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Council Decisions and Actions

At meetings on January 3 and 6, 2020, the Council of the American Historical Association took the following actions:

- Approved the terms of discussion for the 2020 AHA Business Meeting.
- Approved the minutes of the June 2019 Council meeting.
- Approved the interim minutes of the Council from June through December 2019.
- Approved the 2020 Committee appointments.
- Approved the Statement on Research Access.
- Approved revisions to the penultimate paragraph in the Association’s “Guiding Principles on Taking a Public Stance” regarding amicus briefs and adopted Policies and Procedures for Considering Amicus Brief Requests.
- Approved “Improving the Status of Non-Tenured-Tenure-Track Faculty: Recommendations for History Departments.”
- Approved extending eligibility for the AHA Council Annual Meeting Travel Grants to Un/Underemployed historians beginning with the 2021 annual meeting.
- Selected the 2020 Honorary Foreign Member [Name to be released in Fall 2020].
- Appointed Mark Ravina, University of Texas at Austin, chair, and Margaret Salazar-Porzio, National Museum of American History, co-chair of the 2022 Program Committee.
- Approved changes to AHA Bylaws (4) Pursuant to Article IV, Section 6 to extend the search process for the AHR editor from 18 months to 24 months, and to expand the search committee from four to five members.
- Approved a proposal by the AHA Committee on LGBTQ Status in the Profession to create a spend-down fund to provide an annual $500 grant to support research in LGBTQ history. The committee agreed to raise the $12,500 to fund the grant until the account is depleted.
- Approved changes to the Annual Meeting Guidelines to clarify when advisors and students may appear on the same session, to clarify the process for sessions organized by AHA divisions and committees, and to clarify that presentations in languages other than English are permissible, with certain conditions.
- Referred the Resolution Condemning Affiliations between ICE and Higher Education, which was adopted by a majority of the members present at the AHA Business meeting, to the AHA’s general counsel for a legal opinion as specified in Article 7 of the AHA’s Constitution. Council will veto the resolution if it requires the Association to support activities that violate federal, state, or local laws. If the resolution does indeed require that the AHA support such activities (and hence a veto), Council will consider at its June
2020 meeting a new resolution on the issues raised in the petition that would conform with legal requirements and the mission of the AHA.

- Established an ad hoc committee to revisit the AHA’s Constitution and Bylaws.
- Received the Fiscal Year 2018-19 Audit.

Through email conversation from January 10, 2020, to May 30, 2020, the Council of the American Historical Association took the following actions:

- Reappointed Kenneth Ledford for a three-year term as AHA Parliamentarian.
- Approves a Statement Condemning the Use of Historical Sites in Warfare.
- Sent a letter to Russell Vought, acting director of the Office of Management and Budget, and members of the Public Buildings Reform Board, expressing concern about the recommendation for the closure and sale of the National Archives and Records Administration (NARA) facility in Seattle.
- Sent a letter to David Ferriero, Archivist of the United States, voicing concern about the NARA policy that directs all agencies to manage all permanent records electronically by December 2022 and arguing that hasty implementation of the policy, with a lack of dedicated funding, will impair NARA's mission and have dire consequences for researchers.
- Sent a letter to French President Emmanuel Macron, expressing concern that the change in policy to declassify documents at Vincennes and other repositories in France has rendered many documents inaccessible and encouraging the development of a clear, efficient, and effective procedure for declassification.
- Signed onto a letter to the Committee on Rules of Practice and Procedure of the United States Courts proposing a revision to Rule 6(e) to specify that the courts can release grand jury records based on historical significance.
- Sent a letter to the Executive Office of Immigration Review (EOIR) expressing concern over reports that EOIR had omitted close to 1,000,000 records from its September 2019 anonymized data release.
- Approved joining the Citizens for Responsibility and Ethics in Washington (CREW) and the Society for Historians of American Foreign Relations (SHAFR) in a lawsuit against the National Archives and Records Administration (NARA) and US Immigration and Customs Enforcement (ICE), challenging NARA’s decision to approve ICE's records disposition schedule for Detainee Records, which authorizes ICE to destroy records documenting mistreatment of immigrants detained in ICE custody.
- Signed onto a statement from the American Sociological Association regarding faculty review and reappointment processes during the COVID-19 crisis. The statement encourages institutions of higher education to consider appropriate temporary adjustments to their review and reappointment processes for tenured and contingent faculty, including adjusting expectations for faculty scholarship, limiting the use of student evaluations of teaching, and extending tenure timelines. The AHA also urged all higher education institutions that employ contract and/or part-time faculty to compensate fully for courses already contracted for summer and fall offerings.
- Sent a letter to Tristan Denley, executive vice chancellor and chief academic officer for the University System of Georgia and chair of the General Education Redesign Implementation Committee, opposing proposed changes to the general education curriculum. The letter asserts that the legislative requirement for instruction in the history and government of the United States and Georgia cannot be fulfilled by taking only one course, either in history or political science, and that proper instruction in history can be fulfilled only by trained historians.

- Approved a statement endorsed by dozens of peer organizations emphasizing the importance of historical thinking in understanding the current crisis and urges all institutions that employ historians to be flexible and humane in considering the needs of their employees and constituencies.

- Approved signing onto a letter to Congressional leaders in appreciation for the Coronavirus Aid, Relief, and Economic Security (CARES) Act and encouraging the provision of substantial additional funding for higher education in future bills, with focus on those students and institutions hardest hit by the consequences of the pandemic.

At meetings on June 1-5, 2020, the Council of the American Historical Association took the following actions:

- Approved the minutes of the January 2020 Council meetings.
- Approved the interim minutes of the Council from January through May 2020.
- Approved the following 2022 Program Committee appointments: Cemil Aydin (Univ. of North Carolina, Chapel Hill), Joseph Bangura (Kalamazoo Coll.), Choi Chatterjee (California State Univ., Los Angeles), José Carlos de la Puente (Texas State Univ.), Gerard J. Fitzgerald (independent scholar), Beth Hyde (Kean Univ.), Jonathan Lee (San Antonio Coll.), Jenny Hale Pulsipher (Brigham Young Univ.), Heather Cox Richardson (Boston Coll.), Haimanti Roy (Univ. of Dayton), Kristin Tassin (Episcopal School of Acadiana), and Hugh Thomas (Univ. of Miami).
- Approved signing onto the potential amicus brief Ahmad v. University of Michigan regarding upholding the standard archival practice of a period of closure for the papers of a private individual donated to public, university-based archives.

- Vetoed the “Resolution Condemning Affiliations Between ICE and Higher Education,” which had passed at the Association’s January 2020 business meeting, on the grounds that the resolution called for actions that would violate the law.

- Approved a substitute “Resolution on Affiliations between ICE and Higher Education.”

- Approved the nominations for the 2020 Awards for Scholarly Distinction (names to be released at a later date).

- Approved a Statement on the History of Racist Violence in the United States.

- Approved updating Section 3.a of the Annual Meeting Guidelines to include “Affiliate sessions should reflect the diversity guidelines outlined in section 4.2.d and e.”

- Approved the FY21 Operating and Capital Budgets on the basis that it would not be possible to hold an annual meeting in Seattle in January 2021.

- Appointed the following historians to the Board of Editors for the American Historical Review for three-year terms to begin August 2020: Abou Bamba, Gettysburg Coll.
Appointed the following historians as **Associate Review Editors for the American Historical Review** for three-year terms to begin August 2020: Alison Beach, St. Andrews Univ. (Medieval); Monica Black, Univ. of Tennessee (Modern European, Nazism, Germany); Brandon Byrd, Vanderbilt Univ. (US since 1860, Haiti, African American); Adeeb Khalid, Carleton Coll. (Russian, Soviet, Central Asian, Islam); and Donna Patterson, Delaware State Univ. (African, medical).

Approved changes to the **AHA Bylaws 4(2)a** to allow for two reviews during an editor’s five-year term—one at 18 months, and one at 36 months.

Appointed Mark Bradley (Univ. of Chicago) as **editor of the American Historical Review**, to begin a 5-year term in August 2021.

Approved revisions to **AHA Bylaws 12(4)a and 12(4)b**, which indicate that resolutions for consideration at the AHA business meeting must be signed by at least two percent (2%) of the total Association membership as of the end of the previous fiscal year and that resolutions should adhere to the Association’s **Guiding Principles on Taking a Public Stance**.

Approved changes to **Article VII, Sections 3 and 4 of the AHA Constitution** relating to the Business Meeting, which include providing AHA Council the option to send any “measures adopted by the business meeting” to the “AHA membership for a referendum” (Section 3) and adding that Council may veto any measure adopted at the business meeting that it believes “…does not adhere to the **Guiding Principles on Taking a Public Stance**.” In accordance with the AHA Constitution, the changes will require a full vote and approval of the AHA membership during its next election in June 2021 to take effect.

Through email communications from June 17, 2020, through December 23, 2020, the Council of the American Historical Association took the following actions:

- Approved endorsing a Congressional **resolution** recognizing the 1921 Tulsa Race Massacre, introduced by Sen. Elizabeth Warren (D-MA).
- Established the **Historians Relief Fund** to provide $500 emergency grants for un/underemployed historians who have been financially affected by the COVID-19 pandemic.
- Sent a **letter to the US Immigration and Customs Enforcement** strongly objecting to “modifications” declaring that foreign “students attending schools operating entirely online may not take a full online course load and remain in the United States.”
- Signed onto a **Statement on the 2020 Hong Kong National Security Law** initiated by the Association for Asian Studies, which expressed deep concern over the PRC government’s new security legislation that severely curtails the freedoms guaranteed in Hong Kong’s Basic Law, the Sino-British Joint Declaration, and the International Covenant on Civil and Political Rights.
• Approved the Statement on Historical Research During COVID-19.
• Appointed Mark Bradley (Univ. of Chicago) to serve a five-year term as editor of the American Historical Review beginning August 2021.
• Approved a Statement on Department Closures and Faculty Firings, which recognizes the economic implications of the COVID-19 pandemic and resulting decline in higher education revenues, but asserts that the AHA has, and will continue to, assist history departments in making the case for the imperative of historical learning and thinking in higher education.
• Endorsed a joint statement authored by the American Council of Learned Societies on COVID-19 and the Key Role of the Humanities and Social Sciences in the United States, which called on all leaders of institutions of higher education to uphold the central importance of the humanities and social sciences when making important decisions about the future of their institutions.
• Established, with an endowment gift from the Agentives Fund, two new prizes in honor of the late Congressman John Lewis: the John Lewis Award for Public Service to the Discipline of History, awarded to a non-historian, which would replace the existing Roosevelt-Wilson Award, and the John Lewis Award for History and Social Justice, awarded to a historian.
• Sent a letter to the Québec Ministry of Culture in regards to the Séminaire de Saint-Sulpice archive and library in Montréal, expressing “grave concern for the future preservation, maintenance, and accessibility” of the historically significant archives and collections at Saint-Sulpice, following the recent termination of the professional staff charged with overseeing these collections.
• Sent a letter of support for the Smithsonian American Women’s History Museum Act (S. 959), which was introduced by Senators Susan Collins (R-ME) and Dianne Feinstein (D-CA).
• Appointed Pablo Gomez (Univ. of Wisconsin, Madison) to the 2022 Program Committee to fill a recent vacancy on the Committee.
• Approved a statement on the “Executive Order Prohibiting the Inclusion of “Divisive Concepts” in Employee Training Sessions,” calling for a retraction of the order, stating that it was “neither necessary nor useful.”
• Approved the revised FY21 budget.
• Approved a letter to the Chair of the Supreme Court of Karelia on behalf of Yuri Dmitriev, a Russian local historian exploring crimes of the Stalin era, sentenced to 13 years in prison based on unsubstantiated charges.
• **Sent a letter** to the president of Collin College on behalf of AHA member Dr. Lora Burnett, requesting that the college respect "the right of historians to express their opinions as private citizens without fear of institutional discipline."

• **Approved a statement expressing concern** regarding changes in French policy on the declassification of documents from 1940 to 1970.

• **Sent a letter to the US Senate Subcommittee on Financial Services and General Government** requesting that the subcommittee reconsider its vote to eliminate funding for the National Historical Publications and Records Commission.

• **Sent a letter to the president and trustees of Guilford College**, urging them to reconsider the elimination of the history program and termination of one tenure-track and two tenured history faculty members.

• **Sent a letter to the Arkansas Division of Higher Education** expressing concern about a legislative request to academic units in the Arkansas university system seeking to collect data on the teaching of “The 1619 Project” and “Critical Race Theory” at public higher education institutions in Arkansas.

• **Approved joining the National Security Archive, the Society for Historians of American Foreign Relations, and Citizens for Responsibility and Ethics in Washington** as plaintiffs in a lawsuit intended to prevent valuable presidential records from being irretrievably lost. The plaintiffs seek to ensure that the current administration and the National Archives comply with the charge of the Presidential Records Act to preserve "complete copies" of presidential records, including relevant metadata of digital materials.

• **Endorsed the Educating for Democracy Act of 2020**, which would provide $1 billion per year for the next five fiscal years to improve the teaching of history and civics in our nation’s schools.

• **Approved AHA participation as a co-plaintiff in a lawsuit** filed by Washington State Attorney General Ben Ferguson to prevent the federal government’s “unlawful and procedurally improper” sale of the National Archives and Records Administration’s (NARA) facility in Seattle, expected in early 2021.

• **Approved a letter to the chancellor and provost at the University of Mississippi** expressing concern about the University’s decision not to renew the contract of Garrett Felber, assistant professor of history, noting the possibility that Professor Felber’s termination might have related to his activism regarding racism and incarceration.

• **Submitted a comment in support of a campaign** to enable commenting on footnotes in Microsoft Word using the Review function and encouraged AHA members to comment.
Officers’ Reports

Professional Division Report

Research Division Report

Teaching Division Report
Professional Division Report

Rita Chin, University of Michigan
Vice President, Professional Division

The 2020 Professional Division (PD) consisted of four members: Mary Elliott (National History of African American History and Culture), Reginald Ellis (Florida A&M), Nerina Rustomji (St. John’s University), and myself as vice-president. The work of the division depends upon the extraordinary support of Executive Director Jim Grossman and AHA staff members Laura Ansley, Megan Connor, Debbie Ann Doyle, Dylan Ruediger, Emily Swafford, Liz Townsend, Sarah Weickel, Jeremy Young, and Dana Schaffer. We cannot overstate our appreciation for the staff’s professionalism, expertise, and good humor, especially under the difficult circumstances of the COVID pandemic. Thank you all – with particular thanks to Emily for her exemplary guidance on all matters PD.

The division’s principal responsibility is to monitor all areas of professional work within the discipline and to develop advisory materials to assist historians at various stages of their careers. The division also addresses issues related to the training, employment, responsibilities, and working conditions of historians and responds to informal queries from AHA members seeking advice on workplace and other practices. We are not an investigatory body, and therefore usually refer enquiries to the AHA’s Statement on Standards of Professional Conduct, available on the Association’s web site, as well as to guidelines produced by other pertinent organizations. In 2020, PD received three formal inquiries about professional matters. Two of them dealt with plagiarism, the other with letters of evaluation for tenure and promotion. One of the plagiarism cases involved accusations made via email and social media and involved PD working with the Executive Director to provide guidance to a department chair embroiled in the situation. The third query requested guidance on how historians should deal with requests for evaluative letters coming from institutions subject to state open records laws.

