Denver Brunzman (George Washington Univ.) and Tim Bailey

(Gilder Lehrman), TD member Reid introduced is introducing the collaboration between the AHA and Microsoft Research's ChronoZoom project by demonstrating how to use the tool to teach Atlantic Encounters. The TD began working with Microsoft Research and Learn NC beginning in August.

At this writing, the TD has also begun to work with the Social Science Research Council, providing input to sociologists Richard Arum and Josipa Roksa, authors of *Academically Adrift*, on a study to understand undergraduate student learning in particular disciplines in order to offer tools to improve instruction. Members of the TD and other historians recommended by the AHA participated in a "listening" with Arum and Roksa in December.¹

As Vice-President, I have benefitted from the work of my TD predecessors, Patty Limerick and Cheryl Cody, who breathed new life into the division with new projects. The enthusiasm and energy of Peter Porter and Josh Reid have made this experience well worthwhile. I particularly want to thank Anne Hyde for her work coordinating the Tuning Project and her ongoing advocacy for the teaching of history. Lastly, I extend a warm welcome to Trinidad Gonzalez who joins the TD in 2014.

¹ *Academically Adrift: Limited Learning on College Campuses* (Chicago: University of Chicago Press, 2010).

2012 *American Historical Review* Report

Robert A. Schneider, Editor

I would like to report on the *American Historical Review* to the members of the Council of the American Historical Association.

Board of Editors

The Board of Editors is at full strength and working hard. We try not to burden Board members with unworthy or unpromising manuscripts. All submissions are first read and evaluated in-house. Only a fraction of these are passed on to two Board members for their review. In addition, the BOE serves as an advisory council on a whole range of matters, especially in the commissioning and curating of editorial projects (forums, roundtables, review essays, etc.). And I have also charged members with seeking out and recommending promising work that might find a place in our pages.

Current members of the BOE:

David A. Bell (Princeton) Modern Europe
Herman L. Bennett (Graduate Center, CUNY) Theory and Methods
Timothy J. Brook (U. of British Columbia) East Asia
Harold J. Cook (Brown) Early Modern Europe
Belinda J. Davis (Rutgers, New Brunswick) Modern Europe
Gregory Grandin (NYU) Latin America
Susan Juster (U. of Michigan) Early American
Jan Plamper (Goldsmiths, University of London) East Europe, Russia
Prasannan Parthasarathi (Boston College) South Asia
Emily Rosenberg (U. of California, Irvine) Modern US
Carol Symes (U. of Illinois, Champagne-Urbana) Medieval
Judith E. Tucker (Georgetown) Middle East
Megan Vaughan (Graduate Center, CUNY) Africa

I should note that as of this year, I have expanded and reconfigured the composition of the Board. There are now thirteen members; a position for the Middle East has been added; finally, there is now a position each for East and South Asia.

I should note too that while members are largely selected based upon their expertise and accomplishments, there is also the concern to balance the composition of the Board in terms of gender and institutions (private and public). (Note, with regards to this: Megan Vaughan only moved to CUNY from Cambridge well after she was appointed to the Board.)

AHR Activities

Editorial Initiatives:

- **AHR Conversation.** For the past six years (excluding 2010 when I was on leave) we have published the *AHR* Conversation. The idea behind this is to select a topic of wide interest, recruit scholars from a range of fields and periods, and conduct an on-line discussion, moderated by the Editor, which is then lightly edited, footnoted and published in the December issue. Last year's topic was, "Historians and the Study of Emotions." This year's topic is "How Size Matters: The Question of Scale in History," which you will find in the December issue. The participants are: Sebouh David Aslanian (UCLA), Joyce Chaplin (Harvard), Ann McGrath (Australian National University), and Kristin Mann (Emory).
- **Projects for Forums, Exchanges, Roundtables and Review Essays.** As always, the main way for the editors to shape the journal and its content is by commissioning and encouraging Forums, Review Essays and other genres of publication. There are several Roundtables in the works as well (the Roundtable is a genre of publication I have introduced, comprised of a series of essays—seven to ten—that are shorter than regular articles, addressing a subject of general interest): "Biology and History," "Ending Civil Wars," "The Archives of Decolonization", and "The State of the Humanities: Global and Historical Perspectives," "You the People" (On European historians writing U.S. history), "The Cost of 'Internationalizing' History," and "New Approaches to the History of Anti-Semitism." There are also several Review Essays currently being prepared for submission on "Comparative History," "Global Economic Development," "Food History," and "Business History," among other subjects. While Review Essays are in many ways among the most useful and popular kinds of articles we publish, we have had great difficulty commissioning these successfully-- that is, to the point of publication. We are thus making a renewed effort to see that more of these sorts of essays appear in our pages.
- **Digital Projects.** In June I reported that we were not successful in finding a winner in our prize competition for the best article in digital history. This does not mean that we are giving up digital history in the *AHR*. To the contrary, it has only provided an incentive to be more aggressive in seeking out appropriate work of this sort for the journal. Two initiatives are relevant here. First, we have been engaged with several historians at Stanford working on "Mapping the Republic of Letters," a project that uses sophisticated mapping and network analysis to present a new view of eighteenth-century intellectual and cultural life. Second, we are in the process of commissioning a series of Review Essays of websites specifically designed for scholars (as opposed to teachers, students or the general reader), and which take advantage of digital methods in order to analyze historical materials in new ways.

Consideration of an International Advisory Board

Last year I announced my intention to create an International Advisory Board, comprised of scholars from around the world who could serve as ambassadors and informants for the *AHR*. At this point, this is still a work-in-progress. I want to be sure that we assemble a roster of advisors from a wide range of countries, and especially to ensure that non- Western nations are adequately represented. I hope to have provisional list of names soon, perhaps in time for our January meeting.

AHR Staff

The editorial and production staff of the *AHR* at Bloomington continues to function at an excellent level, with relatively little turn-over. Key members of the staff are the graduate students who serve as Editorial Assistants (EAs). The EAs are responsible, under the direction of Assistant Editor Moureen Coulter, for the enormously complex process of selecting reviewable books, assigning books to reviewers, and then editing the reviews once they come in. They also proof read the entire journal before it goes to press. EAs normally serve three year terms, often renewable for a fourth year. The current Editorial Assistants, with their areas of specialty, are:

AMANDA KOCH (foreign language: Spanish)

American Business (post-1930)
American Class (post-1930)
American Journalism (post-1930)
American Culture (post-1930)
American Labor (post-1930)
American Political (post-1930)
American Economic (post-1930)
American Environment (post-1930)
American Social (post-1930)
American Science/Technology (post-1930)
American Military (post-1930)
American Race (post-1930)
American Transportation (post-1930)
Canada (post-1930)
American Gender/Sexuality (post-1930)
American Diplomatic/Foreign Relations (post-1930)

DAVID JAMISON (foreign language: basic Spanish and French)

Religion (American)
Early America (to 1830)
Canada (to 1830)
American Law (to 1830)
Native American
Great Britain/Ireland (post-1689)

SANDRINE CATRIS (foreign language: French, Chinese, Uyghur; high reading skills in Spanish, Italian, Portuguese; intermediate Japanese)

World/Comparative
Asia

DAVID EACKER (foreign language: German, Bosnian/Serbian/Croatian, Spanish, French)

Germany/Austria/Switzerland
Jewish History
Russia/former Soviet Union

Northern Europe
Eastern Europe

DENISA JASHARI (foreign language: not yet verified by Operations Manager)

Africa
Spain & Portugal
Oceania/Pacific Islands
Latin America/Caribbean Middle East
Theory/Method

ADRIENNE CHUDZINSKI (foreign language: basic French)

American Civil War
African American (1830-1930)
American Military (1830-1930)
American Women/Gender (1830-1930)
American Social (1830-1930)
American Law (1830-1930)
American Political (1830-1930)
Canada (1830-1930)
American Cultural (1830-1930)
Hispanic-American/Latino

ROBERT WELLS (foreign language: French & German + some Italian & Latin)

European
Italy
France
Early Modern England (pre-1689)
Ancient/Medieval

In addition to these Editorial Assistants, the office of the *AHR*, 914 Atwater, is home to five other people who are indeed truly responsible for the excellent journal we edit and publish five times a year:

Lara Kriegel (Associate Editor, Associate Professor of History and English, Indiana University)

Moureen Coulter (Assistant Editor, Book Reviews)

Jane Lyle (Assistant Editor, Articles)

Cris Coffey (Operations Manager)

Jessica Smith (Production Assistant)

It is hard for me to express how crucial all of these people are to everything we do. They take pride in being associated with the *AHR*—and it shows!

Oxford University Press

This is the second year of our relationship with Oxford University Press as our publishing partner. In general, the relationship has been a good and productive one. There have been several changes in our production manager at the Press, which have produced some minor bumps in the road. But at present we have someone in place who seems to be more than up to the job. In all my dealings with Oxford, and especially with Trish Thomas, their Director of the Journals Division, I have been impressed with their willingness to accommodate our particular needs and their eagerness to market the journal to libraries and institutions where we heretofore have not had much access.

The Question of Open Access

This continues to be one of our top concerns—and it's certainly not going away. One of the major developments in the last year has been the push back from a number of British history journals against the Research Councils United Kingdom's (RCUK) so-called Finch Report, which endorsed a "Gold" Open Access policy for all government funded research publications—with publication costs covered by authors. These journals, which the *AHR* and AHA joined in supporting, advocated instead the "Green" option, with a 36 month embargo period. On the domestic front, we are increasingly confronted with university policies which mandate that faculty deposit their research papers in an open- access repository. While our agreement with OUP allows for authors to provide a URL link to their articles, this does not seem to satisfy the requirements of most institutions' new policies in this area. Stay tuned.

Cooperation with the AHA and IU on Technical Matters

We recently had a very productive meeting with Vanessa Varin and Seth Denbo on how the expertise they bring to the AHA might be shared with the *AHR*. We are confident that with their talented help we will make much-needed progress in our Bloomington office, especially with regards to improving our webpage and coaxing our database into performing functions necessary to our operation. I have also entered into discussions with IT people at Indiana University to see how they too can help us improve our range of technical capabilities.

Search for New Editor

My second and final five-year term as Editor will end in 2015. A search for a successor is currently taking place, with a committee comprised of two members from the IU Department of History and two representatives of the AHA. My role in all of this has and will be strictly limited: I am happy to provide information and advice, both to candidates and the members of the Search Committee, but when the process gets to the decision-making phases I will recuse myself. For reasons that are both obvious and complex, I do not think it proper that I be involved in any way in choosing my successor.

Budget, Printing and Publishing

The journal is operating within its 2013-14 budget.

I welcome any comments you care to share on this report or any other issues relating to the *AHR*.

Committee Reports

Graduate and Early Career Committee
Report

Advisory Committee on Disability Report

Committee on Women Historians Report

2013 Graduate and Early Career Committee Report

Michael Meng, Clemson University
Co-Chair of the GECC Committee

GECC has undertaken a number of activities in support of the graduate student and early career members of the organization over the last year.

2013 Annual Meeting

We sponsored four sessions at the annual meeting in New Orleans: “Professional Development: Turning Your Dissertation into a Book,” “Early Career Historians: Funding Your Research,” “Meet the Editors: A Hands-on Workshop with History Journal Editors” (joint with the Research Division and the Conference of Historical Journals), and the annual interviewing workshop. We also organized a well-received orientation session for first-time attendees.

Moving the graduate student reception to Thursday evening has proven to be a great success. Over 100 people attended. Offering books signed by the AHA presidents as a door prize was extremely popular. We plan to do it again in 2014. Attendees truly appreciated the chance to mingle with the presidents at the reception.

Our experiment with holding our annual open forum on Saturday evening was less successful. We hoped that the timing would allow participants to discuss the Malleable PhD strand, but the forum was poorly attended. Participants requested that it be scheduled earlier in the meeting in the future.

2014 Annual Meeting

GECC is sponsoring two sessions to take place at the 2014 meeting in Washington, DC: one on surviving your first year of teaching and the annual interviewing workshop. Members also helped recruit a session on publishing while employed outside the academy. We will hold the open forum on Friday afternoon from 2:30–3:30 p.m. We will also sponsor the orientation session and reception again.

Other Activities

Several committee members met for lunch at the annual meeting. We briefly discussed ways to use social media to help graduate students feel more involved in the AHA, perhaps through an online discussion forum or a blog roll. Members were pleased by the staff’s efforts to use Twitter, Facebook, and FourSquare at the meeting.

Members are enthusiastic about the Mellon-funded Malleable PhD project and eager to see the results. We plan to incorporate a discussion of graduate students’ interest in and knowledge of employment outside the academy into the 2014 open forum.

Finally, the committee discussed in the fall the AHA's website and made suggestions for improvement of its pages related to the needs of graduate students and early career professionals. In December, the committee held a short meeting through the web to organize its activities for the 2014 annual meeting.

Thanks

I would like to thank Laura Isabel Serna and Mark O'Tool for their service to the GECC. Laura Isabel and Mark rotated off after the AHA meeting in New Orleans. Likewise, I would like to welcome new members Joshua Reid (Councilor, Teaching) and Jesse Cromwell (at large). The committee is co-chaired by one of the at-large members and the graduate student or early career professional elected to the AHA Council. At Laura Isabel's suggestion, we have agreed that the at-large member should take on most of the work of chairing the committee.