As the year began, PD expected to focus its work on two main areas: 1) building on the efforts of the Non-Tenure-Track Faculty Ad Hoc Committee by strategizing ways to extend the reach of the AHA Recommendations Statement for Improving the Status of Non-Tenure-Track Faculty; and 2) designing support mechanisms for historians at the mid-career stage. At its March meeting, PD discussed plans to implement a workshop on second research/book projects, as well as to develop panels for the annual meeting on the mid-career stage (including issues specific to faculty at HBCUs and minority-serving institutions). With the Council decision to cancel the in-person annual meeting that had been scheduled to take place in Seattle, however, all of these plans were put on hold.

Instead, PD pivoted to addressing the impact of COVID-19 on historians more generally, which involved a number of different initiatives: a divisional proposal to draft a PD column for Perspectives on the impacts of the pandemic on non-tenure-track faculty (May); Emily Swafford’s transformation of the Chairs Workshop into a webinar series (summer); hosting a conversation for history department leaders on graduate admissions in the context of the
pandemic (mid-October); and divisional discussions of how the AHA could continue to support historians in tenure-track job market that has been decimated by the pandemic and in the midst of general economic decline (late October).

PD’s other major work this year has been on behalf of several important ongoing AHA initiatives. It continued to offer its support to the Association’s exciting Career Diversity program. In an effort to ascertain the impact of this work, the AHA plans to conduct a census of history departments across the country and revised the survey instrument from 2000 to include detailed questions about career and professional development. It is also developing a virtual Career Diversity Faculty Institute to expand the number of history departments participating in the initiative. PD is supporting both projects.

In January Mary Elliott will be cycling off the Professional Division. On behalf of the entire Council, I would like to thank Mary for her keen insights, frank comments, and important contributions over the past three years. PD has continually benefited from her professionalism and her always thoughtful approach to the issues the division has faced.

Finally, I would like to extend a warm welcome to Simon Finger, College of New Jersey, who will be joining PD as Councilor in January.
Research Division Report
Sophia Rosenfeld, University of Pennsylvania
Vice President, Research Division

American Historical Review
One of the main activities of the Research Division in 2020 was to complete the search for a new editor for the American Historical Review (AHR) to begin a five-year term in summer 2021. To that end, the VP for Research chaired an ad hoc search committee that interviewed six semi-finalists via Zoom in January 2020, invited four to Washington for in-person interviews in early March, determined first- and second-choice candidates to propose to Council in June, and finally completed the search with the announcement in July 2020 that our first-choice candidate, Mark Bradley (University of Chicago), would follow Alex Lichtenstein as the first editor of the journal to be employed outside of Indiana University, where the journal’s headquarters remain.

With the support of the Research Division, substantial changes were made this year to the journal’s internal structure and staffing, including the naming of Nathan Draluck to the new position of managing editor and the outsourcing of some copyediting responsibilities. Changes were also made to the journal’s editorial and review processes, including the turn to an online management system (ScholarOne) that fortuitously also helped make remote working possible for the journal’s staff for most of 2020. The journal will also go from five to four issues per year, starting in 2021.

The Research Division, working with a strong list of suggestions from AHR editor Alex Lichtenstein, recommended to Council slates of candidates for six vacant positions on the AHR’s Board of Editors (BOE) and five new positions for Associate Review Editors (ARE), who will be focused on the AHR book review process.

The bylaws governing the timetable for the review of the AHR’s editor were amended this year by Council, on recommendation from the Research Division. Rather than conduct annual reviews of the editor, which were thought by all parties to be excessive in terms of their frequency, the Research Division will henceforth review the editor after his/her first 18 months at the helm and again at 36 months.

The VP of the Research Division also played a consultative role a) in aiding the current AHR editor in dealing with controversies as they arose and b) over the past six months, in advising the incoming AHR editor as he began to consider changes to the journal’s collection of consultative editors and redesign for the coming year.

Research Access
Discussions on questions of research access with the Mellon Foundation and the Center for Research Libraries are continuing. The Research Division is hopeful that these conversations will ultimately result in increasing access to library materials for scholars who lack professional
access to full scholarly/academic library resources. This is even more imperative in the context of COVID-19.

**Letters, Briefs, Statements, and Webinars**
The Research Division was involved in drafting letters and supporting amicus briefs that were then brought to Council for final approval. These included a brief supporting the position of the Bentley Library at the University of Michigan regarding the importance of honoring FLIA restrictions attached to donated collections; a letter to the Québec Ministry of Culture regarding the impact on scholars of the closing of the Séminaire de Saint-Sulpice archive and library; a letter denouncing the sentencing in Russia of scholar Yuri Dmitriev for work exploring crimes of the Stalin era; and two letters to the French government regarding the impact of new regulations on the declassification of pre-1970 government documents marked “secret.”

The Research Division also prepared for Council’s approval a **Statement on Historical Research during COVID-19** urging university administrations to make accommodations for students and faculty whose ability to undertake or finish their research projects has been negatively impacted by the virus. The Research Division is currently working on a **Statement on Co-Authorship/Collaborative Research** that will be presented to Council for approval in January 2021.

The Statement on Historical Research during COVID-19 was also the subject of a well-attended webinar, entitled “Doing Research During Covid-19” and moderated by Sara Georgini, in fall 2020.

**Other**
Finally, the Research Division has organized several panels, workshops, and other initiatives for the 2021 **“Virtual AHA” webinar program**, including an experimental linked series of seminars for early-career scholars on age as a historical category, the topic of a recent (2020) AHR forum.
Teaching Division Report
Laura McEnaney, Whittier College
Vice President, Teaching Division

This is my second year as the Vice President of the Teaching Division, and this report summarizes the activities undertaken in that capacity in 2020.

Overview
The year started with a vibrant and productive annual meeting, where people and ideas collided for over four days in New York City. Everyone brings their own interests, hopes, and histories to the annual meeting, and I find it energizing to connect with the people and issues that show up. In my second year in this position, I found myself moving through the meeting with a better sense of how I could be useful to the wide variety of historians who attended. I shook hands with undergraduate history majors, I lunched with department chairs and graduate program directors, I mixed and mingled with teachers at numerous receptions, and I huddled with many to hear ideas and make plans. And just over two months later, the nation began the Covid 19 shutdown, and I replayed the annual meeting in my head realizing its potential to be a super spreader event.

Everything since then has been about helping history educators navigate a new world. I have spent the bulk of my time serving the AHA staff’s “pivot” to help their members’ “pivot” (seemingly the keyword of 2020). Using something of a triage model, we addressed the immediate challenge in front of teachers in March: how to shift, with no notice, to an online format. By the summer months, we had a program of webinars and workshops up and running, and a menu of fall offerings in the works. Throughout, we partnered with members who had ideas or resources to offer, and we collaborated with allied teaching-focused organizations, such as the Gardner Institute and New American History. Teaching Division meetings (March, May, October) were largely dedicated to discussions of adaptation and opportunity: how could we adapt the work we had planned to do before Covid, and what new things could we do now because most educators were now a Zoom call away? We close out 2020 still focused on these questions, but here are the highlights of work accomplished and work in progress.

AHA Online Teaching Forum
I worked with AHA staff (Emily Swafford, Julia Brookins, and Megan Connor) to create virtual events that served members’ immediate and long-term needs as they coped with pandemic-era teaching. This was completely new terrain for me, so in the late spring and early summer, I sampled as many different types of virtual teacher trainings that I could, from K-12 to higher ed. I wanted to get a sense of how support for educators was being conceptualized and delivered. Planning with this group was delightful, but we faced a constantly shifting public health crisis (which varied from state to state) and deep uncertainty in higher education about a fall return. Nevertheless, with support from an NEH CARES grant, we created a slate of workshops and webinars to meet teachers where they were, literally and figuratively. A nice surprise was that these events seemed to attract a healthy number of high school history educators. AHA staff
solicited participant feedback for each event, and we now have a better sense of what members need and enjoy as we think about 2021 programming.

**Remote Teaching Resources Project**
This project began as an idea from several members who were concerned about how instructors could find easy access to quality resources for course preparations. At first, it was conceived as a kind of teaching Wiki, in which AHA members could populate the page themselves with materials they knew were useful. I served on the initial steering committee and volunteered to vet the materials in my expertise. Several members of the Teaching Division, too, offered help at early stages. Serious logistical challenges remained, however, and with the help of the NEH CARES grant and the hiring of Sarah Weicksel and a team of researchers, the AHA was able to create a high-quality site with materials vetted by professional historians. The Remote Teaching Resources Project might be seen as a companion piece to the Online Teaching Forum, in that it is part of an ongoing effort of members to connect, to learn from one another, and to offer one another their best ideas as everyone copes with the stresses of pandemic pedagogy.

**Ad Hoc Committee on Online Instruction**
The TD voted in June 2019 to form an ad-hoc committee to draft a set of guidelines for departments engaged in online instruction. By early 2020, the committee was staffed with a diverse group of instructors who had experience designing and/or teaching online courses. In late March, as the pandemic turned online instruction into a mass experience, committee chair Jan Reiff, professor of history at UCLA, presented the draft to the TD. Members offered extensive feedback, and we hoped further review and finalized guidelines might be available by summer. However, members of the Ad Hoc committee, including the chair, found themselves trying to cope with the demands of their own classrooms and institutions. We plan to reconnect with this committee in 2021 to chart a path forward. When completed, we expect this ad hoc committee’s report will generate a great deal more interest than it might have in an ordinary year.

**History Gateways**
TD continues to consult with AHA staff as they oversee History Gateways, one of the signature initiatives of the AHA. TD members attended a discussion at the annual meeting where faculty from participating schools gathered to reconnect, share updates, and plot out next steps with Gardner Institute staff. Of course, the myriad challenges presented by Covid slowed the work, but Gateways faculty are still making progress on revising and assessing their intro courses. Many Gateways faculty have been able to attend the AHA’s online workshops and webinars, and, of course, they have access to the rich diversity of teaching resources now available on the Remote Teaching Resources site.

**Teaching Conferences**
The annual Texas Conference on Introductory History Courses became the 2020 Virtual Texas Conference, and it offered a menu of professional development conversations, both specific to
Texas and useful to instructors everywhere, along with a timely talk by Professor Anne Hyde on the theme of “uncertainty” and the U.S. survey course.

The Teaching History Conference (THC) has also moved its 2021 meeting to a virtual format. The AHA offers modest financial support to this biennial conference, which explores history instruction across the K-16 continuum. The THC draws a great deal of interest from high school educators, in particular, and our support for and presence at this conference can expand participation in the AHA among grade 9-12 social studies teachers, which will only enrich the community of historians. I continue to serve as the AHA liaison for this conference.

Miscellaneous
In 2021, we will welcome new member Dr. Katharina Matro, a high school history teacher at Stone Ridge School of the Sacred Heart in Bethesda, MD. We offer our warm thanks to Craig Perrier for his service, especially during such a tumultuous last year.

The Teaching Division is planning to write a column for Perspectives in the spring of 2021. We are currently gathering ideas from TD members to think about the themes and specific topic.

The Teaching Division, particularly Alix Hui, are also working with AHA staff on a project funded by the Teagle Foundation, “Revaluing the Humanities,” which builds on Teagle’s successful Cornerstone Project at Purdue University. Originally, the grant was to fund a convening of history department chairs to be held at the annual meeting in Seattle, in order to introduce them to the Cornerstone model and encourage them to develop their own institutional ideas. The project is being retooled to be a series of virtual convenings of department chairs to help them learn about Cornerstone and perhaps help them apply for support for their ideas through a new partnership between Teagle and the NEH.

Finally, this was a year of many learning stretches for me in terms of developing an online presence (which I never sought nor will seek again). I made a welcome video for incoming first-year college students, I helped to design and then host various teaching webinars and workshops, and I appeared as a panelist for the PEN America virtual series “The Common Room,” which explored academic freedom as a teaching challenge. My fellow TD members have also joined in this flurry of activity, moderating webinars, organizing virtual events, and publishing teaching reflections in Perspectives.

I have leaned on and learned from the extraordinary AHA staff who have worked so hard to support teachers in these last nine months, and from members of the TD, who have contributed their energy and ideas, despite their own navigation of a new world in their classrooms. The pandemic has generated both professional and personal trials familiar to us all, and although I know 2021 promises more of the same, I feel confident that we can do good work from a Zoom square while dreaming of doing it once again elbow-to-elbow around a conference table.
American Historical Review Report
It is my pleasure to provide my annual Editor’s report on the *American Historical Review* to the members of the Council of the American Historical Association. This will be the final annual report of my four-year term as editor, which will come to a close on July 31, 2021.

**Board of Editors**

At my prompting, the Research Division expanded the Board of Editors from thirteen to fifteen members as of July 2019. This makes it possible to spread manuscripts around more evenly, so that no single BOE member is overburdened. It also ensures a greater diversity of voices in the editorial process. “Diversity” in this case indicates several things: Yes, gender, race, ethnicity, nationality, sexuality, as well as fields and areas of study. But region of the country (or world) and type of institution as well. We want the BOE to be as inclusive as possible, and to reflect the wide variety of historical practices, fields, and interests in the profession today. A larger BOE makes this easier to achieve, and it also provides the opportunity for more turnover. I can report with pride that the current BOE is probably the most diverse in the history of the journal.

Normally, I would provide comprehensive statistics on the number of manuscripts read by each BOE member. However, as explained later in this report, in 2020 the *AHR* made the long overdue transition to an electronic editorial management system (EMS). That change, along with the massive disruptions entailed by the COVID-19 pandemic, which entailed moving our staff operations out of the office in March 2020, makes an accurate count for this year impossible. In my June 2021 report I will have a year’s worth of figures derived from ScholarOne, the new EMS, and will provide them to Council then.

In addition, the BOE serves as an advisory council on a range of matters, especially in the commissioning and curating of editorial projects (forums, roundtables, review essays, reappraisals, etc.), the appointment of Associate Review Editors (AREs), and occasionally consultations with Editorial Assistants (EAs) in choosing book reviewers.

In July 2020 we welcomed four new members of the BOE, who began their three-year terms; their terms will expire in June 2023:

- Abou Bamba, Gettysburg College (Africa, international)
- Keisha Blain, University of Pittsburgh (modern U.S., international, African American)
- Angela Vergara, California State University, Los Angeles (Latin America, labor)
- Merry Wiesner-Hanks, University of Wisconsin–Milwaukee (early modern Europe)
Continuing members of the BOE include:

- Sunil Amrith, Yale University (South Asia, oceanic, environmental) (2022)
- Ana Lucia Araujo, Howard University (Atlantic World, slavery, Brazil, memory) (2022)
- Jordanna Bailkin, University of Washington (modern Europe, empire) (2021)
- Rafe Blaufarb, Florida State University (modern Europe, France) (2022)
- Antoinette Burton, University of Illinois (methods/theory, empire, gender) (2021)
- Yoav di Capua, University of Texas (Middle East) (2022)
- Johann Neem, Western Washington University (19th-century US) (2021)
- Nicholas Paul, Fordham University (medieval) (2021)
- Carla Pestana, UCLA (early America; Atlantic World, Caribbean) (2021)
- Keely Stauter-Halsted, University of Illinois, Chicago (Eastern Europe) (2022)
- Wen-hsin Yeh, UC–Berkeley (China, Asia, urban, revolution) (2021)

In June 2021, incoming editor Mark Bradley will have the opportunity to nominate six new BOE members. Geographic areas that will need coverage include East Asia and the Pacific World, early America and the Atlantic World, medieval and/or ancient, methods/theory, modern Europe, and 19th-century US. Judging from our submissions, BOE members with knowledge of digital history and methods, history of sexuality and/or LGBTQ history, environmental history, religious history, histories of nationalism and/or identity, Latinx and/or borderlands history, Indigenous history, and urban history, also would be quite useful.