2013 Advisory Committee on Disability Report

Sandy Sufian, University of Illinois College of Medicine

After several years of this idea being delayed, Mike Rembis and Sandy Sufian of the AHA Advisory Committee on Disability decided to revisit the idea of a Disability History Mentorship program and work on its parameters in the summer 2013. Paul Longmore conceived of the idea itself during his time on the Task Force for Disability of the AHA. He originally wanted the mentorship program to be focused on students and faculty with disabilities only but Mike and Sandy felt that mentorship was needed for graduate students working in disability history itself and that we needed to use the program to push for a strong, new generation of scholars in disability history. Where applicable, we try to match faculty and students with disabilities together so as to have that relationship both address general mentorship issues but also particular ones dealing with accessibility, disclosure, etc. However, we did not want to make it exclusively one about disability status, but rather about promoting the subfield of disability history.

We researched other mentorship programs and found that that the APA's programs (psychology) were well developed and could serve as a general template for ours. We also found the materials of the MORE program of University of Michigan (more.umich.edu) extremely helpful and refer to their public-access documents on mentoring and the mentorship relationship through the AHA Communities web page.

The main purpose of the program is to create an informal relationship between a graduate student and a faculty member in disability history that will help graduate students navigate academic challenges over and above the advice given by advisors and committee members. We hope that advice by a disability historian will provide unique insights for succeeding in this field. Individual pairs will determine the content of the relationship itself, although we have specified an amount of time each set of people must devote to the mentorship relationship.

We presently have eighteen pairs of mentors and mentees in the program. We are still searching for additional mentors to match with waiting students. Matches are made twice a year, at the beginning of each semester, but people are able to apply throughout the year. All information is kept confidential via a secure server of the AHA.

The AHA has provided tremendous support and technical expertise for facilitating this program. The organization is firmly committed to the program and its success.

In addition to the mentor-mentee relationship, Mike and Sandy hope to develop online seminars for graduate students who have signed up to share their work—a sort of online reading/writing group with peer mentorship. This part of the program is less well developed and will be instituted a bit later on, in order to give the central task at hand a chance to solidify. There may be a need for early career mentorship and/or mentorship for faculty moving from one field to disability history, but this too may be developed after the initial stage of graduate/faculty mentorship.

Overall, we believe this is an important program to offer faculty and students in disability history and we hope it will grow in the years to come.

2013 Committee on Women Historians Report

Leora Auslander, University of Chicago

During the last six months the Committee on Women Historians has been largely engaged in planning for the January meetings in Washington. We have a very full slate of events some of which will provide a space for conversation, others will offer practical advice, and several will look back at the achievements of earlier generations of women historians and initiate a cross-generational conversation about both the state of the field of gender history and the status of women in the discipline. The sessions will help us assess where we are, how far we have come, what remains to be done, and the future of women's and gender history.

Session: Negotiating your employment contract.

Committee member Michelle Molina has organized a panel with three outstanding speakers including one who will provide insight on general strategies for effective negotiating, another, a department chair, will speak to what it is most important for negotiators to know, both at the "first job" moment as well as at the "retention" moment. The third is a recent tenure-track hire who also has experience as an adjunct, who will talk about what she found effective and what she wished she had known.

The Breakfast:

The breakfast provides an exciting and unique opportunity to meet scholars across generations working in all fields. Rebecca J. Scott (University of Michigan) will deliver an address to the gathering entitled: *Three Women: How Might One Generation Speak to Another...And What Will Be Heard?* The talk will look at the lives of three late 18th/early 19th century women—Sanitte, Adélaide Métayer/Durand, and Eulalie Oliveau—who were victims of enslavement or attempted enslavement in the Gulf South. It will also reflect on the narrative device of "three women's lives"—used by Anne Scott in her 1979 piece "Self-Portraits: Three Women," and again by Natalie Zemon Davis in 1995 in the book *Women on the Margins*.

In our efforts to broaden attendance at the breakfast we have reached out to colleagues in Latin American History, advertised it in *Perspectives*, and provided a subsidized rate for graduate students. Those efforts seem to have paid off and early registration is high.

Brainstorming Session:

Those who wish to continue the conversation more informally will have the opportunity to do so by joining in the brainstorming session sponsored by the CWH. In a conversation started two years ago, colleagues raised a number of issues of concern. Although many gender inequities in our profession appear to have been remedied and the history of women, gender, and sexuality established in most departments, it is clear that balancing "work" and "life" remains difficult and harassment continues to be a problem. The paucity of jobs and transformations in higher education pose further challenges. In

the face of both improvements and continued and new difficulties, what are the urgent tasks for the CWH?

This will be the third year of our brainstorming sessions held following the breakfast. These have provided a very valuable venue for faculty and students from a wide variety of institutions to come together to discuss gendered concerns. We had hoped to sustain those conversations over the year by means of a blog, but that has proven more difficult to sustain. The continued high attendance at these sessions, however, indicate that even as simply an annual event they are serving a need and we hope that they will continue into the future.

Lunch meeting of the Committee: Leora Auslander, Michelle Molina, Debbie Doyle

The Committee has found that meeting only through conference calls twice or three times a year is a hindrance to its effective functioning. We have tried to institute face-to-face meetings at the annual convention, but the cost of travel is rendering that difficult. As in most years only two of the members of the committee will attend the convention, and the incoming chair of the committee will not be there, complicating the transition. We urge that members appointed to this committee be asked to commit to attending at least two of the three conventions during their term.

“Generations of Women’s History,” a roundtable chaired by Leora Auslander (Univ. of Chicago):

Speakers will include Natalie Zemon Davis (Univ. of Toronto), Crystal N. Feimster (Yale Univ.), Patricia Albjerg Graham (Harvard Univ.), Darlene Clark Hine (Northwestern Univ.), Linda K. Kerber (Univ. of Iowa), and Alice Kessler-Harris (Columbia Univ.) The presenters will reflect on what may be learned from the early struggles to establish women’s and gender history in the AHA and in the discipline to help us confront the challenges of the present. All of the presenters are distinguished historians, and several of them were involved with the CWH, formed in 1971, in its early years.

Joint Session with the CCWH:

Finally, on Sunday, January 5 from 8:30–10:30 a.m. in the Marriott’s Maryland Suite A, the AHA and the Coordinating Council for Women in History will sponsor a joint session, “Forty-Five Years of the CCWH: Then, Now, and the Future.” The session will explore the history of the organization and how it continues to both promote the study of women’s history and advocate for women in the historical profession.

Summary:

In sum, we continue to pursue our goal of developing both our professional and intellectual missions. Our primary goal is to find ways to more effectively address the challenges facing women in the profession as well as sustain and expand the breakfast and brainstorming sessions as exciting intellectual and political events.

This is my last year as chair of the committee. It has been an honor to serve. I would like to express my heartfelt thanks to Noralee Frankel who staffed the committee when I first took over the chair, Debbie Doyle who has done an absolutely wonderful job the last two years, and Jim Grossman whose commitment to this committee's work has made all the difference.

Council, Divisions, and Committees

2013 Council, Divisions, and Committees

Council

Kenneth Pomeranz (Univ. of Chicago), *president*; Jan Goldstein (Univ. of Chicago), *president-elect*; William Cronon (Univ. of Wisconsin-Madison), *immediate past president*; Jacqueline Jones (Univ. of Texas, Austin), *vice president, Professional Division*; John R. McNeill (Georgetown Univ.), *vice president, Research Division*; Elaine Carey (St. John's Univ.), *vice president, Teaching Division*; Sara Abosch (Dallas Holocaust Museum); Stephen Aron (UCLA and Autry National Center); Martha C. Howell (Columbia Univ.); Anne F. Hyde (Colorado Coll.); Randall Packard (Johns Hopkins Univ.); Peter A. Porter Jr. (Montville Township (NJ) High School and Seton Hall Univ.); Joshua L. Reid (Univ. of Massachusetts, Boston); Mary Louise Roberts (Univ. of Wisconsin-Madison); Andrew J. Rotter (Colgate Univ.)

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Jacqueline Jones, *vice president*; Sara Abosch; Mary Louise Roberts; Andrew J. Rotter

Research Division

John R. McNeill, *vice president*; Stephen Aron; Martha Howell; Randall Packard; Robert A. Schneider, *ex officio*

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Elaine Carey, *vice president*; Anne F. Hyde; Peter A. Porter Jr.; Joshua Reid

Nominating Committee

Raúl A. Ramos (Univ. of Houston), *chair*; Takashi Fujitani (Univ. of Toronto); Thavolia Glymph (Duke Univ.); Sandra E. Greene (Cornell Univ.); Pekka J. Hämäläinen (Univ. of Oxford); Moon-Ho Jung (Univ. of Washington); Dane Kennedy (George Washington Univ.); Alida C. Metcalf (Rice Univ.); Sophia Rosenfeld (Univ. of Virginia)

Committee on Committees

Jan Goldstein, *chair*; Mia Bay (Rutgers Univ., New Brunswick); John Connelly (Univ. of California, Berkeley); Suzanne Marchand (Louisiana State Univ.); Jeffrey Wasserstrom (Univ. of California, Irvine)

Standing Committees

Committee on Affiliated Societies: Jan Goldstein, *chair*; Robert Harry Berlin (Society for Military History); Mary C. Kelley (Univ. of Michigan, Ann Arbor); David Warren Sabean (Univ. of California, Los Angeles)

Committee on the Harold Vyvyan Harmsworth Professorship in American History: Kenneth Pomeranz, *chair*; William Cronon; Robin Kelley (Univ. of Southern California); Philip Morgan (Johns Hopkins Univ.); Ian Tyrell (Univ. of New South Wales)

Committee on International Historical Activities: Joel F. Harrington (Vanderbilt Univ.), *chair*; John Garrigus (Univ. of Texas, Arlington); Joseph Harahan (U.S. Commission on Military History); Carol Harrison (Univ. of South Carolina); Harry Liebersohn (Univ. of Illinois, Urbana-Champaign)

Committee on Minority Historians: Brenda Child (Univ. of Minnesota), *chair*; Karen Cook Bell (Bowie State Univ.); Maria L. O. Muñoz (Susquehanna Univ.); Mae M. Ngai (Columbia Univ.); Tyler E. Stovall (Univ. of California, Berkeley)

Committee on Women Historians: Leora Auslander (Univ. of Chicago), *chair*; Stephanie M. H. Camp (Univ. of Washington); Karen T. Leathem (Louisiana State Museum); J. Michelle Molina (Northwestern Univ.); Laura Rominger Porter (Univ. of Notre Dame)

Graduate and Early Career Committee: Joshua Reid (Univ. of Massachusetts, Boston), *Council liaison, co-chair*; Michael Liddon Meng (Clemson Univ.), *co-chair*; Paul T. Conrad (Colorado State Univ., Pueblo); Jesse Levis Cromwell (Univ. of Mississippi); Karen Cook Bell (Bowie State Univ.), *CMH liaison*; Laura Rominger Porter (Univ. of Notre Dame), *CWH liaison*

Award Committees

Committee on the Herbert Baxter Adams Prize: Clare Crowston (Univ. of Illinois, Urbana-Champaign), *chair*; Gary B. Cohen (Univ. of Minnesota, Twin Cities); Martin Francis (Univ. of Cincinnati); Susan R. Grayzel (Univ. of Mississippi); A. Katie Harris (Univ. of California, Davis)

Committee on the George Louis Beer Prize: Herrick E. Chapman (New York Univ.), *chair*; Ruth Ben-Ghiat (New York Univ.); Guenter J. Bischof (Univ. of New Orleans); Zachary Shore (Center for Advanced Study in the Behavioral Sciences)

Committee on the Albert J. Beveridge Award: Paula Alonso (George Washington Univ.), *chair*; Cornelia Dayton (Univ. of Connecticut); Amy S. Greenberg (Penn State Univ.); Kristin L. Hoganson (Univ. of Illinois, Urbana-Champaign); David A. Hollinger (Univ. of California, Berkeley)

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Committee on the Martin A. Klein Prize in African History: Toyin O. Falola (Univ. of Texas, Austin), *chair*; Lisa A. Lindsay (Univ. of North Carolina, Chapel Hill); Richard Roberts (Stanford Univ.)

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Committee on the James Rawley Prize in Atlantic History: Sarah M. S. Pearsall (Robinson Coll., Univ. of Cambridge), *chair*; Matt D. Childs (Univ. of South Carolina, Columbia); Willem Klooster (Clark Univ.)

Committee on the John F. Richards Prize: Durba Ghosh (Cornell Univ.), *chair*; David P. Gilmartin (North Carolina State Univ.); Cynthia Talbot (Univ. of Texas, Austin)

Committee on the Wesley-Logan Prize: Laura Rosanne Adderley (Tulane Univ.), *AHA representative, chair*; Edda L. Fields-Black (Carnegie Mellon Univ.), *ASALH representative*; Jim Harper II (North Carolina Central Univ.), *ASALH representative*; Stephanie J. Shaw (Ohio State Univ., Columbus), *AHA representative; third AHA representative to be appointed*

Committee on the Raymond J. Cunningham Prize: Cindy Hahamovitch (Coll. of William and Mary), *chair*; George B. Forgie (Univ. of Texas, Austin); Cynthia V. Hooper (Coll. of the Holy Cross); Bonnie Miller (Univ. of Massachusetts, Boston); Amrita Chakrabarti Myers (Indiana Univ.)

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AHA Executive Director James Grossman is an ex officio member of the Council, the three divisions, the Committee on Committees, the Nominating Committee, and all standing and ad hoc committees.