Keeping the BOE apprised of journal content, procedural changes, and potential controversies has proved difficult in the confines of a single official meeting each year. One important change in 2020 was the institution of quarterly BOE meetings, made possible (and necessary) by the new normal of remote meetings. Meeting dates are:

- July 10, 2020 (held)
- October 9, 2020 (held)
- January 9, 2021 (remote)
- April 9, 2021 (remote)
- July 9, 2021 (remote)

This has worked well, and I would encourage the incoming editor to continue this practice.

Board of Associate Review Editors
Our complex book review process has always relied on graduate Editorial Assistants (EAs) and a long list of faculty “consultants” to match books for review with prospective reviewers. After using our database to create a list of potential reviewers for a book, in the past an EA would consult with an Indiana University faculty member to make sure the suggested reviewers were appropriate. When no IU faculty member had the proper
expertise, EAs would turn to members of the BOE (and, on occasion, to former members of the BOE).

In 2018 we instituted a new procedure that after a gradual phase-in is now fully in place: the creation of a fifteen-person board of Associate Review Editors, nominated by the editor and appointed by the Research Division and AHA Council. Each year, the editor nominates five “Associate Review Editors” (AREs), covering a wide array of fields. Upon appointment by the Research Division and Council, these AREs serve three-year terms as consultants in their fields of expertise. Once EAs have created lists of potential book reviewers, using ScholarOne they consult with the proper ARE for approval and/or suggestions.

Duties for Associate Review Editors include:

- Work with the Associate Editor in decision-making about what should be reviewed.
- Consider all titles chosen for review in their field.
- Consult with EAs on potential reviewers (EAs create an initial list that the AREs help to refine and rank).
- Identify important items (books, films, exhibits, websites) in their respective fields for review in the journal.
- Refer titles to other AREs where necessary.
- Upon request, check draft reviews for inappropriate language and content and, when necessary, to ensure scholarly accuracy.

The first group of five AREs was appointed in June 2018; five more were appointed in June 2019; and five more in June 2020, to create a full complement:

- Farid Azfar, Swarthmore College (early modern Europe) (2022)
- Alison Beach, University of St. Andrews (medieval Europe) (2023)
- Monica Black, University of Tennessee (modern Europe) (2023)
- Brandon Byrd, Vanderbilt University (African American, 19th–20th c. US) (2023)
- Julio Capó Jr., Florida International University (modern US) (2022)
- Seth Cotlar, Willamette University (early America) (2022)
- Bradley Davis, Eastern Connecticut State University (East Asia) (2021)
- Adeeb Khalid, Carleton College (USSR/Russia/Islam) (2023)
- Donna Patterson, Delaware State University (Africa) (2023)
- Lorelle Semley, College of Holy Cross (African diaspora) (2021)
- Dominique Reill, University of Miami, Modern Europe (2022)
- Rohan Deb Roy, University of Reading (South Asia) (2022)
- Christina Snyder, Penn State (Native American, antebellum US) (2021)
- Christina Soriana, Villanova (Latin America) (2021)
- Michelle Tusun, UNLV (world) (2021)

In June 2021, the first cohort of five AREs will finish their terms, and the incoming Editor will nominate five new AREs, probably covering some of the following fields:
Consulting Editors
The creation of new sections and the development of new initiatives have necessitated the appointment of “Consulting Editors.” As of now, these include:

- Kate Brown (MIT), CE for the History Unclassified section.
- Lara Putnam (Pitt), CE for the Doing History in a Digital Age section
- Daniel Story (UCSC), CE for Digital History (podcasts, consults on digital articles)

With the incoming Editor’s permission, Kate Brown would like to be appointed to serve another term as CE for History Unclassified, which has been an enormous success. Lara Putnam and Daniel Story plan to move on in July 2021, so the incoming Editor will have to appoint new Consulting Editors if he plans to continue these sections.

AHR Content in 2020
As the genres of scholarship published in the pages of the AHR proliferate (a deliberate effort on the Editor’s part), it becomes more difficult to summarize the content of a single volume. The 2020 volume (vol. 125) continues to reflect the effort to invite a more diverse menu of scholarly forms into the journal’s pages.

- Articles: The 2020 volume (125) contained sixteen stand-alone scholarly research articles, including the AHA Presidential Address. Four focused on Asia, six on Latin America and the Caribbean, three on Europe, one on the US, and one on Africa. They continue to be top-heavy with articles on the nineteenth and twentieth centuries, however, with eleven covering this modern era.

- AHR Roundtable: The AHR Roundtable is a genre of publication introduced by former Editor Robert Schneider, composed of a series of essays—seven to ten—that are shorter than regular articles, all addressing a subject of general interest. In 2020, we published a roundtable titled “Chronological Age: A Useful Category of Historical Analysis,” consisting of seven articles.

- AHR Review Roundtable: We have extended the roundtable format to reviews of books, films, and other material. In 2020 we published review roundtables on Jill

- **AHR Forum**: The AHR Forum, as opposed to a roundtable, consists of two to three full-length articles accompanied by a short comment or introduction. This allows us to group articles, often separately submitted and evaluated, that touch on common themes or questions. The ability to then commission a non-peer-reviewed comment makes room for timely interventions and lively discussion. The June 2020 issue contains a forum on post-revolutionary Haiti and the Atlantic World.


- **AHR Conversation**: The 2020 AHR Conversation is on the topic of Black internationalism, and it appeared in the December 2020 issue. Seven scholars of transnational Black lives, politics, and ideas conducted a far-ranging discussion of the historical and contemporary aspects of these topics. The idea of this format is to select a topic of wide interest, recruit scholars from a range of fields and/or periods, and conduct an online discussion, moderated by the Editor and Associate Editor, which is then lightly edited, footnoted, and published in the December issue.

- **AHR Exchange**: An “exchange” begins with a controversial article, essay, publication, or document, and then invites other scholars to engage in a critical discussion with the author. In 2020 we published two exchanges: one debating the methodological presuppositions of the history of childhood, and the other focusing on two recent works in Native American and Indigenous Studies. The latter exchange proved especially controversial, though, in my view, fruitful.

- **History Unclassified**: This new section was inaugurated in the June 2018 issue. In conjunction with Consulting Editor Kate Brown, we invite and seek out “archival stories and offbeat discoveries, unexpected connections with other fields, and research experiences that raise new methodological questions.” The goal is to publish material that otherwise does not fit any of our frameworks for published
articles. In 2020, we published seven more of these essays. We already have six more lined up for 2021. Judging by Altmetric scores, these are by far the most downloaded, shared, and remarked upon articles to appear in the AHR. Emily Callaci’s piece, “On Acknowledgments,” for example, has an Altmetric score of 673, far beyond anything previously achieved in the AHR. One of these pieces, Françoise N. Hamlin’s “Historians and Ethics: Finding Anne Moody” (April 2020), was awarded the Letitia Woods Brown Memorial Article Prize from the Association of Black Women Historians.

• New Reviews: One of the most visible editorial innovations over the past three years has been the expansion of our “reviews” section to cover material other than scholarly monographs. In 2020, additional review sections covered published pedagogic gamebooks in the Reacting to the Past series (8), OUP’s Very Short Introductions (8), graphic histories (7), and websites on the history of slavery (5). In the works for 2021 are reviews of Ohio Short History of Africa titles, video games, historical fiction, films, and National Park Service sites (COVID-19 permitting).

**AHR Articles by the Numbers**

• Number of articles, history unclassified essays, exchanges, and roundtable and forum contributions: 42
• Number of female authors: 30
• Number of BIPOC authors: 14
• Number focused on the Global South: 22
• Number of article authors based outside of the US: 12

**Book Reviews**

One of the most important services we provide, both to our readers and to the discipline as a whole, is the extensive review section of the journal. In 2020 we reviewed 846 books (the figure was 784 in 2019): 795 in the Reviews of Books section, and 51 more in the longer Featured Reviews section. We make an effort to spread the latter evenly across time period, region, and method, even while seeking to feature reviews of potential interest to readers across several of these categories. As noted above, we also published reviews of 28 “other” media. (See tables below.)

Table 1: A more exact count of reviews, broken down by issue, category, and type, in 2020

<table>
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<th>FEAT.</th>
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<th>M/T</th>
<th>C/W/T</th>
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<th>USC</th>
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<td>6</td>
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</table>
TOTAL | 51* | 795* | 17 | 58 | 66 | 10 | 261 | 50 | 50 | 242 | 31 | 20 | 48 | 869

* Books, not individual reviews

Table 2: Regular reviews by field break down like this:

M/T = Methods/Theory CLA = Caribbean/Latin America
C/W/T = Comparative/world/ transnational EAM = Ancient/Medieval
AS = Asia EEM = Early Modern/Modern Europe
OPI = Oceania/Pacific MENA = Middle East/North Africa
USC = US/Canada/N. America SSA = Sub-Saharan Africa

Table 3: Featured reviews by field
It is worth noting here that publishers remain very erratic when it comes to sending us books. We do our best to identify and proactively order and review important new titles, but given our volume of reviews we ultimately must depend on publishers to send us their new titles and on authors to remind them to do so. This has been a persistent problem throughout my editorship.

Through multiple levels of quality control we continue to ensure that all books are reviewed by disinterested parties; that reviews remain fair and balanced, even when they are critical or controversial; and that both reviewers and authors feel they have been treated fairly and with respect, even when disagreement about the merits of a particular book, as it inevitably does, arises. At that juncture, I always invite a spirited exchange in our underutilized Communications section.

That said, as Editor I have come to believe that there is a fundamental disagreement within the historical profession about the function of an AHR book review. Many scholars seem to regard the review section as informational: what is a book about, what contribution does it make, and should they read or assign it. My view, however, is that a review section should critically engage with scholarship. Inevitably, that can lead to critical, even harsh, reviews of some scholarly works. While this doesn’t happen often, I
have felt some pressure to avoid or even reject highly critical reviews. Moreover, I have had scholars object that a review should focus solely on the book at hand, and not raise larger questions of historiography, scholarship, and the like germane to the book’s field or methodology. I am afraid on this question, I couldn’t disagree more. While the editorial team works with reviewers to ensure that reviews are accurate, fair, and not marred by spurious or gratuitous attacks on a scholar’s person, ideology, or professional ethics, I continue to believe that critical reviews that focus on the strengths and weaknesses of scholarship—as it relates to the book and/or related historiography—have a place in the pages of the AHR, even if that leads at times to bruised feelings. The role of the AHR reviews section is to create a forum for vigorous, critical discussion of current scholarship, not to serve as an outlet for publishers’ marketing departments or a mutual admiration society for scholars.

Podcast

- **The AHR Interview**: Our regular podcast now resides on the Libsyn platform ([https://directory.libsyn.com/shows/view/id/ahrinterview](https://directory.libsyn.com/shows/view/id/ahrinterview)). In 2020 we added eleven more podcasts to the existing series of twenty-nine. We conduct fifteen- to thirty-minute discussions with authors of AHR articles (e.g., an interview with Ana Minian), or to connect with other AHR content (e.g., Julia Gaffield on The Common Wind). Under the capable technical direction of former EA Daniel Story (now a digital librarian at UC–Santa Cruz), the AHR Interview often focuses on developments in the world of digital history (e.g., interviews with Ian Milligan, and with Sharon Leon). This year, an AHR Interview focused on the AHR article review process. The discussion featured three former authors.

New Initiatives

- **“Open” peer review**: In 2020, Consulting Editor Lara Putnam and I experimented with an “open peer review” of a submitted article on digital history, “History Can Be Open Source: Democratic Dreams and the Rise of Digital History,” by Joseph L. Locke (University of Houston–Victoria) and Ben Wright (University of Texas at Dallas). This entailed posting a submission online and inviting peer review from any scholars who might care to weigh in. Anyone can trace the entire review process, from initial submission to final letter of acceptance, here: [https://ahropenreview.com/HistoryCanBeOpenSource/](https://ahropenreview.com/HistoryCanBeOpenSource/). The article will appear in 2021.

- **Publishing as a quarterly**: Beginning in 2021 (vol. 126), the AHR will appear four instead of five times a year, in March, June, September, and December. This change will make work schedules much easier for staff and editorial team alike. **Without affecting the amount of content** (c. 2,000–2,200 pages per volume), it will create enough breathing space between issues to eliminate
production glitches; to make communication among editors, authors, production staff, and publisher less rushed; to give the AHA President more time to revise their address (for March issue); to make the December holiday less of a crisis; to relieve the Editor and Managing Editor of 20 percent of the front and back matter (In This Issue, etc.); and to make issue planning generally less nerve-wracking. Moreover, as the publication of “Advance Articles” becomes more of an expectation, three-month gaps between issues will make this both more feasible and more logical. Right now, with only eight weeks between issues, we often ask why we should endure the production headache of creating an “Advance Article” at all. Finally, the transition to a new Editor will be much easier to manage with four issues during the transition year.

**Editorial Management System**
This year saw an enormously consequential and long-overdue transformation of our antiquated submissions and review process: the implementation of an online platform for managing submissions and workflow, ScholarOne. The portal, which went “live” on June 15, 2020, can be found here:


**Transition to ScholarOne**
The transition to ScholarOne posed several major logistical challenges, especially because it occurred in the midst of the COVID-19 pandemic. First, we had to clean and transfer data from our existing onsite database of scholars. With an enormous effort from Reviews Editor (now Managing Editor) Nathan Draluck, we successfully transferred thirty thousand scholar records into ScholarOne. Although we were able to preserve essential data (addresses, emails, affiliations, and fields), this transfer did force us to abandon accumulated historical data tracking the work scholars have done for the AHR in the past. Second, all staff have had to learn how to use ScholarOne (and train other staff) and completely retool their normal work procedures. The learning curve has been steep. However, because the pandemic meant all work has been conducted from home since March 2020, this proved a necessity in any case. Finally, reviews and articles that had been initiated in our old system had to be transferred into ScholarOne. This was done gradually, on an as-needed basis.

ScholarOne went “live” on June 15, 2020. Since that date, all article submissions (that includes History Unclassified, Reappraisals, etc.) have come through the new system. By December 1, with the exception of a handful of long fallow unsubmitted article revisions, all relevant material—articles, revisions in process, and reader reports—for articles in submission, under revision, or being prepared for publication has been moved to ScholarOne.

Because the reviews section of the AHR has hundreds of books under review and book review invitations out at any one time, and faces a constant flow of books received in the office, the transition to ScholarOne has been somewhat lengthier. We have now entered our
existing pandemic backlog of books for review into the system, and all books coming into the office are being entered into the new system. All book review invitations now go out through ScholarOne. Starting with the March 2021 issue, all outstanding reviews will be submitted and processed within the system; as of December 1, the staff has compiled all the submitted reviews received before ScholarOne (approximately three hundred), and has worked diligently to transfer these reviews into the new ScholarOne system and workflow.

The entire transition to the new system—from initial planning, to gradual implementation, to completion and a seamless flow from submission to production—will have taken about a year. The entire AHR staff deserves commendation for taking on the extra work and stress this required; indeed, frankly, if there is any budget savings this year, I believe AHR staff deserve a monetary bonus as well as thanks.

**Costs for Transition to ScholarOne**

If the cost in staff time and stress was extremely high, the financial costs for the transition to ScholarOne proved modest:

- Data transfer ($150 per 5,000 records): $900.00
- Interim copyediting outsourced, April–June 2020: $3,000.00
- New laptop to maintain old database records and serve as a ScholarOne hub for our incoming books: $1,200.00

ScholarOne will bring ongoing costs, however. The AHA will be charged $9.00 for every “submission”—this includes all final book reviews, and every article submission, even those we reject. Because many “submissions” to ScholarOne over the first six months have been transfers from the old system, we will not have an accurate estimate until a full year has elapsed, but our best guess is that we will see between 1,200–1,400 “submissions” a year in the system, or an annual cost of $10,800.00–$12,600.00.

**Benefits of Transition to ScholarOne**

Scholar One has transformed all our processes, from article submission to reader reports to ARE consultations to data generation and reports and beyond. The production workflow will see even further significant changes to our previous processes for fact-checking and copyediting, processing corrections, submitting completed files to the publisher, managing issue proof, generating front and back matter, and more.

The efficiencies this has achieved when it comes to article submissions and timely review is already clear. While we remain dependent, as always, on manuscript readers agreeing to review submissions and meeting their deadlines, we have managed to streamline our in-house procedures to greatly reduce the time a manuscript sits in the office. By June 2021, I should be able to produce a report that provides annual comprehensive data on the time between submission and decision on manuscripts that undergo full review. But judging from the efficiencies gained so far, I estimate that we have trimmed 2–3 months from our previous timeline, on average. It is true that a very few authors, book reviewers, and/or manuscript
reviewers complain about having to use ScholarOne. The AHA and future AHR editorial teams should with confidence be able to assert that the occasional frustration of the few is a small price to pay for the much shorter time to decision, which represents an enormous benefit to the journal and to the profession at large. I say this as someone who was initially somewhat skeptical of the new system.

A second benefit of ScholarOne is that with these efficiencies, we have been able for the first time in perhaps a decade to build up a backlog of accepted articles. Previously, we would accept articles that would be scheduled to appear only one or two issues ahead. Naturally, authors liked this, but it proved very hard on staff, who faced short timelines between acceptance and production work like fact-checking and copyediting. Now, with ScholarOne, we have already filled the next 3–4 issues. Articles accepted as of December 2020 will be scheduled to appear in December 2021 or perhaps even March 2022. Combined with our new practice of hiring freelancers to do much of our copyediting and proofreading work (see below), this gives us added flexibility. In 2021, this will allow us to begin to select and post online “Advance Articles” before they appear in print in future issues. Ultimately, this practice will benefit authors and readers as well.

ScholarOne will also create a major improvement on the reviews side. By shortening the time between the arrival of a book and the sending of an invitation to a reviewer, we hope to secure our first choice of reviewer for more reviews than we do now. With the old system we have phased out, too often we found that the ideal reviewer had already agreed to review a book for another journal by the time we got to them. Combined with a more proactive approach to publishers, we hope the efficiencies of ScholarOne will allow the AHR to be first in line in the future.

Finally, of course, with the next Editor no longer based at Indiana University in Bloomington, the ability to use the ScholarOne remotely is an absolute necessity.

**AHR Staff**

**Current Staffing**
The editorial and production staff of the AHR in Bloomington, Indiana, continues to function at an excellent level, despite many adjustments occasioned by the completed shift to ARE consultations, the pandemic, the transition to ScholarOne, and a major staff reorganization occasioned by a retirement. Key members of the staff are the seven Indiana University Ph.D. students who serve as Editorial Assistants (EAs). These EAs are responsible, under the direction of Managing Editor, Nathan Draluck, and new Associate Editor, Fei-Hsien Wang (Indiana University), for the enormously complex process of selecting reviewable books, consulting with Associate Review Editors, assigning books to impartial reviewers, and then editing the reviews once they come in. They also help proofread and fact-check articles before they go to press. EAs normally serve two-year terms, often renewable for two more years. The current Editorial Assistants are:
In addition to these graduate Editorial Assistants, the office of the *AHR* in Bloomington is home to four people who are indeed truly responsible for the excellent journal we edit and publish five times a year:

- **Fei-Hsien Wang** (Associate Editor, Associate Professor of History, Indiana University)
- **Nathan Draluck** (Managing Editor)
- **Cris Coffey** (Operations Manager)
- **Jessica Smith** (Production Assistant)

**Reconfiguration of Staff in 2020**

The position of **Articles Editor**, Jane Lyle, retired on July 1, 2020. Jane has for years been instrumental in preserving the high quality of *AHR* articles. In addition to seeing each issue through production, her close reading, fact-checking, footnote-checking, line-editing, and copyediting of accepted articles exceeded that devoted to almost every other history journal. She will be missed.

Jane’s position has been reconstituted as a **Managing Editor**. This (a) breaks down the current artificial division between “reviews” and “articles” that occasionally impeded editorial processes and planning; (b) gives a single staff member full oversight of the journal, its editorial procedures, its production, and its in-house staff. This will be even more essential once the Editor is no longer on-site. This position has been filled since July 1, 2020 by former **Reviews Editor** Nathan Draluck, who played an instrumental role in the transition to ScholarOne.

Nathan’s former position as Reviews Editor has remained unfilled for now. Instead, copyediting and proofreading on both the review section (formerly done by Nathan) and in the articles section (formerly done by Jane) is managed by the Managing Editor, who outsources content to freelancers on an as-needed basis (see below for costs). The Managing Editor hires the freelancers, trains them in our house style, and keeps track of their work. They are paid from the money freed up in the budget by the absence of a full-time Reviews Editor. This system seems to work well; indeed, it offers a major advantage, in that the pace and timing of copyediting is no longer limited by available staff time, since freelance work can always be expanded when needed. It also will probably result in overall savings, since the salary and benefits for a Reviews Editor appear to exceed freelance costs (see below).
Another important and related change that impacts the reviews section is the reconfigured role of the Associate Editor, traditionally a member of the IU faculty. In the past, the Associate Editor has been the crucial “first reader” of all submitted manuscripts. However, with the goal of better integrating the reviews and articles sections, of decreasing the time manuscript submissions spend in the office, and in order to provide more editorial and scholarly oversight to the reviews section, as of August 2020 the Associate Editor serves instead as the de facto Reviews Editor. The position is now filled by Fei-Hsien Wang, an Associate Professor at IU. She oversees the work of the EAs and directs the entire review selection and assignment process, in consultation with the full team of AREs. The tasks of the faculty Associate Editor include:

- close supervision of the graduate editorial assistants with regular meetings
- appointment of new EAs when needed
- acting as liaison between EAs and Associate Review Editors
- working closely and regularly with AREs to identify important books and other material for review
- deliberately keeping track of important forthcoming and new books across multiple fields, and getting them ordered quickly
- sorting all incoming books with an eye to more deliberate picking and choosing which ones to move forward quickly
- keeping track of the review pipeline in ScholarOne, to help EAs prioritize books so that area coverage is more even and more deliberate in each issue
- carefully curating the featured reviews, the cluster reviews, and other review specials (e.g., review roundtables)
- consulting with the Managing Editor and/or AREs and the Editor on editorial problems in reviews (i.e., as needed, adjudicating on potentially polemical language, giving closer reads of controversial reviews, etc.)
- consulting with the Managing Editor on review order
- creating and writing short introductory pieces to special review sections
- reading the occasional manuscript when asked to provide editorial feedback/reporting by the Editor

In the future, this change will facilitate faculty/academic oversight of the review process and the editorial assistants, in the absence of an in-house Editor. In a sense, the Associate Editor will become the new Editor’s eyes and ears on the ground in Bloomington, and will supervise EAs as if they were her teaching or research assistants. This would be a two- to three-year rotating position, drawing on IU faculty.

Fei-Hsien Wang has agreed to serve in this position for a two-year term, until August 2022, to ease the transition to a new Editor. My recommendation is that when her term comes to an end, the new Editor should ask the Chair of the IU Bloomington history department for a list of eligible faculty members—tenured professors who will not be on leave and are interested in serving as Associate Editor. He can then choose the Associate Editor from among this pool.
Oxford University Press

This is the ninth year of our relationship with Oxford University Press as our publishing partner. The relationship has mostly been a positive and productive one. In many of our dealings with Oxford, and especially with Trish Thomas (Senior Editor, Humanities Journals), we have been impressed with their willingness to try to accommodate our particular needs, their eagerness to market the journal to libraries and institutions where we heretofore have not had much access, and their commitment to publicizing the journal. Oxford offers many advantages to the AHR. Its worldwide reputation, capacity for publicity, powerful analytics, and many resources give the AHR high visibility and many tools for outreach.

That said, over the past several years we have begun to encounter a number of serious problems in the production process that seem impervious to resolution. These include glitches with the licensing procedures for authors, glitches with links and DOI designations that do not work, too many obstacles when authors seek permission to translate and/or reprint material, accountable production delays, frequent errors in typesetting, having to make repeated requests to get certain sections automatically “open,” and so on. Over the last year, these recurring problems have continually slowed production, even after our staff have identified and reported problems; in fact, the October issue was unconscionably late, despite the editorial office’s ability to remain on schedule under the trying circumstances of the pandemic and the ScholarOne transition. The Editor and Managing Editor have identified ways in which our full ScholarOne implementation, combined with outsourcing, will improve our end of the workflow; however, while these are welcome improvements for us, they are mostly needed as workarounds to expected problems or production delays that OUP has been unable to address. My own sense is that most of these problems stem from an increasingly “one size fits all” set of procedures on the part of OUP, as well as communication problems with such a large organization. It is often quite difficult to identify the right person to resolve an issue for us. When we do, a few months later they seem to have moved to another division or left OUP. In other words the very advantages OUP brings to the table—its massive reach, its high degree of professionalism, its resources, and its prestige—come with some costs. In the past, our somewhat quirky production procedures and very specific needs created bottlenecks, or did not fit the OUP template very well. ScholarOne should improve our workflow, but we will want to be cautious about adopting aspects of the OUP template that would impact our high standard for quality. The contract comes up in 2022, so the next Editor and the AHA division of scholarly publications will need to weigh carefully the costs and benefits of working with such a large publisher.

Budget, Printing, and Publishing

The journal is operating well within its FY2020 budget. The open Reviews Editor position (not entirely offset, yet, by freelancing costs) and the doing away with travel to the AHA will also represent reduced budgetary costs in the coming fiscal year. Additionally, there will be no salary increases at IU next year, representing further savings for the AHA.

Production and Freelance Budget Forecast
The Reviews Editor salary has been provisionally earmarked as the freelance budget, which the Managing Editor uses at his discretion to contract editorial professionals (freelance copyeditors, proofreaders), who are integral to the timely production of journal content. Prior to the new position of the Managing Editor, all copyediting and proofreading work was distributed between the Articles Editor and the Reviews Editor by type of content; the Articles Editor and the Reviews Editor also independently communicated with the OUP production team on their respective content. This workflow had a number of disadvantages, notably that two separate individuals were in communication with the production team at OUP and, more significantly, that all journal content and its production rested on the capacities (time and energy) and work schedules of two individuals.

The Managing Editor is now tasked with coordinating all production—in this case, an improvement for both the OUP production team and the Editor, since issue planning is not necessarily constrained by individual staff workload. This makes the freelance budget an important and integral resource as we move forward, insofar as it provides immediate savings of over $20,000 a year (on benefits, fringe, and administrative fees associated with the previous Reviews Editor position) yet offers the journal editors flexibility and great efficiencies in content and production.

As of December 2020, for FY2020–21, approximately $17,000 has been spent on copyediting and proofreading for the October and December issues of the AHR. The Managing Editor projects budgeting at least $10,000–$12,000 per issue, for four issues a year, adjusting for the greater words per issue in our expanded individual issues.

**Detailed Statistics**

Normally, my reports conclude with detailed statistics of submissions by field, acceptances by field, books received by field, and books reviewed by field. In 2020, the combination of the disruptions occasioned by the pandemic (making it impossible, for instance, to keep track of books received when access to the office was restricted) and by the transition to Scholar One (meaning that our records for 2020 reside in two overlapping systems and are skewed by the uploading of old records into ScholarOne) make such statistics difficult to tabulate and meaningless in any case. In my June 2021 interim report to Council I will be able to cull data from almost a year’s worth of submissions and reviews through ScholarOne, and I will provide a comprehensive statistical report then.
AHR Publisher’s Report
Submitted by Oxford University Press
### JSTOR Usage Summary

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<td>2017</td>
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### Top Articles Accessed in 2020YTD through JSTOR

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<th>Volume</th>
<th>Issue</th>
<th>Total Views</th>
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<tr>
<td>1</td>
<td>Gender: A Useful Category of Historical Analysis</td>
<td>Joan W. Scott</td>
<td>91</td>
<td>5</td>
<td>26,010</td>
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<tr>
<td>2</td>
<td>The Governor-General of the Philippines Under Spain and the United States</td>
<td>David P. Barrows</td>
<td>21</td>
<td>2</td>
<td>11,813</td>
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<tr>
<td>3</td>
<td>The Concept of Cultural Hegemony: Problems and Possibilities</td>
<td>T. J. Jackson Lears</td>
<td>90</td>
<td>3</td>
<td>7,195</td>
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<tr>
<td>4</td>
<td>British Convicts Shipped to American Colonies</td>
<td>James Davie Butler</td>
<td>2</td>
<td>1</td>
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<tr>
<td>5</td>
<td>The Haitian Revolution</td>
<td>Franklin W. Knight</td>
<td>105</td>
<td>1</td>
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<tr>
<td>6</td>
<td>The American Revolution</td>
<td>Jack P. Greene</td>
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<tr>
<td>7</td>
<td>The Black Death: End of a Paradigm</td>
<td>Samuel K. Cohn, Jr.</td>
<td>107</td>
<td>3</td>
<td>3,295</td>
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<tr>
<td>8</td>
<td>Apolinaro Mabini on the Failure of the Filipino Revolution</td>
<td>James LeRoy</td>
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<td>9</td>
<td>Burying the White Gods: New Perspectives on the Conquest of Mexico</td>
<td>Camilla Townsend</td>
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<td>10</td>
<td>A History of “Gender”</td>
<td>Joanne Meyerowitz</td>
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<td>11</td>
<td>Feminism in the French Revolution</td>
<td>Jane Abray</td>
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<td>12</td>
<td>The Refashioning of Martin Guerre</td>
<td>Robert Finlay</td>
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<td>13</td>
<td>Back to the League of Nations</td>
<td>Susan Pedersen</td>
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<td>14</td>
<td>Freedom Then, Freedom Now: The Historiography of the Civil Rights Movement</td>
<td>Steven F. Lawson</td>
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<td>What is Historiography?</td>
<td>Carl Becker</td>
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### Top Articles Accessed in 2019 through JSTOR