Members

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50-Year Members

Life Members

25-Year Members of the American Historical Association

The following members of the AHA completed their 25th year of continuous membership in the Association during 2013. This list does not include members whose 25th anniversary has already passed and whose names would have appeared on previous such lists.

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Iris Berger
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Peter G. Wallace
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Carl D. Weiner

Janice E. Whitehead
Patrick G. Williams
Kenneth H. Winn

Alfred Wollmann
Frank J. Zaremba
Ronald J. Zboray

50-Year Members of the American Historical Association

The following members of the AHA completed their 50th year of continuous membership in the Association during 2013. The list also includes members who have already achieved this honor.

| | | |
|-------------------------|-------------------------|-------------------------|
| Martin Albaum | Christopher N. Breiseth | Carl N. Degler |
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| Howard L. Applegate | J. C. Burnham | Duane Norman Diedrich |
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| Abraham Ascher | Peter M. Buzanski | Robert C. Donaldson |
| Roy A. Austensen | John C. Cairns | Ara Dostourian |
| Ivan Avakumovic | Daniel F. Calhoun | Seymour Drescher |
| Bernard Bailyn | Daniel H. Calhoun | Katherine F. Drew |
| Deborah F. Baird | Richard L. Camp | Herman Dubowy |
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| Daniel A. Baugh | J. R. Christianson | Sydney Eisen |
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| James L. Baumgardner | Errol M. Clauss | Ainslie T. Embree |
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Keith W. Olson
Ynez V. O'Neill
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Jennifer Pau
Sue Peabody
Elizabeth L.B. Peifer
Peter C. Perdue

Barbara Bennett Peterson
Carla Rahn Phillips
William D. Phillips
Mary Lynn Pierce
Kenneth L. Pomeranz
Tammy M. Proctor
Geoffrey Ryan Ralston
Sherri Raney
Marcus Rediker
Kevin Reilly
Elaine A. Reynolds
Jonathan Reynolds
Broaderick Rivers
Donna Rogers-Beard
Stacy Best Ruel
Nicholas Lee Rummell
Yotaro Sato
Richard R. Schieffelin
Carol Schmidt
Lenore Schneider
Ron Schooler
John E. Schrecker
Gregory L. Schultz
David Schulz
Christine E. Sears
Anastasia Serghidou
Pamela Dorn Sezgin
Jessica Ann Sheetz-Nguyen
William Agan Shifflette
Adrian Shubert
Kathy Skelton
Christine M. Skwiot
Richard Smith
Charles D. Smith
Yvette Snopkowsia
Douglas O. Sofer
Howard Spodek
Deborah Standford
Peter N. Stearns
Mike Stefanowicz
Emily Elaine Stewart

Alaa Taher
Susan Taylor
Igor Tchoukarine
Pamela D. Toler
John Torpey
David S. Trask
Ann O. Travis
Anthony Todd Triola
Stephen Daniel Tuck
James B. Tueller
Jim Uhrig
John E. Van Sant
Beth Villenez

Erik Vincent
Kerry R. Ward
Emily Warner
Rick R. Warner
Neil L. Waters
John C. Weaver
Roxann Weber
Tammy Webster
Theodore R. Weeks
Shuang Wen
Sally West
Justin Michael White
Merry E. Wiesner-Hanks

Karen E. Wigen
John E. Wills
Deborah Wing Leonard
Renee Wiseman
Kevin Witte
David R. Woken
Jason M. Wolfe
Bin Yang
Peter J. Yearwood
Charlton Yingling
Howard Young
William R. Zeigler

Friedrich Katz Prize Donors 2013

Paula Alonso
Armando Cantu Alonzo
Nancy P. Appelbaum
Charles E. Beatty Medina
Devyn Spence Benson
Dain E. Borges
Christopher R. Boyer
Jonathan Charles Brown
Christopher Campbell
Elaine K. Carey
Sarah C. Chambers
Bridget M. Chesterton
Margaret Chowning
Sarah Cline
Deborah Cohen
Kevin P. Coleman
Oscar De la Torre
Robin Derby
Laura Virginia Dierksmeier
Zephyr L. Frank
Beau Gaitors
Javier Garciadiego
Michel Gobat
Jan E. Goldstein
Paul E. Gootenberg
Laura E. S. Gotkowitz
Karen B. Graubart
James R. Grossman

Donna J. Guy
Gregory S. Hammond
Kyle Edmund Harvey
Evelyn Hu-DeHart
Eleanor K. Hubbard
Scott Alan Ickes
Gilbert M. Joseph
Rashid I. Khalidi
Emilio Kouri
James M. Krippner
Jane G. Landers
Benjamin L. Landis
Paul Lokken
Claudio Lomnitz
Mary Ann Mahony
Samuel J. Martland
Robert E. McCaa
Jason Peter McGraw
Chris McNickle
Catherine A. Nolan-Ferrell
Julia Cummings O'Hara
Leslie S. Offutt
Jocelyn H. Olcott
Meghan Marie Peddle
Moishe Morris Postone
Alexandra Maria Puerto
Cynthia Radding
Frances L. Ramos

Thomas D. Rogers
Reinaldo L. Roman
Uri Rosenheck
Joshua M. Rosenthal
Nichole M. Sanders
Joshua Savala
Eric Michael Schantz
Patience A. Schell
Donald G. Schilling
Ann M. Schneider
Rebecca J. Scott
Tatiana Seijas
William H. Sewell
Douglas O. Sofer
Antonio Sotomayor
Steve J. Stern
Shigeru Sugiyama
Mauricio Tenorio
Eric J. Van Young
Mary Kay Vaughan
William O. Walker
Charles F. Walker
Richard A. Warren
Barbara Weinstein
Robert H. Whealey
David R. Woken
Charlton Yingling
Eric S. Zolov

Wesley-Logan Prize Donors 2013

Qasim Abdul-Tawwab

Larry Donell Adams

Edward A. Alpers

Richard Anderson

Charles E. Beatty Medina

Herman L. Bennett

Kristen Block

Lindsay Frederick Braun

David A. Canton

Glenn Anthony Chambers

Diana Wise Compton

Christian Ayne Crouch

Jacob S. Dorman

Kaylin T. Ewing

Sharla M. Fett

Juan M. Floyd-Thomas

Patricia Gloster Coates

Reid Keith Gordon

Lauren Horn Griffin

Joshua Bruce Guild

Brian Hallstoos

Clem L. Harris

Lesli Louise Hicks

Dennis Ricardo Hidalgo

Eleanor K. Hubbard

Scott Alan Ickes

Jacob Jones

Landon P. Jones

Jacqueline Jones

Larissa Kopytoff

Benjamin L. Landis

Anthony A. Lee

Adriane D. Lentz-Smith

Paul Lokken

Jason Peter McGraw

Charles Everett Milteer

Oghenetoja H. Okoh

Suleiman Osman

Lara E. Putnam

Janet Reid

John Eric Robinson

Tyrone Tillery

Diane Delores Turner

Ben Weiss

John Thabiti C. Willis

Brandon Kyron Winford

David R. Woken

Claudette Louise Woodhouse

Charlton Yingling

Awards, Prizes, Fellowships, and Grants

Awards and Prizes

Fellowships and Grants

Awards and Prizes

The 2013 prizes were awarded during a ceremony at the January 2014 Annual Meeting of the American Historical Association.

Awards for Publications (Books, Articles, and Other Formats)

Herbert Baxter Adams Prize

Steven A. Barnes (George Mason Univ.), *Death and Redemption: The Gulag and the Shaping of Soviet Society* (Princeton Univ. Press)

George Louis Beer Prize

R. M. Douglas (Colgate Univ.), *Orderly and Humane: The Expulsion of the Germans after the Second World War* (Yale Univ. Press)

Albert J. Beveridge Award

W. Jeffrey Bolster (Univ. of New Hampshire), *The Mortal Sea: Fishing the Atlantic in the Age of Sail* (Belknap Press of Harvard Univ. Press)

James Henry Breasted Prize

Patricia Crone (Institute for Advanced Study), *The Nativist Prophets of Early Islamic Iran: Rural Revolt and Local Zoroastrianism* (Cambridge Univ. Press)

Raymond J. Cunningham Prize

David Wemer (Gettysburg Coll. '14), "Europe's Little Tiger?: Reassessing Economic Transitions in Slovakia under the Mečiar Government, 1993–1998," *Gettysburg College Historical Journal* 12, no. 1 (2013): 97-112. Faculty Advisor: William Bowman

John H. Dunning Prize

Jennifer Ratner-Rosenhagen (Univ. of Wisconsin, Madison), *American Nietzsche: A History of an Icon and His Ideas* (Univ. of Chicago Press)

John K. Fairbank Prize in East Asian History

Barbara Mittler (Heidelberg Univ.), *A Continuous Revolution: Making Sense of Cultural Revolution Culture* (Harvard Univ. Asia Center of Harvard Univ. Press)

Morris D. Forkosch Prize

Jordanna Bailkin (Univ. of Washington), *The Afterlife of Empire* (Univ. of California Press)

Leo Gershoy Award

Daniela Bleichmar (Univ. of Southern California), *Visible Empire: Botanical Expeditions and Visual Culture in the Hispanic Enlightenment* (Univ. of Chicago Press)

William and Edwyna Gilbert Award

Tim Keirn (California State Univ. Long Beach) and Eileen Luhr (California State Univ. Long Beach), "Subject Matter Counts: The Pre-Service Teaching and Learning of Historical Thinking," *The History Teacher* 45, no. 4 (2012): 493–511

J. Franklin Jameson Award in Editorial Achievement

Editors and Translators: John Taylor (Univ. of Leeds); Wendy R. Childs (Univ. of Leeds); and Leslie Watkiss (Royal Liberty School, Romford), *The St. Albans Chronicle: the Chronica maiora of Thomas Walsingham*, Vol. II: 1394–1422 (Clarendon Press and Oxford Univ. Press)

Joan Kelly Memorial Prize in Women's History

Carol Pal (Bennington College), *Republic of Women: Rethinking the Republic of Letters in the Seventeenth Century* (Cambridge Univ. Press)

Martin A. Klein Prize in African History

Derek R. Peterson (Univ. of Michigan), *Ethnic Patriotism and the East Africa Revival: A History of Dissent, c. 1935–1972* (Cambridge Univ. Press)

Littleton-Griswold Prize

John Fabian Witt (Yale Univ. Law School), *Lincoln's Code: The Laws of War in American History* (Free Press)

J. Russell Major Prize

Miranda Spieler (American Univ. of Paris), *Empire and Underworld: Captivity in French Guiana* (Harvard Univ. Press)

George L. Mosse Prize

Miranda Spieler (American Univ. of Paris), *Empire and Underworld: Captivity in French Guiana* (Harvard Univ. Press)

James Rawley Prize in Atlantic History

W. Jeffrey Bolster (Univ. of New Hampshire), *The Mortal Sea: Fishing the Atlantic in the Age of Sail* (Harvard Univ. Press)

John F. Richards Prize in South Asian History

A. Azfar Moin (Southern Methodist Univ.), *The Millennial Sovereign: Sacred Kingship and Sainthood in Islam* (Columbia Univ. Press)

Roy Rosenzweig Prize for Innovation in Digital History

"Digital Archive: International History Declassified," www.digitalarchive.org (History and Public Policy Program, Woodrow Wilson Center)

Wesley-Logan Prize

Martha Biondi (Northwestern Univ.), *Black Revolution on Campus* (Univ. of California Press)

Awards for Scholarly and Professional Distinction

Troyer Steele Anderson Prize

Thomas F. Rugh (TIAA-CREF)

Eugene Asher Distinguished Teaching Award

Michael S. Green (Coll. of Southern Nevada)

Awards for Scholarly Distinction

John Dower (Mass. Institute of Technology)

Patricia Buckley Ebrey (Univ. of Washington)

Walter LaFeber (Cornell Univ.)

Beveridge Family Teaching Award

John Russell (Burlington City High School, Burlington, NJ)

Equity Awards

Individual: David Jackson, Jr. (Florida A&M Univ.)