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<th>Issue</th>
<th>Total Views</th>
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<tr>
<td>1</td>
<td>Gender: A Useful Category of Historical Analysis</td>
<td>Joan W. Scott</td>
<td>91</td>
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<td>2</td>
<td>The Concept of Cultural Hegemony: Problems and Possibilities</td>
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<td>The Haitian Revolution</td>
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<td>4</td>
<td>The Governor-General of the Philippines Under Spain and the United States</td>
<td>David P. Barrows</td>
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<td>The Refashioning of Martin Guerre</td>
<td>Robert Finlay</td>
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<td>6</td>
<td>The American Revolution</td>
<td>Jack P. Greene</td>
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</tr>
<tr>
<td>7</td>
<td>British Convicts Shipped to American Colonies</td>
<td>James Davie Butler</td>
<td>2</td>
<td>1</td>
<td>5,706</td>
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<tr>
<td>8</td>
<td>&quot;On the Lame&quot;</td>
<td>Natalie Zemon Davis</td>
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<td>3</td>
<td>4,208</td>
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<tr>
<td>9</td>
<td>A History of &quot;Gender&quot;</td>
<td>Joanne Meyerowitz</td>
<td>113</td>
<td>5</td>
<td>4,188</td>
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<tr>
<td>10</td>
<td>Feminism in the French Revolution</td>
<td>Jane Abray</td>
<td>80</td>
<td>1</td>
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<tr>
<td>11</td>
<td>The Origin of &quot;Manifest Destiny&quot;</td>
<td>Julius W. Pratt</td>
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<td>4</td>
<td>3,930</td>
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<tr>
<td>12</td>
<td>The Black Death: End of a Paradigm</td>
<td>Samuel K. Cohn, Jr.</td>
<td>107</td>
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<td>3,923</td>
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<td>13</td>
<td>Collective Memory and Cultural History: Problems of Method</td>
<td>Alon Confino</td>
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<td>14</td>
<td>Book Review</td>
<td>Steven F. Lawson</td>
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<td>15</td>
<td>Subaltern Studies as Postcolonial Criticism</td>
<td>Gyan Prakash</td>
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</tbody>
</table>
2020 Marketing Objectives

To ensure the continued success of *The American Historical Review* in 2020, we have developed a marketing plan focused on supporting the journal’s development goal. From these core objectives, we have developed and implemented the marketing campaigns reported below.

- **Objective 1:** Grow and maintain AHR’s global profile and impact
  - **Goal:** Grow visits to the website with content engagement by 5% in 2020, when compared to 2019

- **Objective 2:** Grow readership and usage of journal content
  - **Goal:** Increase full text downloads by 250% to the featured articles within the History of Outbreaks collection, when compared to the pre-promotional period
  - **Goal:** Increase full text downloads by 100% to the featured articles within the Age and Aging collection, when compared to the pre-promotional period

- **Objective 3:** Drive continued usage growth by increasing alert registrants
  - **Goal:** Grow content alert subscribers by 20% from 2019

<table>
<thead>
<tr>
<th>Email Alerting Services</th>
<th>How many registrants are there for the journal’s email alerts?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Nov. 19, 2019</td>
</tr>
<tr>
<td>New issue alerts</td>
<td>3,643</td>
</tr>
<tr>
<td>Advance article alerts</td>
<td>598</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Email Alerting Services</th>
<th>Where are registrants located globally? (Top 10 countries)</th>
</tr>
</thead>
<tbody>
<tr>
<td>United States</td>
<td>United Kingdom, India, Canada, Australia, Germany, Brazil, China, Italy, Turkey</td>
</tr>
<tr>
<td>United Kingdom</td>
<td></td>
</tr>
<tr>
<td>India</td>
<td></td>
</tr>
<tr>
<td>Canada</td>
<td></td>
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<tr>
<td>Australia</td>
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<tr>
<td>Germany</td>
<td></td>
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<tr>
<td>Brazil</td>
<td></td>
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<tr>
<td>China</td>
<td></td>
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<tr>
<td>Italy</td>
<td></td>
</tr>
<tr>
<td>Turkey</td>
<td></td>
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</tbody>
</table>
Social Media Referrals 2018 - 2020
How many users and downloads come from social media websites?

<table>
<thead>
<tr>
<th>Year</th>
<th>Visits</th>
<th>Downloads</th>
</tr>
</thead>
<tbody>
<tr>
<td>2020</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2019</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2018</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

History of Outbreaks Collection

The History of Outbreaks was implemented from January 2020 to April 2020 to grow readership and usage of AHR content. The specific topic of outbreaks, epidemics, and pandemics was chosen to interest both professional and general interest audiences.

### Article Usage

<table>
<thead>
<tr>
<th>Title</th>
<th>Views</th>
</tr>
</thead>
<tbody>
<tr>
<td>Communicable Disease: Information, Health, and Globalization in the Interwar Period</td>
<td>2,808</td>
</tr>
<tr>
<td>Governing the Living and the Dead: Mecca and the Emergence of the Saudi Biopolitical State</td>
<td>749</td>
</tr>
</tbody>
</table>

### Results

<table>
<thead>
<tr>
<th>Promotional period</th>
<th>Landing page views</th>
<th>Article views during promotional period</th>
</tr>
</thead>
<tbody>
<tr>
<td>January 2020 – April 2020</td>
<td>22,485 page views</td>
<td>3,557 full-text views</td>
</tr>
</tbody>
</table>

### Supporting Activity

<table>
<thead>
<tr>
<th>Activity</th>
<th>Results</th>
</tr>
</thead>
<tbody>
<tr>
<td>Email</td>
<td>29% open rate, 19% click-to-open rate</td>
</tr>
<tr>
<td>Tweets on @OUPHistory and @OxfordJournals</td>
<td>6,727 impressions</td>
</tr>
<tr>
<td>Banner Ads on OUP History Journal Websites</td>
<td>619,815 impressions, 671 clicks</td>
</tr>
<tr>
<td>Google Ads</td>
<td>140,932 impressions, 6.16% click through rate</td>
</tr>
</tbody>
</table>
The Women’s History Month Reading List launched the week of March 22 and featured the *AHR* article “Maidservants’ Tales: Narrating Domestic and Global History in Eurasia, 1600–1900” by Amy Stanley. During the promotional period, the article received 141 full-text downloads.

**AHR Everywhere and Nowhere: Chronological Age**

An *AHR* virtual collection examining age and aging will be promoted from June 2020 through August 2020. The results below for full-text views represents a 110% increase from the preceding period (March to May).

<table>
<thead>
<tr>
<th>Results</th>
<th></th>
</tr>
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<tbody>
<tr>
<td>Promotional period</td>
<td>June 2020 – August 2020</td>
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<tr>
<td>Landing page views</td>
<td><strong>465 page views</strong></td>
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<tr>
<td>Article views during</td>
<td><strong>759 full-text views</strong></td>
</tr>
<tr>
<td>promotional period</td>
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Committee Reports

Committee on Affiliated Societies Report

Committee on Gender Equity Report

Committee on LGBTQ Status in the Profession

Committee on Minority Historians Report

Graduate and Early Career Committee Report
Committee on Affiliated Societies Report

Submitted by: Jeremy C. Young, Communications and Marketing Manager, and Victor Medina Del Toro, Meetings and Executive Assistant

Committee Members, 2020
Timothy Draper, Waubonsee Comm. Coll.
Jacqueline Jones, Univ. of Texas, Austin (AHA President-Elect)
Charles Strauss, Mount St. Mary’s Univ.
Ann Twinam, Univ. of Texas, Austin

Staffing Changes
In September, the AHA’s new communications and marketing manager, Jeremy C. Young, took over staffing the committee from Victor Medina Del Toro. Victor will continue to handle matters concerning affiliate panels at the annual meeting. We are grateful for Victor’s able service to the committee over the past two years.

Number of Affiliated Societies
The AHA has 129 affiliated societies. No new applications for affiliation were reviewed this year.

Annual Meeting and Affiliate Support Activities
At the 2020 Annual Meeting, the affiliated societies held a business meeting, and several hosted tables at a dedicated space in the exhibit hall. The committee also held a workshop on developing and implementing a code of conduct, with 40 attendees. As an outgrowth of this workshop, in early December 2020, the AHA sponsored a two-part virtual training session on creating and implementing codes of conduct, led by consultant Sherry Marts, which draw 55 attendees from affiliated society leadership.

For the canceled 2021 annual meeting, 22 affiliates submitted 62 sessions. This represents a stark decline over previous years (in 2020, 47 affiliates submitted 190 sessions; in 2019, 47 affiliates submitted 138 sessions; in 2018, 33 affiliates submitted 122 sessions). Since the deadline for submissions was May 15, this year’s low submission rate clearly reflected the impact of the pandemic on expectations for the conference; we expect the numbers to rebound significantly next year.

Fall Committee Meeting
The committee met on October 13, 2020, to discuss two topics: an expanded mission for the affiliated societies program, and potential committee activities for Virtual AHA.

1. The AHA hopes to expand the affiliated societies program by exploring opportunities for affiliates to collaborate more effectively with one another and with the AHA. The committee discussed a few options for doing this, including
increased use of the affiliated societies list on AHA Communities and a possible survey of affiliate leadership to determine their support needs.

2. The committee also decided to ask affiliate leadership for their input on a potential Virtual AHA workshop or reception. AHA staff asked affiliate leadership to choose their preference among five options, one of which was to do nothing given the amount of burnout many historians are experiencing. Nearly 30 affiliates responded to our query, but there was no consensus about which topic to select. Ultimately, the committee decided to wait until the 2022 annual meeting to offer additional programming.

**Action Item: Increasing Committee Membership**

In light of the proposed expanded mission for the committee, current committee members recommended that the Council increase the number of committee members from three to five (plus the President-Elect). Increasing the size of the committee will enable more activity and allow for additional support for the affiliated societies program.
Committee on Gender Equity Report

Submitted by Susan Kingsley Kent, chair. Members: Maureen Elgersman Lee, Lindsey Martin, Matthew Basso, and Pamela Scully

The committee did not meet in 2020 due to demands on staff and committee time related to the pandemic. Members of the committee are planning a webinar on the impact of COVID-19 on gender equity in the discipline, which we hope to schedule in the spring.

Survey on Gender Equity in the Discipline
The committee has been drafting a short, qualitative survey on the state of gender equity in the discipline. While this project has been put on hold due to the circumstances, the committee hopes to take it up in the future.
Committee on LGBTQ Status in the Profession Report

Submitted by Tammy Ingram (Coll. of Charleston), Chair

Members of the committee are Lacy Ferrell (Central Washington Univ.), Helmut Puff (Univ. of Michigan, Ann Arbor), Andrew Ross (Loyola Univ. Maryland), and Michael Ryan (Univ. of New Mexico). The committee also relies on the guidance and input of Debbie Doyle, staff liaison to the committee; Jim Grossman, executive director of the AHA; and Victor Medina Del Toro, executive assistant.

In January 2020, the committee held an open forum at the annual meeting in New York, in which Tammy Ingram, Mike Ryan, and Debbie Ann Doyle participated. The purpose of the forum was to provide members with an overview of the committee’s work over the past year, apprise members of our priorities for the coming year, and solicit input from members about issues that the committee should address. In a long and active Q&A session, audience members raised many concerns. One of the most helpful suggestions we received was to think about providing advice on incorporating LGBTQ history into survey courses on Modern Europe, US History, or Western Civilization. This could be the focus of a future annual meeting session. Attendees also suggested making pronouns on badges and signage for all-gender restrooms more visible and improving communication with chairs about asking for pronouns. Audience members also suggested raising the visibility of the committee and offering a channel for submitting questions, comments, and suggestions, possibly through a presence on social media.

The committee did not hold a spring meeting due to demands on staff and committee time related to the pandemic.

The committee held its fall teleconference on November 25, 2020. At the meeting, the committee discussed plans to begin fundraising for a $12,500 spend-down fund to create a $500 travel grant for LGBTQ history. Council approved creation of the fund at its January 2020 meeting. Fundraising will begin in January 2021.

Members also discussed a query from Marc Stein, a member of the original LGBTQ Task Force. Stein expressed concern that California’s ban on state-funded travel to states with anti-LGBTQ legislation has the unintended consequence of preventing California students and faculty from receiving funding for research in affected states. Members agreed to recommend that Council approve a statement requesting that the California State Legislature update the legislation, AB1887, to amend the list of exceptions to permit state-funded travel for research and education on LGBTQ culture, health, history, law, and politics.
Committee members are planning to hold a virtual open forum and networking event on Saturday, January 9 to replace the open forum and reception that usually take place at the annual meeting.

The committee’s priorities for 2021 include:

* Raising a $12,500, spend-down fund to support a $500 travel grant in LGBTQ History for twenty years.

* Continuing to collaborate with AHA affiliate the Committee on LGBT History to develop a mentoring program for LGBTQ graduate students and junior faculty.

* Developing specific recommendations for employing gender neutral language in job searches and finding better ways of disseminating this information through both formal and informal networks, including workshops at future AHA meetings and working through smaller regional and topical professional organizations to get the information out to individual institutions.
Committee on Minority Historians Report

Submitted by Laurie Arnold (Gonzaga Univ.), chair

The members of the committee are: Cara Caddoo (Indiana Univ.), Michael Innis-Jimenez (Univ. of Alabama), George Derek Musgrove (Univ. of Maryland, Baltimore County), and Sharlene Sinegal-DeCuir (Xavier Univ., La.).

The committee did not hold a spring meeting due to demands on staff and committee time related to the pandemic. The CMH chair participated in discussions about protocols for the Indigenous land acknowledgement for the 2021 Seattle conference. The committee was also in discussions about the content of the dedicated CMH conference session when COVID hit and conference plans changed.

The committee met on December 8, 2020. The bulk of the conversation focused on a proposal to offer discounted individual and institutional membership to scholars affiliated with Historically Black Colleges and Universities and Universities and Tribal Colleges and Universities, which the committee endorsed. The committee also discussed a proposal from the staff that Council designate $2,000 from the operating budget to fund a cash award for the individual and institutional Equity Awards. The staff also reported on progress toward investigating the history of the AHA with regard to racial discrimination.

After extensive discussion, the committee did not make an Individual Equity Award in 2020. The committee selected the University of Nevada Las Vegas as the recipient of the 2020 Institutional Equity Award. Members wrote this about their application: The AHA Committee on Minority Historians is pleased to grant the 2020 Institutional Equity Award to the University of Nevada–Las Vegas Department of History. UNLV is a Minority Serving, Hispanic Serving, and Asian American, Native American, Pacific Islander Serving Institution. The History Department reflects this diverse student body: one-third of tenured and tenure-track faculty and one-third of graduate students come from communities of color and communities striving for more equitable access to the academy. This increasingly more diverse faculty has ensured student success and has placed students of color in the pipeline toward a more diverse professoriate and greater representation in cultural institutions.

The committees practice has been to review both new applications and applications carried over from previous years. I believe the practice was motivated by efforts to be as inclusive as possible and informed by the low number of applications received each year. The committee should discuss the practice of carrying over applications, particularly as Council considers attaching a financial award to the prize.

Committee members are planning to hold a virtual networking event on Friday, January 8 to replace the annual reception.
Graduate and Early Career Committee Report

Submitted by: Dylan Ruediger, AHA Staff Liaison to the Committee

Since its last report to Council, GECC has organized the following activities in support of graduate students and early-career historians.

2020 AHA Annual Meeting:

- GECC’s annual open forum focused on questions about how graduate students, untenured junior faculty, and early-career non-tenure-track faculty can negotiate academic free speech and campus activism. The forum attracted approximately two dozen attendees and a lively discussion about how to balance professional responsibilities as teachers and employees with the ethical obligation many young scholars feel to speak publicly about issues of social and racial justice.
- GECC also organized a roundtable on transitioning into graduate school, which brought together PhD and MA students from a variety of institutions to talk about their experiences adjusting to graduate school. Targeted towards undergraduates attending the meeting, the session was well received and may become a regular offering.
- GECC co-hosted the new professional development track for officers in History Graduate Student Associations (HGSAs), which attracted 29 registrants, 13 of whom attended the HGSA workshop on managing leadership transitions within HGSAs. GECC members co-facilitated our workshop on managing leadership transitions within HGSAs and hosted a meetup with HGSA officers. An article on the HGSA track was featured in Perspectives Daily this January.
- GECC once again took advantage of the AHA’s meetup spaces to hold open office hours with graduate students attending the meeting. The successful event revealed that graduate student members have limited awareness of existing AHA resources, deep concerns over the state of the academic job market, and appreciate the AHA’s work on Career Diversity.

2021 AHA Annual Meeting:

- The 2021 meeting would have included five panels sponsored or organized by GECC:
  - Making the Most of Your Postdoc
  - What Is Grad School Really Like?
  - Open Forum on Online Pedagogy
  - International Student’s Experiences in Graduate Programs
  - What do Academic Search Committees Really Want?
• Following the cancellation of the 2021 annual meeting, the first three of the panels were reimagined as virtual offerings as part of the Virtual Career Development series and Online Teaching Forum in the Virtual AHA. Together, those three panels attracted 249 confirmed attendees.

Web Resources:

• With help from GECC, the AHA added a new document, “Financial Questions to Consider Before Starting Graduate School,” it is Graduate Students Resources page. This resource was inspired by a conversation with graduate students during a Career Diversity site visit and augments existing resources for students in all stages of graduate school.

GECC has initiated a long-term project of reorganizing the Early Career resources page on the AHA webpage to cull outdated content and develop resources for historians working outside the professoriate.
Pacific Coast Branch Report

Submitted by Michael Green, University of Nevada, Las Vegas

Unsurprisingly, this year’s annual report from the Pacific Coast Branch of the AHA is different. The same obviously may be said of this year. We were unable to hold our conference this past August, thanks to the virus. However, in our case, we can report with some pleasure and relief that the impact of the pandemic and the accompanying shutdowns and cuts was less than it might have been.

The PCB-AHA is the only branch of the AHA. Its area consists of 22 states and four Canadian provinces west of the Mississippi River. Our membership is slightly above 3,300, and we present several awards for scholarship directly and through our quarterly journal, Pacific Historical Review. We receive funds from the AHA toward our upkeep, and are grateful to AHA Executive Director James Grossman and the entire AHA staff for all of their assistance and patience. We would especially like to thank Dana Schaffer for her aid, Gabriella Folsom for her assistance with using Informz for communications, and Liz Townsend for running our elections.

The PCB-AHA also continues to benefit from unstinting help from the University of Nevada, Las Vegas, where I teach. The College of Liberal Arts provides assistance, including a course release for me and graduate assistants. The department of history provides administrative help and space. Both the AHA and UNLV have enabled us to avoid a financial crisis this year.

So, too, has our approach to conferences. Because we meet on college campuses and neither can sign long-term advance contracts nor face hotel guarantees, we ran into no issues of indemnifying our scheduled host, Portland State University; they have welcomed our plans to try again this summer, August 11-13.

We offered options to those whose panels and proposals had been accepted. We published an online program listing the original schedule so that the information was available. We also shifted several of our scheduled panels to Zoom, with ten of the panels volunteering to do so. The rest have the option of resubmitting and getting special consideration for the 2021 program. This also has inspired us to pursue other online or virtual programming.

This year, the PCB changed its bylaws to add a secretary and treasurer. Both positions will rotate. The secretary will be the Council member chosen by that body to serve on the Executive Committee with the current and past presidents and president-elect—in this case, Dustin Walcher of Southern Oregon University, joining Past President David Johnson of Portland State, President Marsha Weisiger of the University of Oregon, and President-Elect Stacey L. Smith of Oregon State University. The treasurer will be the chair of the PCB’s Finance Committee, Ben Mutschler of Oregon State University (the PCB is not changing its name to the Oregon Branch of the AHA).
The PCB also created a new prize, the Robert W. Cherny Award for outstanding article on labor or political history, and named for an emeritus professor at San Francisco State University. The PCB also is grateful to the AHA for its help with our Distinguished Service Award, which we presented this year to Albert Camarillo, professor emeritus at Stanford University, a former PCB-AHA president, and founder and supporter of our annual Camarillo Family Luncheon for Latino/a Scholars.

We also are hoping that, if the conferences occur, we will be able to partner with the Western History Association, which is scheduled to meet in Portland in October. We hope that the chance to offer joint programming would enable us to reach new audiences, especially K-12 educators.

Our goal continues to be to encourage involvement by younger or newer scholars—graduate students, affiliated faculty, and untenured tenure-track faculty who may lack access to the kind of funding available to more senior scholars. Current and former PCB-AHA presidents contribute to a Graduate Student Travel Award fund, and we hope to continue and increase donations to that from other sources (including extending a three-year agreement for graduate student support with the Charles Redd Center at Brigham Young University). We also are beginning our own graduate student caucus to encourage further graduate student involvement and programming. We will also continue to seek additional sources to fund luncheons and receptions, and increase the amount of advertising in our program and for book exhibits. We hope that these also manifest themselves in increased involvement and membership in the parent organization.

Indeed, we are a child of the AHA, and a colleague, and a partner. We hope to continue that happy and healthy relationship for a long time to come.
A. Introduction

In my June 2020 report to the AHA Council, I explained what the National History Center had accomplished in the first months of the year before the COVID-19 shutdown put a premature end to our already announced programming. In this report, I am happy to note that the shutdown of physical gatherings only temporarily suspended our efforts and that in a shift to online programming, we made up for lost time with a very robust season of webinars. To a large extent, what the January report noted – that the NHC “remained active in one of its central missions – the fostering of thoughtful conversations between historians, policy makers, government officials, and the public on the relevance of history to public policy” – remains true today. The ongoing crisis initially hampered our activities but we made a virtue of necessity, thinking creatively about alternative means of fostering the conversations between historians and policy makers. I believe we have been largely successful; I hope you concur.

B. Programs

The Washington History Seminar. The Washington History Seminar series, co-sponsored by the Woodrow Wilson International Center for Scholars’ History and Public Policy Program, concluded its tenth anniversary this past winter. As I believe you know, the NHC and the Wilson Center typically invite a diverse group of historians to present their research (usually based on recently published or soon to be published books) to the seminar participants; we aim for a healthy mix of well-established and earlier stage historians (though occasionally the name of an anthropologist or political scientist finds its way onto our list) who address a wide range of subject areas, usually if not always centered on 20th century history. Because we work collaborative and harmoniously with our counterparts at the Wilson Center to assemble the roster of speakers, the missions of the NHC and especially the Wilson Center tilt us somewhat in the direction of policy history and international/foreign policy history. Traditionally held weekly on Mondays at 4 pm at the Woodrow Wilson Center during the academic year, the seminar attracted an average of 60-70 people a week prior to the lockdown. As I noted in the June report, the Washington History Seminar featured seven sessions before the March shutdown, including the following speakers: Sidney Blumenthal, Jeremy Popkin, Norman Naimark, Astrid M. Eckert, Amy Offner, Lawrence Glickman, and Amy Aronson.

Then came the March shutdown and the suspension of in-person programming. In the Summer of 2020, I proposed to our Wilson Center counterparts that we relaunch the Seminar in the online environment. The initial experiment of five sessions in July and August exceeded our expectations, attracting audiences far larger than those of our in-person sessions. The NHC and the Wilson Center then committed to a full line-up for Fall 2020, which launched on September 10. The Summer and Fall seasons of the WHS featured the following sessions:
**Summer 2020**

- July 20: Lorenz Luthi, *Cold Wars: Asia, the Middle East, Europe*
- August 3: David Reynolds, *Island Stories: An Unconventional History of Britain*

**Fall 2020**

- September 14: Frederick Logevall, *JFK: Coming of Age in the American Century, 1917-1956*
- October 2: Martin Sherwin, *Gambling with Armageddon: Nuclear Roulette from Hiroshima to the Cuban Missile Crisis, 1945-1962* (co-sponsored with Politics and Prose)
- October 14: Julia Rose Kraut, *Threat of Dissent: A History of Ideological Exclusion and Deportation in the United States*
- October 19: Simon Miles, *Engaging the Evil Empire: Washington, Moscow, and the Beginning of the End of the Cold War*
- October 23: Helmut Walser Smith, *Germany: A Nation in its Time, Before, During, and After Nationalism, 1500-2000*
- October 26: Ellen Carol DuBois, *Suffrage: The Women’s Long Battle for the Vote*
- November 9: Kristina Spohr, *Post Wall, Post Square: Rebuilding the World After 1989*
- November 16: Eileen Boris, *Making the Woman Worker: Precarious Labor and the Fight for Global Standards*
- November 23: Benjamin Hopkins, *Ruling the Savage Periphery: Frontier Governance and the Making of the Modern State*
- December 2: Victoria de Grazia, *The Perfect Fascist: A Story of Love, Power, and Morality in Mussolini’s Italy*
- December 7: Mira Siegelberg, *Statelessness: A Modern History*
- December 14: Larry Wolff, *Woodrow Wilson and the Reimagining of Eastern Europe*

All but one of the above sessions were recorded and can be found on the NHC’s YouTube channel.
We are in the process of finalizing a very ambitious Winter-Spring season of the Washington History Seminar. To date, we have twenty-five confirmed session running from January through the end of June with David Nasaw, Joan Walach Scott, Claudio Saunt, Sarah Miller-Davenport, Tyler Stovall, Giuliana Chamedes, Marc Levinson, Brandon R. Byrd, Rosie Bsheer, Marvin Kalb, Laura Robson, Christopher Capazolla, Amanda Frost, Ronald Grigor Suny, Kate Masur, James Banner, Alex Wellerstein, Joanne Meyerowitz, Louis Menand, Jeremy Brown, Don Ritchie, Dorothy Sue Cobble, Tim Harper, and Patricia Sullivan, plus a session with three co-editors of a volume on Latin America and the Global Cold War (Thomas C. Field, Stella Krepp, Vanni Pettina). Three of the above sessions will be co-sponsored with the Omohundro Institute of Early American History and Culture. I am pursuing the possibility of further programming collaborations with the Omohundro director.

Two final points about the Washington History Seminar. First, the virtual environment allows us to tap people from multiple locations, not just those coming to Washington D.C. This allowed us, for instance, to feature Anne Applebaum from Poland, David Reynolds in England, commentator Maria Lipman from Russia, and others from across the country. And second, because we have concluded that a weekly 45-50 minute talk by a single historian is not likely to hold an audience’s attention, we have experimented with formats, preferring shorter talks, with events adding one to three commentators, and occasionally following an “in conversation with” approach.

The Congressional Briefing Series

This Mellon-funded program, previously a centerpiece of the NHC’s efforts, is taking a back seat to the WHS at the moment. The program offers “briefings” by historians, presented in a non-partisan fashion, to congressional staffers and others, on Capitol Hill in a House conference room. These briefings were typically filmed and broadcast by C-SPAN. In late January we began laying the groundwork for programming in 2020 and, as the pandemic intensified, we scheduled a session on responses to pandemics in the twentieth century for March 20 in the Rayburn Building with a medical historian and a medical anthropologist. A week before the session, the House Sergeant of Arms restricted all access to House buildings; the city’s shutdown followed; no session was held at that time. On June 11, we instead launched a virtual briefing on “Vaccine Development: Historical Perspectives” with Theresa McPhail (Stevens Institute of Technology) and Thomas Ewing (Virginia Tech). At the request of our primary Capital Hill contact, we held a second virtual Congressional Briefing on “Federal Responses to Economic Crisis” with Jennifer Delton (Skidmore), Lizbeth Cohen (Harvard), and Eric Rauchway (UC Irvine). Both sessions can be found on the NHC’s YouTube page. With the election attracting all of the attention of Congressional Staffers, we declined to hold any briefings prior to November and, with the turmoil that is still embroiling our national politics, December as well. The question currently under discussion is whether to begin scheduling virtual briefings for the new year or hold off until in-person events are again permitted in the halls of Congress. The reason for the hesitancy is our inability to determine how many – if any – congressional staffers – the target audience – would be watching, since they tend to watch not
via Zoom, which requires registration, but through Facebook Live, which does not. A decision on the timing and nature of upcoming briefings will be made in the near future.

**Other Programming**. Our first webinar, it turns out, was neither a Washington History Seminar nor Congressional Briefing but a stand-alone session on “Protest and Civil Unrest in the United States: An Historical Exploration” on June 11, during the height of the Black Lives Matter protests. The event included Chad Williams (Brandeis), Marcia Chatelain (Georgetown), Michael Flamm (Ohio Wesleyan), Cheryl Greenberg (Trinity), and Thomas Sugrue (New York University). Moving forward, it is possible for the NHC to organize additional topic seminars that bring historical perspectives to bear on contemporary issues. The AHA itself, however, has also pioneered such webinars so it may be that NHC activity on this front is unnecessary.

**C. Funding**

As the NHC director notes in every report, the Center operates on a shoestring budget. The Congressional Briefing Series is funded by the Andrew Mellon Foundation, and the Center has received smaller grants from the Stern Trust and small donations from the George Washington University History Department, the Lepage Center, private donations, and, of course, the ongoing and indispensable support of the American Historical Association. The prior meltdown of the U.S. economy, the stresses that the pandemic has placed on individuals and institutions, and our inability to meet people in a face-to-face manner has put additional fundraising on hold. As the world begins to open up – hopefully later this Spring and Summer – this is a crucial area to which we need to attend more fully.

**D. New Initiatives**

As I noted in the last report, the current crisis is not an impediment to thinking about future initiatives. Our energies have gone largely into developing robust virtual programming, a task that has, I believe, we’ve largely succeeded. As the world – hopefully – opens up soon, I remain eager to hear from both the AHA Council and the NHC Board about potential new initiatives and programming. Any and all suggestions are welcome.