Institutional: Univ. of California, Irvine, ADVANCE Program for Equity and Diversity

Herbert Feis Award

Richard E. Turley, Jr. (The Church of Jesus Christ of Latter-Day Saints)

Honorary Foreign Member

Patrick Karl O'Brien, FBA (London School of Economics)

Nancy Lyman Roelker Mentorship Award

Shari Hills Conditt (Woodland High School, Woodland, WA)

Theodore Roosevelt-Woodrow Wilson Award

David M. Rubenstein (The Carlyle Group)

Fellowships and Grants

Fellowships

The AHA/Folger Shakespeare Library Fellowship

Amy Froide, *Women's Financial Literacy in Early Modern England*

J. Franklin Jameson Fellowship in American History

Kevin Y. Kim, *Diplomacies of Dissent: Henry Wallace, Herbert Hoover, and Cold War America's Rise in the World*

Fellowship in Aerospace History (co-sponsored with the National Aeronautics Space Administration)

Andrew Leslie Jenks, *Stepping Back from the Brink: Transnational Encounters in Space*

Research Grants

Albert J. Beveridge Grant

Betsy Beasley, *Serving the World: Energy Contracting, Logistical Labors, and the Culture of Globalization, 1945-2008*

Richard Boles, *Dividing the Faith: The Rise of Racially Segregated Northern Churches, 1730-1850*

Frederico Freitas, *Twin Parks: An Environmental History of the Border between Argentina and Brazil*

Kate Geoghegan, *The Specter of Anarchy, the Hope for Transformation: The Role of the United States in Influencing the Trajectory of the Soviet Collapse and Internal Reform, 1988-93*

James Gigantino, II, *Freedom and Slavery in the Garden of America: African Americans and Abolition in New Jersey, 1775-1861*

Chris Levesque, *Not Just Following Orders: Why Soldiers Refused to Commit Atrocities*

Eric Rutkow, *The Infrastructure of Empire: Pan-Americanism, Transnational Transport, and the Remaking of Central America*

Brianna Theobald, *'The Simplest Rules of Motherhood': Settler Colonialism and the Regulation of American Indian Reproduction, 1910-1976*

Michael Kraus Research Grant

Katherine Grandjean, *Post Haste: Letters, Posting, and Empire in Early English America*

Matthew Kruer, *The Susquehannock War: Native Americans, Bacon's Rebellion, and the Forging of the Covenant Chain*

Littleton-Griswold Grant

Sara Damiano, *Gender, Law, and the Culture of Credit in New England, 1730-1790*

Moira Gillis, *The Evolution of the Colonial American Corporation under the Stuart and Hanoverian Crowns, 1606-1763*

Ryan Johnson, *Enemies of the State: Knowing, Producing, and Policing Anarchism in the Making of the Modern American National Security State, 1901-21*

Heather Lee, *The Right to Enter: Chinese Restaurant Owners, U.S. Immigration Laws, and the Federal Courts, 1894-1945*

Michael Schoeppner, *The Moral Contagion of Liberty: Black Atlantic Sailors, Citizenship, and Quarantine in the Antebellum United States*

Bernadotte Schmitt Grant

Jeffrey Ahlman, *Living with Nkrumahism: Nation, State, and Pan-Africanism in Ghana*

Laura Beers, *Red Ellen: Socialist, Feminist, Internationalist*

Alexander Bevilacqua, *Islamic Culture in the European Enlightenment*

Jessica Clark, *Imperial Beauty: The Global Trade in Appearance, 1830-1930*

Surekha Davies, *Mapping the Peoples of the New World: Ethnography, Imagery, and Knowledge in Early Modern Europe*

Erin Hochman, *Anschluss before Hitler: The Politics of Transborder Nationalism in Germany and Austria, 1918-38*

Peter Lavelle, *Environmental Histories of Qing Colonialism in the Late 19th Century*

Miriam Kingsberg, *Japan's Midwar Generation: Anthropologists and National Identity in the 20th Century*

Cian McMahon, *The Coffin Ship: Irish Migration, Mortality, and Memory in Global Perspective*

Jacqueline-Bethel Mougoue, *When Women Wear Slacks: Fashion, Beauty and Gendered Nation-Building in West Cameroon, 1960-82*

Alison Okuda, *Caribbean and African Exchanges: The Post-Colonial Transformation of Ghanaian Music, Identity, and Social Structure*

Amit Prakash, *Empire on the Seine: Surveillance, Space, and North African Migrants in Paris, 1925-75*

Gregory Rosenthal, *Hawaiians Who Left Hawaii: Work, Body, and Environment in the Pacific World, 1786-1876*

Charlotte Walker-Said, *The African Roman Catholic Clergy's Nationalist Articulations for Marriage, chap. 5 of Traditional Marriage for the Modern Nation*

Arbella Bet-Shlimon, *Kirkuk under Ba'th Rule: Arabization, Centralization, and the Decline of the 'City of Black Gold,' 1968-2003*

Mari Webel, *The Politics of Sleeping Sickness Prevention in East Africa, 1900-14*

AHA Council Decisions and Actions

AHA Council Decisions and Actions

January 2013

At the meeting of the Council of the American Historical Association, held January 3 and 6, 2013, in New Orleans, LA, the Council made the following decisions:

- Approved a slate of nominations from the Committee on Committees, which includes appointments to the various prize and other committees.
- Approved an application for affiliation from the Society of Civil War Historians.
- Asked the incoming president to appoint an ad hoc committee to develop recommendations on ways AHA can and should address the educational implications of the growing use of adjunct and part-time faculty.
- Approved the report of the Executive Director.
- Approved the annual audit for the 2011–12 fiscal year.
- Adopted a mission statement for the new investment subcommittee of the AHA’s Finance Committee.
- Approved a six-month extension for the LGBTQ Historians Task Force to complete its work.

February - May 2013

Through email conversation, from February 20-May 15, 2013, the Council of the American Historical Association made the following decisions:

- Approved an AHA amicus brief for submission to the Supreme Court case *U.S. v. Windsor*, a case contesting the validity of the Defense of Marriage Act (DOMA). (note: this vote followed an extended discussion of the issue, and preliminary approval, at the January 2013 Council meeting).
- Appointed William Thomas of the University of Nebraska-Lincoln to serve a three-year term as the AHA delegate to the National Historical Publications and Records Commission.
- Approved a statement opposing a proposed Congressional amendment restricting National Science Foundation funding for research in political science.
- Approved supplemental appointments of AHA members to serve on prize and grant committees: David Nirenberg, University of Chicago (Adams Book Prize); Lora Wildenthal, Rice University (Beer Book Prize); Cornelia Dayton, University of Connecticut (Beveridge Book Prize); Richard Kagan, Johns Hopkins University (Gershoy Book Prize); Lisa Wolverton, University of Oregon (Jameson Book Prize); Philippa Levine, University of Texas at Austin (Kelly Book Prize); Walter Rucker, University of North

Carolina, Chapel Hill (Wesley-Logan Prize); Thomas M. Heaney, Feather River College (Roelker Mentorship Award); and Michael A. Osborne, Oregon State (Schmitt Research Grant).

- Approved a letter to Secretary of State John Kerry in support of granting entry visas to Cuban scholars invited to attend the Latin American Studies Association conference on May 29-June 1, 2013 in Washington, DC.
- Endorsed a letter prepared the American Anthropological Association, which provides guidance to funding agencies as they develop open access plans for peer-reviewed publications.
- Endorsed a letter prepared by the History of Science Society expressing concern about the request of Rep. Lamar Smith, Chair of the House Committee on Science, Space, and Technology, to examine peer review reports of National Science Foundation grants.

June 2013

At the mid-year meeting of the Council of the American Historical Association, held June 1-2, 2013, in Washington, DC, the Council made the following decisions:

- Approved the appointment of Michael Les Benedict, Ohio State University, to serve as AHA parliamentarian for a three-year term.
- Approved the selection of members for the 2015 Program Committee: Kathryn Burns, University of North Carolina at Chapel Hill; Alexander Byrd, Rice University; Bryna Goodman, University of Oregon; Florence C. Hsia, University of Wisconsin–Madison; Lisa Leff, American University; Susan Weber Maurer, Nassau Community College; Nancy McTygue, Center for History in the Schools, Sacramento; Richard Rabinowitz, American History Workshop; Omnia El Shakry, University of California, Davis; William G. Thomas III, University of Nebraska–Lincoln. Note: Derek Peterson, University of Michigan–Ann Arbor, was approved as the final member of the 2015 Program Committee via Council Listserv on June 10, 2013.
- Approved the appointment of Andrew W. Robertson, CUNY Graduate Center, and Valerie Paley, New-York Historical Society, to serve as the 2015 Local Arrangements Committee co-chairs.
- Approved the selection of the 2013 Awards for Scholarly Distinction (to be announced later this summer).
- Approved a revision to Bylaws Article IV (3.3.c.i), pursuant to the Constitution Article IV, Section 6, outlining that an executive director search committee consist of the past president (chair), a current member of Council, a member of the Association resident in the Washington, DC, area, and up to two additional members selected at the discretion of the Council president and with the approval of the executive committee.

- Approved revisions to Bylaws Article IV, Sections 3 and 6, establishing that the Controller will be appointed by the Executive Director subject to approval by the Council, and will report to the Executive Director.
- Approved a revision to Bylaws Article VIII (10.2), pursuant to the Constitution Article VIII, Section 2, outlining that Council shall appoint to the Finance Committee at least two individuals with financial expertise.
- Approved revision to Bylaws Article IX (12.3-7), pursuant to the Constitution Article IX, Sections 1-3, eliminating the clause requiring Nominating Committee supervision of election ballots, and moving up the schedule of elections so that nominations must be received by May 15, and ballots must be returned by July 15.
- Approved the renewal of the External Agency Agreement with Indiana University for hosting the offices of the *American Historical Review*.
- Approved a statement of responsibilities and expectations of the Investment Subcommittee.
- Approved the AHA's budget for the 2013-14 fiscal year.
- Proposed changes to the AHA's Annual Meeting Guidelines to allow for the Local Arrangements Committee to arrange up to five sessions at the Annual Meeting.
- Approved a change to the AHA's Prize Policy stating that prizes will be announced at the Annual Meeting and removing specific information about timing of these announcements during the Meeting.
- Accepted the recommendation by the Research Division encouraging the Program Committee to promote creative session formats at the Annual Meeting.
- Approved a change to the AHA's Prize Policy removing the limitation that "No prize should be established by a living person to bear his or her own name during his or her lifetime."
- Approved a change in the appointment terms of the Marraro Prize Committee members from four to three years.
- Approved the acceptance of a bequest of \$40,000 from Dorothy Rosenberg Passer to establish the Dorothy Rosenberg Prize for the History of the Jewish Diaspora and the initiation of a fundraising drive to supplement this bequest.
- Approved a clarification in the description of the J. Franklin Jameson Award for outstanding achievement in the editing of historical primary sources.
- Approved a clarification in the description of the Littleton-Griswold Prize in U.S. law and society, broadly defined.

- Approved the selection for the 2013 Troyer Steele Anderson Prize for contributions to the advancement of the purposes of the Association (to be announced this summer).
- Approved a modification to the American Association of University Professors (AAUP) Censure Notice attached to individual ads placed on the AHA job site to read “The administration of this institution is on the AAUP censure list.”
- Endorsed a letter from the Association for Slavic, Eastern European, and Eurasian Studies to Sergey I. Kislyak, Ambassador of the Russian Federation to the United States, raising concerns about a new Russian law requiring nongovernmental organizations to register as “foreign agents” if they receive money from foreign sources and are found to be engaging in “political activity.”
- Approved a statement declaring that the American Historical Association will not accept an Author Processing Charge in its journal publications.
- Approved an increase in the size of the *American Historical Review* Board of Editors from twelve to thirteen.
- Approved the appointment of new members to the *American Historical Review* Board of Editors: Herman Bennett, CUNY (Theory and Methods); Belinda Davis, Rutgers (Modern Europe, Central); Jan Plamper, University of London, Goldsmiths College (Eastern Europe and Russia); Prasannan Parthasarathi, Boston College (South Asia); and Judith Tucker, Georgetown (Middle East).

June - December 2013

Through email conversation, from June 5-Dec 15, 2013, the Council of the American Historical Association made the following decisions:

- Approved the nomination of Derek Peterson, University of Michigan-Ann Arbor, to serve as the final member of the 2015 Program Committee.
- Approved a statement encouraging universities to adopt a policy that permits recipients of the PhD to choose whether their completed dissertations should be immediately available for free download or be embargoed in digital form for a period of up to six years. This recommendation includes a provision that all dissertations should be available in some format.
- Issued a statement supporting the right of historians to select course assignments as an aspect of academic freedom, in response to the release of a series of 2010 e-mails from former Indiana governor Mitch Daniels, in which Daniels denounced the use of Howard Zinn’s textbook in courses used to train precollegiate teachers.
- Approved the January 2013 Council Meeting Minutes.

- Approved the nomination of Patrick K. O’Brien, Centennial Professor of Economic History, London School of Economics, as the 2013 Honorary Foreign Member.
- Approved the nomination of David Rubenstein, Founder, Carlyle Group, as the recipient of the 2013 Roosevelt–Wilson Award.
- Adopted a resolution electing not to become subject to the District of Columbia Nonprofit Corporation Act of 2010 (the “New Act”), to be filed with the District of Columbia Department of Consumer and Regulatory Affairs prior to January 1, 2014.

2013 Financial Statements with Independent Auditor's Report

American Historical Association

Financial Statements

(With Independent Auditors' Report)

For the years ended June 30, 2013 and 2012

AMERICAN HISTORICAL ASSOCIATION

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WASHINGTON, D.C. 20036
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EMAIL@MCMASTERCPA.COM

The Council of
American Historical Association
Washington, DC

Independent Auditors' Report

We have audited the accompanying statement of financial position of the American Historical Association as of June 30, 2013 and 2012 and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Association's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by the management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the American Historical Association as of June 30, 2013 and 2012, and its changes in net assets and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

Our audits were made for the purpose of forming an opinion on the basic financial statements of the American Historical Association taken as a whole. The accompanying supplemental statements of expenses by program, and net assets by classification for the years ended June 30, 2013 and 2012 and the schedule of general operations for the years ended June 30, 2013, 2012 and 2010 are presented for purposes of additional analysis and are not a required part of the basic financial statements. The information for the years ended June 30, 2013 and 2012 has been subjected to the auditing procedures applied in the audits of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

A handwritten signature in black ink that reads "McMaster & Associates PC".