**E. Challenges**

Above I’ve noted the challenges posed by the pandemic and our attempts to address them through online programming. One additional challenge not raised above centers on person power. It is my hope that in the near future we can recruit a number of individuals who will identify with the Center and its mission in order to plan and launch programs and/or new initiatives. To date, the Center’s activities are limited by my time and energy, as my institution – The George Washington University – grants no course releases for faculty members engaged in activities such as the NHC undertakes. My first goal for the new year is to engage the NHC Board and the NHC Programming Committee more fully in an effort to draw more individuals into the concrete work of the Center with the aim of our expanding our programming and outreach.
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Digital History Working Group: David A. Bell (Princeton Univ.), Co-Chair; Jeffrey W. McClurken (Univ. of Mary Washington), Co-Chair; Kalani Craig (Indiana Univ.); Paula Findlen (Stanford Univ.); Walter W. Hawthorne (Michigan State Univ.); Jason M. Kelly (Indiana Univ.-Purdue Univ., Indianapolis); Andrew H. Lee (New York Univ.); Michelle Moravec (Rosemont Coll.); Stephen M. Robertson (Roy Rosenzweig Center for History and New Media)

NARA Review Committee: Richard Immerman (Temple Univ.), chair; Matthew Connelly (Columbia Univ.); Chris Prom (Univ. of Illinois, Urbana-Champaign); Leslie Rowland (Univ. of Maryland, College Park)

DELEGATES

Advisory Committee on Historical Diplomatic Documentation: Richard H. Immerman (Temple Univ.)
American Association for the Advancement of Science’s Science and Human Rights Coalition: James Grossman

American Council of Learned Societies: Tyler Stovall (Univ. of California, Santa Cruz)

Association for Slavic, East European, and Eurasian Studies: Asif Siddiqi (Fordham Univ.)

Friends of the German Historical Institute: Catherine A. Epstein (Amherst Coll.); Anna von der Goltz (Georgetown Univ.)

National Advisory Board of the History Teacher: Craig Perrier

National Historical Publications and Records Commission: William G. Thomas (Univ. of Nebraska, Lincoln)

Eugen Weber Book Prize in French History: Sarah Shurts (Bergen Comm. Coll.)
Awards, Prizes, Fellowships, and Grants

Awards and Prizes

Fellowships and Grants
Awards and Prizes

The 2020 prizes were awarded virtually in the absence of an annual meeting.

2020 Awards for Scholarly and Professional Distinction

Eugene Asher Distinguished Teaching Award
Robert D. Johnston, University of Illinois at Chicago

Equity Awards
Institutional Award: University of Nevada-Las Vegas, Department of History

Herbert Feis Award
Valerie Paley, Center for Women’s History, New-York Historical Society

Nancy Lyman Roelker Mentorship Award
Omnia El Shakry, University of California, Davis

Honorary Foreign Member
Hartmut Lehmann, University of Kiel, Germany

Awards for Scholarly Distinction
David Levering Lewis, New York University
Leslie P. Peirce, New York University
David Warren Sabeau, University of California, Berkeley

Awards for Publications

Herbert Baxter Adams Prize
Alexander Bevilacqua, Williams College

George Louis Beer Prize
Emma Kuby, Northern Illinois University
Political Survivors: The Resistance, the Cold War, and the Fight against Concentration Camps after 1945 (Cornell Univ. Press, 2019)
Jerry Bentley Prize
Toby Green, King’s College, London
*A Fistful of Shells: West Africa from the Rise of the Slave Trade to the Age of Revolution* (Univ. of Chicago Press, 2019)

Albert J. Beveridge Award
Jeremy Zallen, Lafayette College

Paul Birdsall Prize
Brandon M. Schechter, NYU-Shanghai and the Harriman Institute of Columbia University

James Henry Breasted Prize
Charles Sanft, University of Tennessee, Knoxville
*Literate Community in Early Imperial China: The Northwestern Frontier in Han Times* (SUNY Press, 2019)

Albert B. Corey Prize
Jamie Benidickson, Faculty of Law, University of Ottawa
*Levelling the Lake: Transboundary Resource Management in the Lake of the Woods Watershed* (UBC Press, 2019)

Raymond J. Cunningham Prize
Jubilee Marshall, Villanova University (BA, 2019)

John K. Fairbank Prize
Eiichiro Azuma, University of Pennsylvania
*In Search of Our Frontier: Japanese America and Settler Colonialism in the Construction of Japan’s Borderless Empire* (Univ. of California Press, 2019)

Morris D. Forkosch Prize
Tawny Paul, University of California, Los Angeles
*The Poverty of Disaster: Debt and Insecurity in Eighteenth-Century Britain* (Cambridge Univ. Press, 2019)

Leo Gershoy Award
Margaret E. Schotte, York University
*Sailing School: Navigating Science and Skill, 1550–1800* (Johns Hopkins Univ. Press, 2019)
William and Edwyna Gilbert Award
Rien Fertel, writer; Elizabeth S. Manley, Xavier University of Louisiana; Jenny Schwartzberg, Historic New Orleans Collection; and Robert Ticknor, Historic New Orleans Collection
“Teaching in the Archives: Engaging Students and Inverting Historical Methods Classes at the Historic New Orleans Collection,” The History Teacher 53, no. 1 (November 2019)

Friedrich Katz Prize
Marixa Lasso, Ministerio de Cultura de Panamá
Erased: The Untold Story of the Panama Canal (Harvard Univ. Press, 2019)

Joan Kelly Memorial Prize
Saidiya Hartman, Columbia University

Martin A. Klein Prize
Abena Dove Osseo-Asare, University of Texas, Austin

Littleton-Griswold Prize
Sarah Seo, Columbia Law School
Policing the Open Road: How Cars Transformed American Freedom (Harvard Univ. Press, 2019)

J. Russell Major Prize
Joshua Cole, University of Michigan
Lethal Provocation: The Constantine Murders and the Politics of French Algeria (Cornell Univ. Press, 2019)

Helen and Howard R. Marraro Prize
James Hankins, Harvard University
Virtue Politics: Soulcraft and Statecraft in Renaissance Italy (Harvard Univ. Press, 2019)

George L. Mosse Prize
Joan Neuberger, University of Texas at Austin
This Thing of Darkness: Eisenstein’s Ivan the Terrible in Stalin’s Russia (Cornell Univ. Press, 2019)

John E. O’Connor Film Award
Documentary: Killing Patient Zero, Laurie Lynd, writer and director, and Corey Russell, producer (Fadoo Productions)
Dramatic Feature: Harriet, Kasi Lemmons, co-writer and director, and Debra Martin Chase, Daniela Taplin Lundberg, and Gregory Allen Howard, producers (Perfect World Pictures)
Eugenia M. Palmegiano Prize
Vincent DiGirolamo, Baruch College, City University of New York
Crying the News: A History of America’s Newsboys (Oxford Univ. Press, 2019)

James A. Rawley Prize
Sophie White, University of Notre Dame
Voices of the Enslaved: Love, Labor, and Longing in French Louisiana (Omohundro Inst. of Early American History and Culture and the Univ. of North Carolina Press, 2019)

Premio del Rey
Thomas W. Barton, University of San Diego
Victory’s Shadow: Conquest and Governance in Medieval Catalonia (Cornell Univ. Press, 2019)

John F. Richards Prize
Sheetal Chhabria, Connecticut College

James Harvey Robinson Prize
Hasan Kwame Jeffries, Ohio State University
Understanding and Teaching the Civil Rights Movement (Univ. of Wisconsin Press, 2019)

Dorothy Rosenberg Prize
Tamar Herzig, Tel Aviv University
A Convert’s Tale: Art, Crime, and Jewish Apostasy in Renaissance Italy (Harvard Univ. Press, 2019)

Roy Rosenzweig Prize
Elaine Sullivan, University of California, Santa Cruz
Constructing the Sacred: Visibility and Ritual Landscape at the Egyptian Necropolis of Saqqara (Stanford Univ. Press, 2019)

Wesley-Logan Prize
Benjamin Talton, Temple University
In This Land of Plenty: Mickey Leland and Africa in American Politics (Univ. of Pennsylvania Press, 2019)
Fellowships and Grants

Fellowships

J. Franklin Jameson Fellowship in American History 2020–21
Hannah Cutting-Jones, Protein Wars: The Fight over Our Favorite Nutrient

AHA Fellowship in Aerospace History 2020–21
Taylor Elliott Rose, Wasteland, Rangeland, Homeland: Weapons Testing, Restricted Airspace, and Atomic-Age Ecology at the Nevada Test Site

AHA Fellowship in the History of Space Technology 2020–21
Jeffrey Mathias, “Pathologies of Boredom”: Isolation and the Cold War Human Sciences

GRANTS

Albert J. Beveridge Grant
Hannah R. Abrahamson, Women of the Encomienda: Households and Dependents in 16th- and 17th-Century Yucatan, Mexico
Jennifer Andrella, When the War Raged On: Montana Territory, the Politics of Authority, and National Reconstruction, 1860-1900
Madelina Marie Cordia, Intentional Migration and Unintended Consequences: The Impact of the Bracero Program on Families and Society Across the US-Mexico Border, 1942-64
Donna Rae Devlin, A Comparative Legal History of Sexual Violence and Coercion on the 19th-Century Plains
Lauren Brooke Feldman, Debating and Constructing Legal Marriage and the State in New York and the United States, 1776-1860
Allison M. Kelley, High on the Mountaintop a Banner is Unfurled: Capitalism, Community, and Latter-day Saints’ 20th-Century Economic Vision
Connor S. Kenaston, Church of the Air: Mainwave Religion and the Blessing of Communications Capitalism
Timothy Lorek, Making the Green Revolution: Agriculture and Conflicted Landscapes in Colombia
Kevan Quinn Malone, Borderline Sustainability: Urbanization and Environmental Diplomacy at the Tijuana-San Diego Boundary, 1919-99
Mia Michael, Caring for the Commonwealth: Domestic Work and Workers’ Organizing in Boston, Massachusetts, 1960-2015
Lina Maria Murillo, Fighting for Control: Race and Reproductive Health Activism in the US-Mexico Borderlands
Molly Elisabeth Nebiolo, Constructing Health: Concepts of Well-Being in Early Atlantic Cities
Simon Purdue, “It’s the Birthrates!” Gender and the Global Extreme-Right, 1969-2009
Amy R. Ransford, Trading Women: Patriarchy, Race, and Settler Colonialism in the Hudson River Watershed, 1600-1790
Amy Zanoni, Poor Health: Retrenchment and Resistance in Chicago’s Public Hospital

**Michael Kraus Research Grant**
Aimee Hisey, Nations, Networks, and Knowledge: Circulating Epistemologies of Jewish Medical Practitioners in the Spanish Viceroyalties
Yiyun Huang, The Chinese Origins of Medicinal Tea: Global Cultural Transfer and a Vast Early America
Randal Grant Kleiser, (Ex)Changing Empires: Free Ports, Reform, and Revolution in the Atlantic World, 1750-84
Samuel Aldred Slattery, Colonial History from the Ramparts of a Harbor Fort: Early American Seaports and the Policed Atlantic

**Littleton-Griswold Research Grant**
Cameron Black, Student-Athlete or University Employees: Debates between Student-Athletes, Work, and Workman’s Compensation, 1870-1950
Jonathon Booth, Dethroning Justice: Building Post-Emancipation Societies through Law in the Atlantic World
Alicia Mariel Gutierrez-Romine, Dr. Edna Griffin and the Long Civil Rights Movement in California
Leah Samples, See: The State, Science, and Sightlessness in New Deal America
Kathryn Anne Schumaker, Spare the Rod: A History of Corporal Punishment in American Public Schools

**Bernadotte Schmitt Grant**
Madeleine Elfenbein, The Assembly Hall of the World: Ottomanism and the Birth of Global Politics
Jian Gao, Re-imagining Borders: Transpacific Chinese Mexicans in the Early to Mid-20th Century
Mahel Hamroun, Registers of Guilt: Legal and Moral Discourses in the Medieval North, 1200-1400
Chelsea Clare Hudson, Movement, Metamorphosis, and the Count for Ainu Communities on Hokkaido, Sakhalin, and the Kuril Islands
Kate Alison Imy, Hearts and Minds: War, Empire, and Military Culture in Singapore and Malaya, 1915-60
Elizabeth Jacob, Gender, Family, and the Politics of Public Motherhood in Côte d’Ivoire, 1893-1983
Nurlan Kabdylkhak, Islamic Transformation of Central Asia under the Tsars
Sarath Pillai, Decolonizing the Empire by Treaties: Princely States and the Federalist Alternative to the Nation-State in Late Colonial India

Madina Thiam, Seeking Freedom in the Sahel, from the Maasina Revolution to the Breakup of the Mali Federation

Jinghong Zhang, Down to the Roots: Teeth, Dentistry, and Dental Hygiene in Modern China

AHA Council Annual Meeting Travel Grant

Christopher Anderson        Dylan Gottlieb        Ela Miljkovic
Maria Paula Andrade        Taylor Gray          Molly Nebiolo
Jose Aranda                Timothy Hale         Nichole Nelson
David Barrios Giraldo      Nina Halty           Paula O’Donnell
Agata Blaszczyk            Janine Hubai          Oren Okhovat
Nicole Breault             Emily Hunt           Mitchell Oxford
Jan Burzlaff               Tara Keegan           Sandra Park
Robert Campbell            Michaela Kleber       C. Juanita Rodriguez
Julia Carroll              Zach Kopin           Gerardo Rodriguez
Jelena Culibrk             Alyssa Kreikemeier     Grace Song
Lane Davis                 Elana Krischer        Jessica Spiess
Samantha de Vera            Marianne Kupin-Lisbin  Chao Wang
Alicia DeMaio              Matthieu Langlois     Erika Weidemann
Camden Elliott             Marina Lant            Leslie Whitmire
Cody Foster                Zhaokun Liu           Shensi Y
Mark Frank                 Nicole Mahoney
Ashley Garcia              Kevan Malone

Dorothy Rosenberg Phi Beta Kappa Travel Grant

Lei Lin
Jonathan van Harmelen
Elizabeth Hameeteman
Alexandra Prince
Connor Kenaston
Nadirah Mansour

Jerry Bentley World History Travel Grant

Jessica Bachman
Kylie Gower
Yiyun Huang
Roman Hutter
Graeme Mack
Thais Rezende Da Silva De Sant’ Ana
Erica Price (Ellis)
Paula O’Donnell
National Institute of Social Sciences Annual Meeting Travel Grant
Ellen Abrams
John Andrews
Aubrey Lauersdorf
Jose Manuel Moreno Vega
Members

25-Year Members

50-Year Members

Life Members
25-Year Members of the American Historical Association

The following members of the AHA completed their 25th year of continuous membership in the Association during 2020. This list does not include members whose 25th anniversary has already passed and whose names would have appeared on previous such lists.