December 16, 2013
Washington, DC

AMERICAN HISTORICAL ASSOCIATION

STATEMENTS OF FINANCIAL POSITION

June 30, 2013 and 2012

| <u>ASSETS</u> | <u>2013</u> | <u>2012</u> | <u>LIABILITIES AND NET ASSETS</u> | <u>2013</u> | <u>2012</u> |
|-----------------------------------|---------------------|---------------------|-----------------------------------|--------------|--------------|
| Current assets: | | | Current liabilities: | | |
| Cash and equivalents | \$ 329,003 | \$ 540,597 | Accounts payable | \$ 38,943 | \$ 88,296 |
| Accounts receivable | - | 144,461 | Accrued expenses | 162,805 | 226,454 |
| Grants and pledges receivable | 4,467 | 6,100 | Deferred revenue: | | |
| Interest and dividends receivable | 17,496 | 23,230 | Memberships | 688,837 | 649,649 |
| Inventory | 146,377 | 152,259 | Subscriptions | 10,314 | 10,798 |
| Prepaid expenses | 159,018 | 114,459 | Annual meeting | - | 42,400 |
| | <u>656,361</u> | <u>981,106</u> | Life memberships | 69,009 | 72,825 |
| Total current assets | | | Total current liabilities | 969,908 | 1,090,422 |
| Fixed assets: | | | Deferred royalty income | 1,346,264 | 1,737,500 |
| Land | 8,000 | 8,000 | Total liabilities | 2,316,172 | 2,827,922 |
| Building and improvements | 581,823 | 570,065 | Net assets: | | |
| Furniture and equipment | 430,289 | 851,920 | Unrestricted: | | |
| | <u>1,020,112</u> | <u>1,429,985</u> | Undesignated | 1,374,975 | 1,498,155 |
| Less: accumulated depreciation | (655,071) | (985,597) | Designated | 1,879,665 | 1,657,989 |
| Net fixed assets | 365,041 | 444,388 | Total unrestricted | 3,254,640 | 3,156,144 |
| Other assets | | | Temporarily restricted | 1,592,190 | 1,571,770 |
| Investments - long term | 6,622,255 | 6,610,997 | Permanently restricted | 481,370 | 481,370 |
| Deposits | 715 | 715 | Total net assets | 5,328,200 | 5,209,284 |
| Total other assets | 6,622,970 | 6,611,712 | Total liabilities and net assets | \$ 7,644,372 | \$ 8,037,206 |
| Total assets | <u>\$ 7,644,372</u> | <u>\$ 8,037,206</u> | | | |

See accompanying notes and independent auditors' report.

AMERICAN HISTORICAL ASSOCIATION

STATEMENTS OF ACTIVITIES

For the years ended June 30, 2013 and 2012

| | 2013 | | | | 2012 | | | |
|--|---------------------|------------------------|------------------------|---------------------|---------------------|------------------------|------------------------|---------------------|
| | Unrestricted | Temporarily Restricted | Permanently Restricted | Total | Unrestricted | Temporarily Restricted | Permanently Restricted | Total |
| REVENUE AND SUPPORT | | | | | | | | |
| Membership dues | \$ 1,089,527 | \$ - | \$ - | \$ 1,089,527 | \$ 1,088,216 | \$ - | \$ - | \$ 1,088,216 |
| Annual conference | 973,953 | - | - | 973,953 | 948,491 | - | - | 948,491 |
| American Historical Review Publications | 558,094 | - | - | 558,094 | 560,000 | - | - | 560,000 |
| Program service fees | 545,829 | - | - | 545,829 | 537,891 | - | - | 537,891 |
| Grants and contributions | 50,000 | - | - | 50,000 | 72,767 | - | - | 72,767 |
| Interest and dividends | 36,506 | 310,998 | - | 347,504 | 38,017 | 945,753 | - | 983,770 |
| Realized gains and losses on investments | 124,156 | 50,104 | - | 174,260 | 91,757 | 57,468 | - | 149,225 |
| Unrealized losses on investments | 26,367 | 10,639 | - | 37,006 | 202,189 | 126,623 | - | 328,812 |
| Net assets released from restriction | 121,291 | 48,941 | - | 170,232 | (187,909) | (117,685) | - | (305,594) |
| | 400,262 | (400,262) | - | - | 267,665 | (267,665) | - | - |
| Total revenue and support | 3,925,985 | 20,420 | - | 3,946,405 | 3,619,084 | 744,494 | - | 4,363,578 |
| EXPENSES | | | | | | | | |
| Programs: | | | | | | | | |
| American Historical Review | 622,209 | - | - | 622,209 | 564,216 | - | - | 564,216 |
| Annual Meeting | 727,213 | - | - | 727,213 | 750,073 | - | - | 750,073 |
| Membership | 358,768 | - | - | 358,768 | 325,644 | - | - | 325,644 |
| Publications | 855,773 | - | - | 855,773 | 810,087 | - | - | 810,087 |
| Grants and programs | 433,541 | - | - | 433,541 | 365,520 | - | - | 365,520 |
| Special Funds and Prizes | 56,346 | - | - | 56,346 | 59,469 | - | - | 59,469 |
| Total programs | 3,053,850 | - | - | 3,053,850 | 2,875,009 | - | - | 2,875,009 |
| Support services: | | | | | | | | |
| General and Administrative | 293,686 | - | - | 293,686 | 208,891 | - | - | 208,891 |
| Council | 479,953 | - | - | 479,953 | 469,117 | - | - | 469,117 |
| Total support services | 773,639 | - | - | 773,639 | 678,008 | - | - | 678,008 |
| Total expenses | 3,827,489 | - | - | 3,827,489 | 3,553,017 | - | - | 3,553,017 |
| Change in net assets | 98,496 | 20,420 | - | 118,916 | 66,067 | 744,494 | - | 810,561 |
| Net assets, beginning of year | 3,156,144 | 1,571,770 | 481,370 | 5,209,284 | 3,090,077 | 827,276 | 481,370 | 4,398,723 |
| Net assets, end of year | <u>\$ 3,254,640</u> | <u>\$ 1,592,190</u> | <u>\$ 481,370</u> | <u>\$ 5,328,200</u> | <u>\$ 3,156,144</u> | <u>\$ 1,571,770</u> | <u>\$ 481,370</u> | <u>\$ 5,209,284</u> |

See accompanying notes and independent auditors' report.

AMERICAN HISTORICAL ASSOCIATION

STATEMENTS OF CASH FLOWS

For the years ended June 30, 2013 and 2012

| | <u>2013</u> | <u>2012</u> |
|---|-------------------|--------------------|
| Cash flows from operating activities: | | |
| Change in net assets: | \$ 118,916 | \$ 810,561 |
| Adjustments to reconcile change in net assets to net cash provided by operating activities: | | |
| Depreciation and amortization | 99,064 | 102,895 |
| Unrealized (gain) on investments | (170,232) | 305,594 |
| Accounts receivable | 144,461 | 34,722 |
| Grants and pledges receivable | 1,633 | 12,200 |
| Interest and dividends receivable | 5,734 | (12,100) |
| Inventory | 5,882 | 6,091 |
| Prepaid expenses | (44,559) | (30,363) |
| Accounts payable | (49,353) | 3,546 |
| Accrued expenses | (63,649) | 52,822 |
| Deferred revenue | (398,748) | 1,798,735 |
| Total adjustments | <u>(469,767)</u> | <u>2,274,142</u> |
| Net cash provided (used) by operating activities | (350,851) | 3,084,703 |
| Cash flows from investing activities | | |
| Purchase of investments | (1,573,197) | (5,896,346) |
| Sale of investments | 1,732,171 | 3,078,230 |
| Purchase of fixed assets | <u>(19,717)</u> | <u>(30,340)</u> |
| Net cash provided by investing activities | <u>139,257</u> | <u>(2,848,456)</u> |
| Net increase (decrease) in cash and equivalents | (211,594) | 236,247 |
| Cash and equivalents, beginning of year | <u>540,597</u> | <u>304,350</u> |
| Cash and equivalents, end of year | <u>\$ 329,003</u> | <u>\$ 540,597</u> |
| Supplemental disclosure of cash flow information: | | |
| Cash paid for income taxes | <u>\$ 250</u> | <u>\$ 250</u> |
| Cash paid for interest | <u>\$ -</u> | <u>\$ -</u> |

See accompanying notes and independent auditors' report.

AMERICAN HISTORICAL ASSOCIATION

NOTES TO FINANCIAL STATEMENTS

June 30, 2013 and 2012

1. DESCRIPTION OF THE ORGANIZATION

The American Historical Association (the Association) is a District of Columbia non-stock corporation founded in 1884 and incorporated by Congress in 1889 for the promotion of historical studies, the collection and preservation of historical manuscripts, and the dissemination of historical research.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting

The financial statements have been prepared on the accrual basis of accounting in accordance with generally accepted accounting principles. Accordingly, revenues are recognized when earned and expenses are recognized when incurred.

Cash and Equivalents

The Association considers all highly liquid investments purchased with an original maturity of three months or less to be cash equivalents.

Pledges Receivable

Pledges receivable represents amounts which have been promised but not yet received. Pledges beyond one year are discounted to reflect the present value of the pledge.

Investments

Investments are recorded at market value. Realized and unrealized gains and losses on investments are reported on the statement of activities as they occur.

Inventory

Inventory consists of publications and is stated at the lower of cost or market, based on the FIFO (first-in, first-out) method of accounting.

AMERICAN HISTORICAL ASSOCIATION

NOTES TO FINANCIAL STATEMENTS

June 30, 2013 and 2012

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Fixed Assets

Furniture and equipment are reported at cost. Assets and capital lease purchases are depreciated over a three to five year estimated life using the straight line method. Depreciation expense for the years ended June 30, 2013 and 2012 was \$99,064 and \$102,895, respectively.

Accounts Receivable

Accounts receivable is recorded net of an allowance for uncollectible receivables, based on management's evaluation of outstanding accounts receivable at year end. The allowance for uncollectible receivables was \$0 and \$31,748 for the years ended June 30, 2013 and 2012, respectively.

Deferred Revenue

The Association records income for membership dues, subscriptions, registration fees and publication advertising which have been paid in advance as deferred revenue.

Endowment Funds

The Financial Accounting Standards Board issues Staff Position No. FAS 117-1, *Endowments of Not-for-Profit Organizations: Net Asset Classification of Funds Subject to and Enacted Version of the Uniform Prudent Management of Institutional Funds Act (UPMIFA) and Enhanced Disclosures for All Endowment Funds (the FSP)*. The FSP provides guidance on the net asset classification of donor restricted endowment funds for a not-for-profit organization that is subject to an enacted version of the Uniform Prudent Management of Institutional Funds Act of 2006. The FSP also improves disclosures about an organization's endowment funds (both donor restricted endowment funds and board designated endowment funds) whether or not the organization is subject to UPMIFA.

AMERICAN HISTORICAL ASSOCIATION

NOTES TO FINANCIAL STATEMENTS

June 30, 2013 and 2012

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Net Assets

Net assets are reported by the Association in accordance with Financial Accounting Standards Board (FASB) 116 and 117. Accordingly, net assets have been reported using the following categories.

Unrestricted

Unrestricted net assets represent resources over which the Council has discretionary control and are used to carry out operations of the Association in accordance with their bylaws.

The Council's designated fund, included as part of the unrestricted net assets, was established to accumulate a reserve for the Association.

Temporarily Restricted

Temporarily restricted net assets represent contributions and grants, which have been restricted by donors for specific programs or activities. Restrictions, which have been met by the passage of time or expenditure of net assets, are reported as revenues released from restrictions on the statement of activities. For the years ended June 30, 2013 and 2012, the Association received \$310,998 and \$945,753 in temporarily restricted contributions and grants, respectively.

Permanently Restricted Funds

Permanently restricted net assets represent contributions which have been restricted by donors indefinitely. Investment income generated from the principal of the permanently restricted net assets is used to fulfill programs and the general operations of the Association. For the years ended June 30, 2013 and 2012 the Association did not receive any permanently restricted contributions. The balance of the permanently restricted funds was \$481,370 and \$481,370 for the years ended June 30, 2013 and 2012, respectively.

AMERICAN HISTORICAL ASSOCIATION

NOTES TO FINANCIAL STATEMENTS

June 30, 2013 and 2012

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Gifts and bequests of property

Gifts and bequests of property are recorded at the earlier of the date received or when the bequest is no longer subject to probate and its value can be reasonably estimated. Gifts and bequests are valued at their estimated fair market value on the date they are recorded.

Donated Services and Materials

Contributions of services are recognized if the services received create or enhance nonfinancial assets or require specialized skills, and are provided by individuals possessing those skills and would typically need to be purchased if not provided by donation. Contributed services and promises to give services that do not meet the above criteria are not recognized. During 2013 and 2012, the Association did not receive any donated materials or services.

Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

Income Taxes

The Association is a nonprofit organization, which is exempt from federal income taxes under the provision of Section 501(c)(3) of the Internal Revenue Code. However, the Association is subject to unrelated business income tax for activities conducted outside its tax exempt purpose. The Association conducted unrelated business activities that resulted in net operating losses during 2013 and 2012, respectively accordingly no provision for income taxes was recorded.

Functional Allocation of Expenses

The costs of providing the various programs and other activities have been summarized on a functional basis in the Statement of Activities. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

AMERICAN HISTORICAL ASSOCIATION

NOTES TO FINANCIAL STATEMENTS

June 30, 2013 and 2012

3. INVESTMENTS

The value of investments was as follows:

| | June 30, 2013 | | June 30, 2012 | |
|----------------------------------|---------------------|---------------------|---------------------|---------------------|
| | <u>Cost</u> | <u>Market</u> | <u>Cost</u> | <u>Market</u> |
| U.S. Treasury notes and bonds | \$ 1,093,904 | \$ 1,082,415 | \$ 1,545,753 | \$ 1,560,804 |
| Equity mutual funds | 2,003,455 | 3,027,148 | 1,831,524 | 2,500,488 |
| Corporate & foreign Bonds | 739,778 | 726,552 | 1,002,175 | 996,320 |
| Fixed income mutual funds | <u>1,779,820</u> | <u>1,786,140</u> | <u>1,511,463</u> | <u>1,553,385</u> |
| Total | <u>\$ 5,616,957</u> | <u>\$ 6,622,255</u> | <u>\$ 5,890,915</u> | <u>\$ 6,610,997</u> |

The market value of stocks is estimated based on quoted market prices for those investments. None of the investments are held for trading purposes.

4. FAIR VALUE MEASUREMENTS

FASB Statement No. 157, *Fair Value Measurements*, establishes a framework for measuring fair value. That framework provides a fair value hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities (Level 1 measurements) and the lowest priority to unobservable inputs (Level 3 measurements). The three levels of the fair value hierarchy under FASB Statement No. 157 are described as follows:

- Level 1 Inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Foundation has the ability to access.
- Level 2 Inputs to the valuation methodology include
- Quoted prices for similar assets or liabilities in active markets;
 - Quoted prices for identical or similar assets or liabilities in inactive markets;
 - Inputs other than quoted prices that are observable for the asset or liability;
 - Inputs that are derived principally from or corroborated by observable market data by correlation or other means. If the asset or liability has a specified (contractual) term, the Level 2 input must be observable for substantially the full term of the asset or liability.

AMERICAN HISTORICAL ASSOCIATION

NOTES TO FINANCIAL STATEMENTS

June 30, 2013 and 2012

4. FAIR VALUE MEASUREMENTS (Continued)

Level 3 Inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The assets or liability's fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. Valuation techniques used need to maximize the use of observable inputs and minimize and the use of unobservable inputs.

Following is a description of the valuation methodology used for assets measured at fair value. There have been no changes in the methodologies used at June 30, 2013.

Mutual funds: Valued at the net asset value (NAV) of shares at year end.

Cash and equivalents: Valued at cost.

Equity Securities: Valued at the closing price reported on the active market on which the individual securities are traded.

The preceding method described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Association believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The following sets forth by level, within the fair value hierarchy, the Association's assets at fair value as of June 30, 2013:

| | <u>Fair Value</u> | <u>Level 1</u> | <u>Level 2</u> | <u>Level 3</u> |
|---------------------------|---------------------|---------------------|----------------|----------------|
| Treasury notes and bonds | \$ 1,082,415 | \$ 1,082,415 | \$ - | \$ - |
| Equity mutual funds | 3,027,148 | 3,027,148 | - | - |
| Corporate & foreign Bonds | 726,552 | 726,552 | - | - |
| Mutual funds | <u>1,786,140</u> | <u>1,786,140</u> | - | - |
| Total | <u>\$ 6,622,255</u> | <u>\$ 6,622,255</u> | <u>\$ -</u> | <u>\$ -</u> |

AMERICAN HISTORICAL ASSOCIATION

NOTES TO FINANCIAL STATEMENTS

June 30, 2013 and 2012

5. ENDOWMENT AND BOARD-DESIGNATED FUNDS

The Association endowment includes both donor-restricted endowment funds and funds designated by the Council to function as endowments/board-designated funds. As required by GAAP, net assets associated with endowment funds, including funds designated by the Council to function as endowments, are classified and reported based on the existence or absence of donor imposed restrictions.

Interpretation of Relevant Law

Based on its interpretation of the provisions of UPMIFA and the FSP described in Note 1, the Association feels that retaining its existing policies regarding net asset classification of its donor restricted endowment funds is appropriate. Distribution of any gift, bequest or fund is governed by the Association's governing documents and donor agreements. Thirty-nine percent of the Association endowment funds make available the use of principal which are able to be utilized for the intended purpose of the fund. As a result of the ability to distribute corpus, the Association has determined that these donor restricted contributions received subject to the governing documents and subject to UPMIFA are classified as temporarily restricted until appropriated, at which time the appropriation is reclassified to unrestricted net assets. Board designated endowments do not possess external spending restrictions and therefore are classified as unrestricted net assets. Endowment funds that require the corpus remain restricted in perpetuity represent eight percent of the Association's endowment funds are classified as permanently restricted.

Funds with Deficiencies

From time to time, the fair value of assets associated with an individual donor-restricted endowment fund may fall below the level that the donor or UPMIFA requires the Association to retain as a fund of perpetual duration. There were no deficiencies as of June 30, 2013.

Return Objectives and Risk Parameters

The Association has adopted investment and spending policies for endowment and board-designated assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the

AMERICAN HISTORICAL ASSOCIATION

NOTES TO FINANCIAL STATEMENTS

June 30, 2013 and 2012

5. ENDOWMENT AND BOARD-DESIGNATED FUNDS (Continued)

purchasing power of the endowment assets. Endowment assets included those assets of donor-restricted funds that the Association must hold until appropriated as well as board-designated funds. Under this policy, as approved by the Council, the board-designated assets are invested in funds with a primary objective of safety and liquidity. The objective of the restricted assets is to maintain the real purchasing power in order to support the Association's programs, initiatives and operations.

Strategies Employed for Achieving Objectives

To satisfy its long-term, rate-of-return objectives, the Association relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). As a result, the Association has elected to adopt a well-diversified asset allocation consisting of equity and fixed income securities. The Association's current asset allocation for endowment funds targets a composition of between 40 to 80 percent in equities and 20 to 60 percent in fixed income funds.

Spending Policy and How the Investment Objectives Relate to Spending Policy

Expenditures from the board-designated net assets are released as approved by the Association's Council. The earnings on the temporarily restricted net assets are reinvested. Temporarily restricted net assets are released from restricted funds and are used in accordance with donor stipulations.

The changes in endowment net assets for the year ended June 30, 2013 are detailed for income, expenses, investment earnings, investment expenses and transfers on the supplemental statement of net assets by classification.

6. COMMITMENTS

The Association has entered into agreements for rental of space for the annual meeting. The Association is required to pay a portion of the anticipated room revenues in the event that the conference is canceled. Cancellation insurance has been obtained by the Association to offset any potential future losses. The Association anticipates that all minimum room rental requirements will be met.

AMERICAN HISTORICAL ASSOCIATION

NOTES TO FINANCIAL STATEMENTS

June 30, 2013 and 2012

7. RETIREMENT PLAN

The Association maintains a qualifying defined contribution retirement plan for qualifying full-time employees under Internal Revenue Code Section 403(b). The Association contributes up to a maximum of 10 percent of the employee's total annual compensation to the plan each year. The contributions are fully vested and nonforfeitable. For the years ended June 30, 2013 and 2012, contributions to the plan were \$98,015 and \$95,159, respectively. There were no contributions due to the plan at June 30, 2013 and 2012, respectively.

8. CONCENTRATION OF RISK

The Association maintains cash balances in a financial institution which is insured by the Federal Deposit Insurance Corporation for amounts up to \$250,000. The Association is exposed to concentrations of credit risk at times when cash balances exceed the FDIC limit. The Association has not experienced any losses and believes they are not exposed to significant risk.

9. SUBSEQUENT EVENTS

The Association's management has evaluated events and transactions for potential recognition or disclosure through December 16, 2013, the date the financial statements were available to be issued. There were no subsequent events that require recognition of, or disclosure in, these financial statements.

SUPPLEMENTAL INFORMATION

AMERICAN HISTORICAL ASSOCIATION
SUPPLEMENTAL STATEMENT OF EXPENSES BY PROGRAM

For the year ended June 30, 2013

| | Programs | | | | | | Support Services | | | Total Support Services | Total |
|--|----------------------------------|-------------------|-------------------|-------------------|------------------------|-----------------------------|---------------------|-------------------------------|-------------------|------------------------------|---------------------|
| | American Historical Review | Annual Meeting | Membership | Publications | Grants and Programs | Special Funds and Prizes | Total Programs | General and Administrative | Council | | |
| Salaries and fringe benefits | \$ 19,155 | \$ 220,813 | \$ 200,346 | \$ 401,629 | \$ 86,487 | \$ 2,647 | \$ 931,077 | \$ 389,109 | \$ 320,827 | \$ 709,936 | \$ 1,641,013 |
| Bank fees and service charges | - | 36,116 | 36,969 | 11,375 | - | - | 84,460 | 23,585 | 239 | 23,824 | 108,284 |
| Communications | - | - | - | - | 9,875 | - | 9,875 | 77,606 | - | 77,606 | 87,481 |
| Contractors | 420,036 | 124,703 | 2,550 | 6,860 | 5,040 | - | 559,189 | 70,834 | - | 70,834 | 630,023 |
| Contributions and coalition | - | - | - | - | - | - | - | 108,855 | - | 108,855 | 108,855 |
| Depreciation | - | - | - | - | - | - | - | 99,064 | - | 99,064 | 99,064 |
| Dues and subscriptions | - | - | 552 | - | - | - | 552 | 11,010 | - | 11,010 | 11,562 |
| Equipment rental and maintenance | - | 2,065 | - | - | - | - | 2,065 | 43,126 | - | 43,126 | 45,191 |
| Insurance | 3,004 | 3,413 | - | - | - | - | 6,417 | 10,262 | - | 10,262 | 16,679 |
| Meetings and conferences | 2,163 | 79,944 | - | - | 81,162 | 23 | 163,292 | - | 27,328 | 27,328 | 190,620 |
| Miscellaneous | - | - | - | - | - | - | - | 5,191 | - | 5,191 | 5,191 |
| Office expense | 16,074 | - | - | - | - | - | 16,074 | 30,202 | - | 30,202 | 46,276 |
| Postage and delivery | 4,902 | 21,374 | 20,868 | 65,485 | - | - | 112,629 | 9,928 | 448 | 10,376 | 123,005 |
| Printing and duplicating | 118 | 40,962 | 19,532 | 177,356 | - | - | 237,968 | 2,471 | - | 2,471 | 240,439 |
| Prizes, grants and honorariums | - | 1,300 | - | - | 93,308 | 41,483 | 136,091 | - | - | - | 136,091 |
| Professional fees | - | - | - | - | - | - | - | 87,870 | - | 87,870 | 87,870 |
| Repairs and maintenance | - | - | - | - | - | - | - | 32,689 | - | 32,689 | 32,689 |
| Storage | - | - | 129 | 7,420 | - | - | 7,549 | 8,155 | - | 8,155 | 15,704 |
| Supplies | - | - | - | - | 249 | - | 249 | 11,470 | 5,636 | 17,106 | 17,355 |
| Taxes, licenses and permits | - | - | - | - | - | - | - | 941 | - | 941 | 941 |
| Travel | 21,789 | 38,759 | - | - | 63,377 | - | 123,925 | 24,699 | 21,360 | 46,059 | 169,984 |
| Utilities | - | - | - | - | - | - | - | 13,172 | - | 13,172 | 13,172 |
| Total expense, before overhead allocation | 487,241 | 569,449 | 280,946 | 670,125 | 339,498 | 44,153 | 2,391,412 | 1,060,239 | 375,838 | 1,436,077 | 3,827,489 |
| Overhead allocation | 134,968 | 157,764 | 77,822 | 185,648 | 94,043 | 12,193 | 662,438 | (766,553) | 104,115 | (662,438) | - |
| Total expense | \$ 622,209 | \$ 727,213 | \$ 358,768 | \$ 855,773 | \$ 433,541 | \$ 56,346 | \$ 3,053,850 | \$ 293,686 | \$ 479,953 | \$ 773,639 | \$ 3,827,489 |

See accompanying notes and independent auditors' report.

AMERICAN HISTORICAL ASSOCIATION
SUPPLEMENTAL STATEMENT OF EXPENSES BY PROGRAM

For the year ended June 30, 2012

| | Programs | | | | | | Support Services | | Total Support Services | | Total |
|---|----------------------------|----------------|------------|--------------|---------------------|--------------------------|------------------|----------------------------|------------------------|------------|--------------|
| | American Historical Review | Annual Meeting | Membership | Publications | Grants and Programs | Special Funds and Prizes | Total Programs | General and Administrative | Council | Total | |
| Salaries and fringe benefits | \$ 19,346 | \$ 210,530 | \$ 172,278 | \$ 402,884 | \$ 92,873 | \$ - | \$ 897,911 | \$ 342,618 | \$ 305,025 | \$ 647,643 | \$ 1,545,554 |
| Bank fees and service charges | - | 20,835 | 40,589 | 29,509 | - | - | 90,933 | 70 | - | 70 | 91,003 |
| Communications | - | 2,085 | - | - | - | - | 2,085 | 54,551 | - | 54,551 | 56,636 |
| Contractors | 394,384 | 109,626 | - | - | 5,400 | - | 509,410 | 37,980 | 9,661 | 47,641 | 557,051 |
| Contributions and coalition | - | - | - | - | - | - | - | 109,565 | 10,000 | 119,565 | 119,565 |
| Depreciation | - | - | - | - | - | - | - | 102,895 | - | 102,895 | 102,895 |
| Dues and subscriptions | - | 152 | 1,690 | 10,659 | 1,504 | - | 14,005 | 5,100 | - | 5,100 | 19,105 |
| Equipment rental and maintenance | 1,024 | 4,667 | - | - | - | - | 5,691 | 28,507 | - | 28,507 | 34,198 |
| Insurance | 601 | 4,655 | - | - | - | - | 5,256 | 14,187 | - | 14,187 | 19,443 |
| Meetings and conferences | 2,422 | 150,239 | - | - | 15,400 | - | 168,061 | - | 22,409 | 22,409 | 190,470 |
| Miscellaneous | 29 | 2,296 | - | - | - | 35 | 2,360 | 3,218 | 256 | 3,474 | 5,834 |
| Office expense | 6,539 | - | - | 285 | 28,157 | - | 34,981 | 10,289 | - | 10,289 | 45,270 |
| Postage and delivery | 7,651 | 13,276 | 20,092 | 55,271 | 1,000 | - | 97,290 | 3,419 | - | 3,419 | 100,709 |
| Printing and duplicating | - | 44,642 | 27,397 | 145,053 | 2,000 | - | 219,092 | 226 | - | 226 | 219,318 |
| Prizes, grants and honorariums | - | - | - | - | 70,988 | 47,450 | 118,438 | - | - | - | 118,438 |
| Professional fees | - | - | - | - | - | - | - | 55,106 | - | 55,106 | 55,106 |
| Repairs and maintenance | - | - | - | - | - | - | - | 38,667 | - | 38,667 | 38,667 |
| Storage | - | - | - | 8,293 | - | - | 8,293 | 7,703 | - | 7,703 | 15,996 |
| Supplies | 4,897 | - | - | - | 351 | - | 5,248 | 1,891 | - | 1,891 | 7,139 |
| Taxes, licenses and permits | - | - | - | - | - | - | - | 10,281 | - | 10,281 | 10,281 |
| Travel | 17,190 | 40,657 | - | - | 76,493 | 350 | 134,690 | 19,830 | 30,161 | 49,991 | 184,681 |
| Utilities | - | - | - | - | - | - | - | 15,658 | - | 15,658 | 15,658 |
| Total expense, before overhead allocation | 454,083 | 603,660 | 262,046 | 651,954 | 294,166 | 47,835 | 2,313,744 | 861,761 | 377,512 | 1,239,273 | 3,553,017 |
| Overhead allocation | 110,133 | 146,413 | 63,598 | 158,133 | 71,354 | 11,634 | 561,265 | (652,870) | 91,605 | (561,265) | - |
| Total expense | \$ 564,216 | \$ 750,073 | \$ 325,644 | \$ 810,087 | \$ 365,520 | \$ 59,469 | \$ 2,875,009 | \$ 208,891 | \$ 469,117 | \$ 678,008 | \$ 3,553,017 |

See accompanying notes and independent auditors' report.

AMERICAN HISTORICAL ASSOCIATION

SUPPLEMENTAL STATEMENT OF NET ASSETS BY CLASSIFICATION

For the year ended June 30, 2013

| | Beginning of Year | | Unrestricted | | Total Unrestricted | Total | Income | Expense | Investment Income, Realized Gains, and Unrealized Losses | Investment Fees | Transfers | End of Year | | Unrestricted | | Total Unrestricted | Total | |
|--|------------------------|------------------------|--------------|--------------|--------------------|--------------|--------------|----------------|--|-----------------|-----------|-------------|--------------|------------------------|------------------------|--------------------|-------|--------------|
| | Permanently Restricted | Temporarily Restricted | Designated | Undesignated | | | | | | | | Designated | Undesignated | Permanently Restricted | Temporarily Restricted | | | |
| | | | | | | | | | | | | | | | | | | |
| Specific Use Funds: | | | | | | | | | | | | | | | | | | |
| Prize Funds | | | | | | | | | | | | | | | | | | |
| Herbert Baxter Adams Prize Fund | \$ 5,000 | \$ 21,624 | \$ - | \$ - | \$ - | \$ 26,624 | \$ - | \$ (500) | \$ 2,096 | \$ (128) | \$ - | \$ 5,000 | \$ 23,092 | \$ - | \$ - | \$ - | \$ - | \$ 28,092 |
| Martin A. Klein Prize Fund | - | 70,692 | - | - | - | 70,692 | - | (1,000) | 5,553 | (339) | - | - | 74,906 | - | - | - | - | 74,906 |
| John E. Richards Prize Fund | - | 57,764 | - | - | - | 57,764 | - | (1,000) | 4,524 | (276) | - | - | 61,012 | - | - | - | - | 61,012 |
| Ancient History Prize Fund - James H. Breasted Fund | 10,800 | 4,063 | - | - | - | 14,863 | 10,000 | (500) | 1,949 | (119) | - | 10,800 | 15,393 | - | - | - | - | 26,193 |
| George Louis Beer Prize Fund | 5,000 | 72,579 | - | - | - | 77,579 | - | (1,000) | 6,105 | (373) | - | 5,000 | 77,311 | - | - | - | - | 82,311 |
| Bently Prize for World History | - | - | - | - | - | - | 26,663 | - | 2,133 | (130) | - | - | 28,666 | - | - | - | - | 28,666 |
| Beveridge Family Prize Fund | 20,000 | 4,041 | - | - | - | 24,041 | 7,068 | (1,500) | 2,354 | (144) | - | 20,000 | 11,819 | - | - | - | - | 31,819 |
| Paul Birdsall Prize Fund | 10,000 | 14,867 | - | - | - | 24,867 | - | (500) | 1,949 | (119) | - | 10,000 | 16,197 | - | - | - | - | 26,197 |
| Albert Corey Prize Fund | 25,000 | 53,250 | - | - | - | 78,250 | - | - | 6,215 | (380) | - | 25,000 | 59,085 | - | - | - | - | 84,085 |
| Premio Del Rey Prize Fund | 10,800 | 16,049 | - | - | - | 26,849 | - | (500) | 2,096 | (128) | - | 10,800 | 17,517 | - | - | - | - | 28,317 |
| John H. Dunning Prize Fund | 2,000 | 29,268 | - | - | - | 31,268 | - | - | 2,501 | (153) | - | 2,000 | 31,616 | - | - | - | - | 33,616 |
| John K. Fairbank Prize Fund | 7,990 | 47,581 | - | - | - | 55,571 | - | (1,000) | 4,340 | (265) | - | 7,990 | 50,656 | - | - | - | - | 58,646 |
| Morris D. Forkosch Prize Fund | 16,000 | 21,652 | - | - | - | 37,652 | - | (1,000) | 2,905 | (177) | - | 16,000 | 23,380 | - | - | - | - | 39,380 |
| Leo Gershoy Prize Fund | 10,000 | 46,245 | - | - | - | 56,245 | - | (1,000) | 4,413 | (269) | - | 10,000 | 49,389 | - | - | - | - | 59,389 |
| William Gilbert Prize Fund | 10,000 | 133,179 | - | - | - | 143,179 | - | (2,000) | 11,254 | (687) | - | 10,000 | 141,746 | - | - | - | - | 151,746 |
| Clarence H. Haring Prize Fund | 3,000 | 32,184 | - | - | - | 35,184 | - | - | 2,795 | (171) | - | 3,000 | 34,808 | - | - | - | - | 37,808 |
| Katz Book Prize | - | - | - | - | - | - | 32,085 | - | 2,538 | (155) | - | - | 34,468 | - | - | - | - | 34,468 |
| Jean Kelly Prize Fund | 18,692 | 30,165 | - | - | - | 48,857 | 17,757 | (1,000) | 5,222 | (319) | - | 18,692 | 51,825 | - | - | - | - | 70,517 |
| J. Russell Major Prize Fund | 25,000 | 19,944 | - | - | - | 44,944 | - | (1,000) | 3,494 | (213) | - | 25,000 | 22,225 | - | - | - | - | 47,225 |
| Howard R. Marraro Prize Fund | 10,000 | 15,890 | - | - | - | 25,890 | - | (500) | 2,023 | (124) | - | 10,000 | 17,289 | - | - | - | - | 27,289 |
| George L. Mosse Prize Fund | 27,088 | 6,164 | - | - | - | 33,252 | - | (500) | 2,611 | (159) | - | 27,088 | 8,116 | - | - | - | - | 35,204 |
| James A. Rowley Award | 20,000 | 11,386 | - | - | - | 31,386 | - | (500) | 2,464 | (150) | - | 20,000 | 13,200 | - | - | - | - | 33,200 |
| Nancy Roelker Award | 18,000 | 13,063 | - | - | - | 31,063 | - | (500) | 2,427 | (148) | - | 18,000 | 14,842 | - | - | - | - | 32,842 |
| Rosenburg Prize Fund | - | - | - | - | - | - | 40,000 | - | 3,200 | (195) | - | - | 43,005 | - | - | - | - | 43,005 |
| Andrew D. White Prize Fund | - | 15,133 | - | - | - | 15,133 | - | - | 1,214 | (74) | - | - | 16,273 | - | - | - | - | 16,273 |
| Wesley-Logan Prize Fund | - | 7,555 | - | - | - | 7,555 | 300 | (500) | 588 | (36) | - | - | 7,907 | - | - | - | - | 7,907 |
| Herbert Feis Prize Fund | - | 10,980 | - | - | - | 10,980 | - | (500) | 846 | (52) | - | - | 11,274 | - | - | - | - | 11,274 |
| Total prize funds | 254,370 | 755,319 | - | - | - | 1,009,689 | 133,873 | (16,500) | 89,809 | (5,483) | - | 254,370 | 957,018 | - | - | - | - | 1,211,388 |
| Special Funds | | | | | | | | | | | | | | | | | | |
| Albert J. Beveridge Memorial Fund | 100,000 | - | 153,812 | - | 153,812 | 253,812 | - | (6,875) | 19,639 | (1,199) | - | 100,000 | - | 165,377 | - | 165,377 | - | 265,377 |
| Littleton-Griswold Fund | 25,000 | - | 104,168 | - | 104,168 | 129,168 | - | (1,000) | 10,187 | (622) | - | 25,000 | - | 112,733 | - | 112,733 | - | 137,733 |
| David M. Matteson Fund | 87,000 | 44,019 | 185,989 | - | 185,989 | 317,008 | - | - | 25,230 | (1,541) | - | 87,000 | 44,019 | 209,678 | - | 209,678 | - | 340,697 |
| Michael Kraus Fund | 15,000 | 50,229 | - | - | - | 65,229 | - | (1,500) | 5,075 | (310) | - | 15,000 | 53,494 | - | - | - | - | 68,494 |
| Bernadotte Schmitt Endowment Fund | - | - | 455,632 | - | 455,632 | 455,632 | 1,000 | (2,000) | 36,189 | (2,210) | - | - | - | 488,611 | - | 488,611 | - | 488,611 |
| Total Special Funds | 227,000 | 94,248 | 899,601 | - | 899,601 | 1,220,849 | 1,000 | (11,375) | 96,320 | (5,882) | - | 227,000 | 97,513 | 976,399 | - | 976,399 | - | 1,300,912 |
| Total Specific Use Funds | 481,370 | 849,566 | 899,601 | - | 899,601 | 2,230,537 | 134,873 | (27,875) | 186,129 | (11,365) | - | 481,370 | 1,054,530 | 976,399 | - | 976,399 | - | 2,512,299 |
| Grant Programs | | | | | | | | | | | | | | | | | | |
| National Aeronautics and Space Administration Fellowship Program | - | - | - | - | - | - | 22,767 | (22,767) | - | - | - | - | - | - | - | - | - | - |
| Mellon Foundation Grant | - | - | - | - | - | - | - | (13,109) | 213 | (166) | 85,000 | - | - | 71,938 | - | 71,938 | - | 71,938 |
| National Endowment for the Humanities Bridging Cultures for Community Colleges | - | - | - | - | - | - | 109,558 | (109,558) | - | - | - | - | - | - | - | - | - | - |
| Lumina Foundation for Education | - | 623,873 | - | - | - | 623,873 | 30,000 | (233,694) | 13,527 | (3,123) | - | - | 430,583 | - | - | - | - | 430,583 |
| Teagle Prep Grant | - | - | - | - | - | - | 20,000 | (12,314) | 77 | - | - | - | 7,763 | - | - | - | - | 7,763 |
| Oxford University Press - Guide Historical Literature | - | 98,331 | - | - | - | 98,331 | - | - | 983 | - | - | - | 99,314 | - | - | - | - | 99,314 |
| Total grant programs | - | 722,204 | - | - | - | 722,204 | 182,325 | (391,442) | 14,800 | (3,289) | 85,000 | - | 537,660 | 71,938 | - | 71,938 | - | 609,598 |
| Unrestricted Funds | | | | | | | | | | | | | | | | | | |
| 125th Anniversary Fund | - | - | 31,138 | - | 31,138 | 31,138 | 2,468 | - | 2,685 | (164) | - | - | - | 36,127 | - | 36,127 | - | 36,127 |
| Working Capital Fund | - | - | 138,389 | - | 138,389 | 138,389 | - | - | 10,997 | (671) | - | - | - | 148,715 | - | 148,715 | - | 148,715 |
| Endowment Fund | - | - | 588,861 | - | 588,861 | 588,861 | 12,664 | - | 47,885 | (2,924) | - | - | - | 646,486 | - | 646,486 | - | 646,486 |
| Unrestricted Undesignated Funds | - | - | - | 1,498,155 | 1,498,155 | 1,498,155 | 3,232,577 | (3,382,426) | 119,002 | (7,333) | (85,000) | - | - | - | 1,374,975 | 1,374,975 | - | 1,374,975 |
| Total Unrestricted Funds | - | - | 758,388 | 1,498,155 | 2,256,543 | 2,256,543 | 3,247,709 | (3,382,426) | 180,569 | (11,092) | (85,000) | - | - | 831,328 | 1,374,975 | 2,206,303 | - | 2,206,303 |
| Total net assets | \$ 481,370 | \$ 1,571,770 | \$ 1,657,989 | \$ 1,498,155 | \$ 3,156,144 | \$ 5,209,284 | \$ 3,564,907 | \$ (3,801,743) | \$ 381,498 | \$ (25,746) | \$ - | \$ 481,370 | \$ 1,592,190 | \$ 1,879,665 | \$ 1,374,975 | \$ 3,254,640 | - | \$ 5,328,200 |

See accompanying notes and independent auditors' report.

AMERICAN HISTORICAL ASSOCIATION

SUPPLEMENTAL STATEMENT OF NET ASSETS BY CLASSIFICATION

For the year ended June 30, 2012

| | Beginning of Year | | | | | | Income | Expense | Investment Income, Realized Gains, and Unrealized Losses | Investment Fees | Transfers | End of Year | | | | | |
|--|---------------------------|---------------------------|---------------------|---------------------|-----------------------|---------------------|---------------------|-----------------------|---|--------------------|-------------|---------------------------|---------------------------|---------------------|---------------------|-----------------------|---------------------|
| | Permanently Restricted | Temporarily Restricted | Unrestricted | | Total Unrestricted | Total | | | | | | Permanently Restricted | Temporarily Restricted | Unrestricted | | Total Unrestricted | Total |
| | | | Designated | Undesignated | | | | | | | | | | Designated | Undesignated | | |
| Specific Use Funds: | | | | | | | | | | | | | | | | | |
| Prize Funds | | | | | | | | | | | | | | | | | |
| Herbert Baxter Adams Prize Fund | \$ 5,000 | \$ 21,498 | \$ - | \$ - | \$ - | \$ 26,498 | \$ - | \$ (500) | \$ 799 | \$ (173) | \$ - | \$ 5,000 | \$ 21,624 | \$ - | \$ - | \$ - | \$ 26,624 |
| Martin A. Klein Prize Fund | - | 70,038 | - | - | - | 70,038 | - | (1,000) | 2,112 | (458) | - | - | 70,692 | - | - | - | 70,692 |
| John E. Richards Prize Fund | - | 57,418 | - | - | - | 57,418 | - | (1,000) | 1,719 | (373) | - | - | 57,764 | - | - | - | 57,764 |
| Ancient History Prize Fund - James H. Breasted Fund | 10,800 | 4,213 | - | - | - | 15,013 | - | (500) | 447 | (97) | - | 10,800 | 4,063 | - | - | - | 14,863 |
| George Louis Beer Prize Fund | 5,000 | 71,766 | - | - | - | 76,766 | - | (1,000) | 2,315 | (502) | - | 5,000 | 72,579 | - | - | - | 77,579 |
| Beveridge Family Prize Fund | 20,000 | 3,030 | - | - | - | 23,030 | 2,810 | (2,350) | 704 | (153) | - | 20,000 | 4,041 | - | - | - | 24,041 |
| Paul Birdsall Prize Fund | 10,000 | 14,294 | - | - | - | 24,294 | - | - | 731 | (158) | - | 10,000 | 14,867 | - | - | - | 24,867 |
| Albert Corey Prize Fund | 25,000 | 51,437 | - | - | - | 76,437 | - | - | 2,315 | (502) | - | 25,000 | 53,250 | - | - | - | 78,250 |
| Premio Del Rey Prize Fund | 10,800 | 15,423 | - | - | - | 26,223 | - | - | 799 | (173) | - | 10,800 | 16,049 | - | - | - | 26,849 |
| John H. Dunning Prize Fund | 2,000 | 29,037 | - | - | - | 31,037 | - | (500) | 934 | (203) | - | 2,000 | 29,268 | - | - | - | 31,268 |
| John K. Fairbank Prize Fund | 7,990 | 47,277 | - | - | - | 55,267 | - | (1,000) | 1,665 | (361) | - | 7,990 | 47,581 | - | - | - | 55,571 |
| Morris D. Forkosch Prize Fund | 16,000 | 21,772 | - | - | - | 37,772 | - | (1,000) | 1,124 | (244) | - | 16,000 | 21,652 | - | - | - | 37,652 |
| Leo Gershoy Prize Fund | 10,000 | 45,930 | - | - | - | 55,930 | - | (1,000) | 1,679 | (364) | - | 10,000 | 46,245 | - | - | - | 56,245 |
| William Gilbert Prize Fund | 10,000 | 12,393 | - | - | - | 22,393 | 119,346 | (500) | 2,477 | (537) | - | 10,000 | 133,179 | - | - | - | 143,179 |
| Clarence H. Haring Prize Fund | 3,000 | 31,368 | - | - | - | 34,368 | - | - | 1,042 | (226) | - | 3,000 | 32,184 | - | - | - | 35,184 |
| Joan Kelly Prize Fund | 18,692 | 20,567 | - | - | - | 39,259 | 9,570 | (1,000) | 1,313 | (285) | - | 18,692 | 30,165 | - | - | - | 48,857 |
| J. Russell Major Prize Fund | 25,000 | 19,895 | - | - | - | 44,895 | - | (1,000) | 1,340 | (291) | - | 25,000 | 19,944 | - | - | - | 44,944 |
| Howard R. Marraro Prize Fund | 10,000 | 15,785 | - | - | - | 25,785 | - | (500) | 772 | (167) | - | 10,000 | 15,890 | - | - | - | 25,890 |
| George L. Mosse Prize Fund | 27,088 | 5,890 | - | - | - | 32,978 | - | (500) | 988 | (214) | - | 27,088 | 6,164 | - | - | - | 33,252 |
| James A. Rowley Award | 20,000 | 11,155 | - | - | - | 31,155 | - | (500) | 934 | (203) | - | 20,000 | 11,386 | - | - | - | 31,386 |
| Nancy Roelker Award | 18,000 | 12,842 | - | - | - | 30,842 | - | (500) | 921 | (200) | - | 18,000 | 13,063 | - | - | - | 31,063 |
| Andrew D. White Prize Fund | - | 14,783 | - | - | - | 14,783 | - | - | 447 | (97) | - | - | 15,133 | - | - | - | 15,133 |
| Wesley-Logan Prize Fund | - | 7,875 | - | - | - | 7,875 | - | (500) | 230 | (50) | - | - | 7,555 | - | - | - | 7,555 |
| Herbert Feis Prize Fund | - | 11,215 | - | - | - | 11,215 | - | (500) | 338 | (73) | - | - | 10,980 | - | - | - | 10,980 |
| Total prize funds | 254,370 | 616,902 | - | - | - | 871,272 | 131,726 | (15,350) | 28,145 | (6,104) | - | 254,370 | 755,319 | - | - | - | 1,009,689 |
| Special Funds | | | | | | | | | | | | | | | | | |
| Albert J. Beveridge Memorial Fund | 100,000 | - | 153,365 | - | 153,365 | 253,365 | - | (5,500) | 7,594 | (1,647) | - | 100,000 | - | 153,812 | - | 153,812 | 253,812 |
| Littleton-Griswold Fund | 25,000 | - | 105,625 | - | 105,625 | 130,625 | - | (4,500) | 3,885 | (842) | - | 25,000 | - | 104,168 | - | 104,168 | 129,168 |
| David M. Matteson Fund | 87,000 | 44,019 | 178,642 | - | 178,642 | 309,661 | - | - | 9,381 | (2,034) | - | 87,000 | 44,019 | 185,989 | - | 185,989 | 317,008 |
| Michael Kraus Fund | 15,000 | 50,203 | - | - | - | 65,203 | - | (1,500) | 1,949 | (423) | - | 15,000 | 50,229 | - | - | - | 65,229 |
| Bernadotte Schmitt Endowment Fund | - | - | 458,474 | - | 458,474 | 458,474 | - | (13,550) | 13,672 | (2,964) | - | - | - | 455,632 | - | 455,632 | 455,632 |
| Total Special Funds | 227,000 | 94,222 | 896,106 | - | 896,106 | 1,217,328 | - | (25,050) | 36,481 | (7,910) | - | 227,000 | 94,248 | 899,601 | - | 899,601 | 1,220,849 |
| Total Specific Use Funds | 481,370 | 711,123 | 896,106 | - | 896,106 | 2,088,599 | 131,726 | (40,400) | 64,626 | (14,014) | - | 481,370 | 849,566 | 899,601 | - | 899,601 | 2,230,537 |
| Grant Programs | | | | | | | | | | | | | | | | | |
| National Aeronautics and Space Administration Fellowship Program | - | 5,000 | - | - | - | 5,000 | 25,000 | (30,000) | - | - | - | - | - | - | - | - | - |
| Mellon Research Planning Grant | - | 12,822 | - | - | - | 12,822 | - | (12,822) | - | - | - | - | - | - | - | - | - |
| National Endowment for the Humanities Briding Cultures for Community Colleges | - | - | - | - | - | - | 20,985 | (20,985) | - | - | - | - | - | - | - | - | - |
| Lumina Foundation for Education | - | - | - | - | - | - | 767,200 | (152,352) | 11,161 | (2,136) | - | - | 623,873 | - | - | - | 623,873 |
| Oxford University Press - Guide Historical Literature | - | 98,331 | - | - | - | 98,331 | - | - | - | - | - | - | 98,331 | - | - | - | 98,331 |
| Total grant programs | - | 116,153 | - | - | - | 116,153 | 813,185 | (216,159) | 11,161 | (2,136) | - | - | 722,204 | - | - | - | 722,204 |
| Unrestricted Funds | | | | | | | | | | | | | | | | | |
| 125th Anniversary Fund | - | - | 18,449 | - | 18,449 | 18,449 | 12,105 | - | 745 | (161) | - | - | - | 31,138 | - | 31,138 | 31,138 |
| Working Capital Fund | - | - | 135,187 | - | 135,187 | 135,187 | - | - | 4,088 | (886) | - | - | - | 138,389 | - | 138,389 | 138,389 |
| Endowment Fund | - | - | 578,511 | - | 578,511 | 578,511 | 8,827 | (12,153) | 17,462 | (3,786) | - | - | - | 588,861 | - | 588,861 | 588,861 |
| Unrestricted Undesignated Funds | - | - | - | 1,461,823 | 1,461,823 | 1,461,823 | 3,250,323 | (3,252,817) | 49,331 | (10,505) | - | - | - | - | 1,498,155 | 1,498,155 | 1,498,155 |
| Total Unrestricted Funds | - | - | 732,147 | 1,461,823 | 2,193,970 | 2,193,970 | 3,271,255 | (3,264,970) | 71,626 | (15,338) | - | - | - | 758,388 | 1,498,155 | 2,256,543 | 2,256,543 |
| Total net assets | \$ 481,370 | \$ 827,276 | \$ 1,628,253 | \$ 1,461,823 | \$ 3,090,076 | \$ 4,398,722 | \$ 4,216,166 | \$ (3,521,529) | \$ 147,413 | \$ (31,488) | \$ - | \$ 481,370 | \$ 1,571,770 | \$ 1,657,989 | \$ 1,498,155 | \$ 3,156,144 | \$ 5,209,284 |

See accompanying notes and independent auditors' report.

AMERICAN HISTORICAL ASSOCIATION

SUPPLEMENTAL STATEMENTS OF GENERAL OPERATIONS

For the years ended June 30, 2013, 2012 and 2011

| | 2013 | 2012 | 2011 |
|--|--------------|--------------|--------------|
| REVENUE AND SUPPORT | | | |
| Membership dues | \$ 1,089,527 | \$ 1,088,216 | \$ 1,071,225 |
| Annual Conference | 973,953 | 923,491 | 1,042,276 |
| American Historical Review | 558,094 | 560,000 | 560,000 |
| Publications | 545,829 | 537,891 | 543,532 |
| Program service fees | 50,000 | 72,767 | 72,332 |
| Administrative income | 47,843 | 38,835 | 10,000 |
| Prize administration fee | 7,243 | 4,774 | - |
| Contributions | 36,506 | 38,017 | 37,283 |
| Interest allocation from designated investments | 156,600 | 100,000 | 100,000 |
| Total revenue and support | 3,465,595 | 3,363,991 | 3,436,648 |
| EXPENSES | | | |
| Programs: | | | |
| American Historical Review | 487,241 | 454,083 | 465,407 |
| Annual Meeting | 569,449 | 603,660 | 557,710 |
| Membership | 280,946 | 262,046 | 244,623 |
| Publications | 670,125 | 651,954 | 680,582 |
| Total programs | 2,007,761 | 1,971,743 | 1,948,322 |
| Support services: | | | |
| General and Administrative | 1,060,239 | 861,761 | 846,738 |
| Council | 375,838 | 377,512 | 409,981 |
| Total support services | 1,436,077 | 1,239,273 | 1,256,719 |
| Total expenses | 3,443,838 | 3,211,016 | 3,205,041 |
| Change in net assets | \$ 21,757 | \$ 152,975 | \$ 231,607 |

See accompanying notes and independent auditors' report.