Sean Patrick Adams
Charles Pete Banner-Haley
Thomas E. Blantz CSC
Marcelo J. Borges
Lawson H. Bowling III
Robert V. Cassanello
Sally Charnow
Lisa Forman Cody
Raymond B. Craib
Christopher L. Doyle
James D. Drake
Timothy Dean Draper
Beth English
Eric J. Engstrom
Tor Egil Forland
Max Paul Friedman
Seth W. Garfield
Alexander C.T. Geppert
Frederick W. Gerbracht
Brian J. Hale
Gwendolyn Midlo Hall
David A. Hatch
John Brewster Hattendorf
William A. Helfand

Adam A. Hetnal
Michaela
M. Hoenicke Moore
Kenneth R. Janken
Drew A. Keeling
Patricia Kelleher
Mary C. Kelly
Mark R. Killenbeck
Willem Klooster
Marc H. Lerner
Jay B. Lockenour
Matthew Mancini
Richard J. Meister
Tillman W. Nechtman
John Louis Parascandola
Ernst Pijning
Rebecca Jo Plant
Janet L. Polasky
George Potamianos
Teresa Prados-Torreira
Richard T. Prosser
Kimberly A. Redding
Louis J. Reith
S. Scott Rohrer

Gavriel D. Rosenfeld
Emanuela Scarpellini
Elizabeth Schevtchuk
Armstrong
Paul A. Schor
Craig D. Scott
Marc J. Selverstone
Charles D. Smith Jr.
Mark A. Smith
Charles Sowerwine
John C. Stoner
John Stroup
David Suisman
Douglass C. Sullivan-Gonzalez
John C. Swanson
Nancy E. Toff
Todd P. Upton
Sandra F. VanBurkleo
Merry E. Wiesner-Hanks
Carol J. Williams
Peter J. Yearwood
Alan G. Zola
50-Year Members of the American Historical Association

The following members of the AHA completed their 50th year of continuous membership in the Association during 2020. The list also includes members who have already achieved this honor.

Mary Douglas Abu-Shumays  Renate Bridenthal  Frank F. Conlon
Thomas M. Adams  Roger D. Bridges  Giles Constable
Carol A. Adamson  David Brody  Robert T. Coolidge
Mina A. Aibel  Elizabeth A. R. Brown  F. Alan Coombs
Donald H. Akenson  Blaine A. Brownell  Ronald E. Coons
Lee N. Allen  W. Elliot Brownlee  Sandi E. Coons
Sharon Z. Alter  Evan B. Bukey  Frank J. Coppa
Howard L. Applegate  Robert D. Bulkley Jr.  James W. Cortada
Peter H. Argersinger  Nicholas C. Burckel  Edith B. Couturier
Abraham Ascher  Mark A. Burkholder  Michael K. Cox
John Wendell Bailey Jr.  Philip M. Burno  Earlene Craver
Deborah F. Baird  Peter M. Buzanski  Michael J. Crawford
Jay W. Baird  Rolfe G. Buzzell  Daniel W. Crofts
Keith M. Baker  John C. Cairns  Robert Rhodes Crout
James M. Banner Jr.  Daniel F. Calhoun  W. H. Cumberland
Roderick J. Barman  Daniel F. Callahan  E. Randolph Daniel
Redmond J. Barnett  Richard L. Camp  Pete Daniel
Michael C. Batinski  Charles F. Carroll  Roger Daniels
Daniel A. Baugh  Francis M. Carroll  Gerald A. Danzer
John J. Baughman  Rosemary F. Carroll  Cornelius P. Darcy
Ross W. Beales Jr.  Charles D. Cashdollar  Natalie Z. Davis
Seymour Becker  James E. Caskey  Istvan Deak
Thomas H. Bender  Philander D. Chase  Joseph A. Devine Jr.
Norman Robert Bennett  Min-sun Chen  Charles B. Dew
Kathleen Bergan Schmidt  Robert W. Cherny  David J. Diephouse
Martin E. Berger  Roger P. Chickering  Robert J. Dinkin
Joel A. Berlatsky  Stanley Chodorow  James J. Divita
Winfred E. A. Bernhard  J. R. Christianson  John M. Dobson
Mary F. Berry  Clifford E. Clark  Frank Domurad
Albert J. Beveridge III  Linda L. Clark  Marie M. Donaghay
Richard F. Beyerl  Malcolm C. Clark  Robert C. Donaldson
Robert D. Billinger Jr.  Errol M. Clauss  John Patrick Donnelly SJ
Russell K. Bishop  Jerome M. Clubb  Ara Dostourian
Thomas N. Bisson  Charles E. Coate  George A. Drake
Robert A. Blackey  Paul A. Cohen  Seymour Drescher
Kenneth John Blume  Thomas V. Cohen  Katherine Fischer Drew
Stuart M. Blumin  William Cohen  Jack R. Dukes
John Blunt  Thomas S. Colahan  Michael H. Ebner
Douglas E. Bowers  Marcia L. Colish  Owen Dudley Edwards
Christopher N. Breiseth  Mary Powlesland Commager  Carol Jean Ehlers
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Carla L. Klausner  
Jacques Paul Klein  
Paul W. Knoll  
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Randall M. Miller  
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Norma Taylor Mitchell  
John Modell  
James C. Mohr  
David T. Moore  
John A. Moore Jr.  
Robert J. Moore  
Regina Morantz-Sanchez  
Rex D. Morrell  
Karl F. Morrison  
John H. Morrow Jr.  
Charles J. Morton  
George Moutafis  
Armin E. Mruck  
John H. Mugar  
James M. Muldoon  
Alfred F. Myers  
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Financial Statements with Independent Auditor’s Report
October 29, 2020

To the Council of American Historical Association
American Historical Association
Washington, D.C.

We have audited the financial statements of American Historical Association for the year ended June 30, 2020, and we will issue our report thereon dated October 29, 2020. Professional standards require that we provide you with information about our responsibilities under auditing standards generally accepted in the United States of America, as well as certain information related to the planned scope and timing of our audit. We have communicated such information in our letter to you dated September 14, 2020. Professional standards also require that we communicate to you the following information related to our audit.

Significant Audit Matters

Qualitative Aspects of Accounting Practices

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by American Historical Association are described in Note 1 to the financial statements. As described in Note 1, the Association adopted the Financial Accounting Standards Board’s Accounting Standards Update (ASU) No. 2014-09, Revenue from Contracts with Customers (Topic 606), and all subsequently issued clarifying ASUs and ASU No. 2018-08, Not-For-Profit Entities (Topic 958): Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made, as of July 1, 2019. We noted no transactions entered into by the Association during the year for which there is a lack of authoritative guidance or consensus. All significant transactions have been recognized in the financial statements in the proper period.

Accounting estimates are an integral part of the financial statements prepared by management and are based on management’s knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimate affecting the financial statements was:

Management’s estimate of the allocation of salaries and fringe benefits, occupancy, and office expenses is based on estimates of time, effort, and use. We evaluated the key factors and assumptions used to develop the allocation in determining that it is reasonable in relation to the financial statements taken as a whole.

The financial statement disclosures are neutral, consistent, and clear.

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing and completing our audit.

Disagreements with Management

For purposes of this letter, a disagreement with management is a disagreement on a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor’s report. We are pleased to report that no such disagreements arose during the course of our audit.
Management Representations

We have requested certain representations from management that are included in the management representation letter dated **October 29, 2020**. Attached is a copy of management’s written representations.

Management Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a “second opinion” on certain situations. If a consultation involves application of an accounting principle to the Association’s financial statements or a determination of the type of auditor’s opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Other Audit Findings or Issues

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Association’s auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Other Matters

With respect to the supplementary information accompanying the financial statements, we made certain inquiries of management and evaluated the form, content, and methods of preparing the information to determine that the information complies with accounting principles generally accepted in the United States of America, the method of preparing it has not changed from the prior period, and the information is appropriate and complete in relation to our audit of the financial statements. We compared and reconciled the supplementary information to the underlying accounting records used to prepare the financial statements or to the financial statements themselves.

This information is intended solely for the use of the board of directors and management of American Historical Association and is not intended to be, and should not be, used by anyone other than these specified parties.

Sincerely,

Wegner CPAs, LLP

Glenn Miller, CPA
Partner
FINANCIAL STATEMENTS
WITH SUPPLEMENTARY INFORMATION

June 30, 2020 and 2019
<table>
<thead>
<tr>
<th>CONTENTS</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Independent Auditor's Report</td>
<td>1</td>
</tr>
<tr>
<td>Statements of Financial Position</td>
<td>3</td>
</tr>
<tr>
<td>Statements of Activities</td>
<td>4</td>
</tr>
<tr>
<td>Statements of Functional Expenses</td>
<td>5</td>
</tr>
<tr>
<td>Statements of Cash Flows</td>
<td>7</td>
</tr>
<tr>
<td>Notes to Financial Statements</td>
<td>8</td>
</tr>
<tr>
<td>Schedules of Net Assets by Classification</td>
<td>17</td>
</tr>
</tbody>
</table>
INDEPENDENT AUDITOR’S REPORT

To the Council of American Historical Association
American Historical Association
Washington, D.C.

We have audited the accompanying financial statements of American Historical Association, which comprise the statements of financial position as of June 30, 2020 and 2019, and the related statements of activities, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

*Management’s Responsibility for the Financial Statements*

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

*Auditor’s Responsibility*

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor’s judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity’s preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity’s internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

*Opinion*

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of American Historical Association as of June 30, 2020 and 2019, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.
Adoption of New Accounting Guidance

As discussed in Note 1 to the financial statements, American Historical Association adopted the Financial Accounting Standards Board’s Accounting Standards Update (ASU) No. 2014-09, Revenue from Contracts with Customers (Topic 606), and all subsequently issued clarifying ASUs and ASU No. 2016-18 and ASU No. 2018-08, Not-For-Profit Entities (Topic 958): Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made, as of July 1, 2019. Our opinion is not modified with respect to this matter.

Report on Supplementary Information

Our audits were conducted for the purpose of forming an opinion on the financial statements as a whole. The schedules of net assets by classification are presented for purposes of additional analysis and are not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audits of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.

Wegner CPAs, LLP
Alexandria, Virginia
October 29, 2020
## AMERICAN HISTORICAL ASSOCIATION

### STATEMENTS OF FINANCIAL POSITION

#### June 30, 2020 and 2019

### ASSETS

<table>
<thead>
<tr>
<th>Current Assets</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>$1,220,610</td>
<td>$2,347,370</td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td>825,000</td>
<td>108,080</td>
</tr>
<tr>
<td>Accounts receivable</td>
<td>55,137</td>
<td>41,167</td>
</tr>
<tr>
<td>Grants and pledges receivable</td>
<td>111,214</td>
<td>825,000</td>
</tr>
<tr>
<td>Inventory</td>
<td>9,705</td>
<td>10,919</td>
</tr>
<tr>
<td>Prepaid expenses and deposits</td>
<td>134,300</td>
<td>121,671</td>
</tr>
<tr>
<td><strong>Total current assets</strong></td>
<td>$2,355,966</td>
<td>$3,454,207</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Property and Equipment</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Land</td>
<td>8,000</td>
<td>8,000</td>
</tr>
<tr>
<td>Building</td>
<td>1,847,809</td>
<td>585,221</td>
</tr>
<tr>
<td>Construction in progress</td>
<td>-</td>
<td>271,365</td>
</tr>
<tr>
<td>Equipment</td>
<td>559,328</td>
<td>543,215</td>
</tr>
<tr>
<td><strong>Property and equipment</strong></td>
<td>$2,415,137</td>
<td>$1,407,801</td>
</tr>
<tr>
<td>Less accumulated depreciation</td>
<td>(1,018,980)</td>
<td>(997,109)</td>
</tr>
<tr>
<td><strong>Property and equipment, net</strong></td>
<td>$1,396,157</td>
<td>$410,692</td>
</tr>
</tbody>
</table>

### Other Assets

<table>
<thead>
<tr>
<th>Investments</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>6,835,570</td>
<td>7,110,403</td>
</tr>
<tr>
<td><strong>Total assets</strong></td>
<td>$10,587,693</td>
<td>$10,975,302</td>
</tr>
</tbody>
</table>

### Liabilities and Net Assets

<table>
<thead>
<tr>
<th>Liabilities</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accounts payable</td>
<td>$319,986</td>
<td>$267,593</td>
</tr>
<tr>
<td>Accrued expenses</td>
<td>105,910</td>
<td>99,578</td>
</tr>
<tr>
<td>Deferred revenue</td>
<td>1,180,561</td>
<td>1,182,571</td>
</tr>
<tr>
<td>Paycheck Protection Program loan</td>
<td>384,422</td>
<td>-</td>
</tr>
<tr>
<td><strong>Total current liabilities</strong></td>
<td>1,990,879</td>
<td>1,549,742</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Long-Term Liabilities</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Deferred royalty income</td>
<td>438,816</td>
<td>526,934</td>
</tr>
<tr>
<td><strong>Total liabilities</strong></td>
<td>2,429,695</td>
<td>2,076,676</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Net Assets</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Without donor restrictions</td>
<td>1,955,853</td>
<td>1,263,814</td>
</tr>
<tr>
<td>Board designated</td>
<td>634,330</td>
<td>1,410,185</td>
</tr>
<tr>
<td><strong>Total without donor restrictions</strong></td>
<td>2,590,183</td>
<td>2,673,999</td>
</tr>
<tr>
<td>With donor restrictions</td>
<td>5,567,815</td>
<td>6,224,627</td>
</tr>
<tr>
<td><strong>Total net assets</strong></td>
<td>8,157,998</td>
<td>8,898,626</td>
</tr>
</tbody>
</table>

### Total Liabilities and Net Assets

<table>
<thead>
<tr>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>$10,587,693</td>
<td>$10,975,302</td>
</tr>
</tbody>
</table>

---

See accompanying notes.
## Operating Support and Revenue

<table>
<thead>
<tr>
<th>Description</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Membership dues</strong></td>
<td>$1,305,265</td>
<td>$1,305,597</td>
</tr>
<tr>
<td><strong>Annual conference</strong></td>
<td>$940,582</td>
<td>$905,224</td>
</tr>
<tr>
<td><strong>American Historical Review</strong></td>
<td>$590,170</td>
<td>$617,361</td>
</tr>
<tr>
<td><strong>Publications</strong></td>
<td>$255,541</td>
<td>$281,868</td>
</tr>
<tr>
<td><strong>Grants and contributions</strong></td>
<td>$253,525</td>
<td>$304,520</td>
</tr>
<tr>
<td><strong>Investment return designated</strong></td>
<td>$109,382</td>
<td>$116,784</td>
</tr>
<tr>
<td><strong>Miscellaneous income</strong></td>
<td>$4,217</td>
<td>$10,513</td>
</tr>
<tr>
<td><strong>Total support and revenue</strong></td>
<td>$3,458,682</td>
<td>$3,541,867</td>
</tr>
</tbody>
</table>

## Operating Expenses

<table>
<thead>
<tr>
<th>Description</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Program services</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>American Historical Review</td>
<td>$574,193</td>
<td>$553,553</td>
</tr>
<tr>
<td>Annual meeting</td>
<td>$960,420</td>
<td>$920,810</td>
</tr>
<tr>
<td>Membership</td>
<td>$405,504</td>
<td>$375,381</td>
</tr>
<tr>
<td>Publications</td>
<td>$506,825</td>
<td>$589,191</td>
</tr>
<tr>
<td>Grants and programs</td>
<td>$1,199,973</td>
<td>$1,087,210</td>
</tr>
<tr>
<td>Special funds and prizes</td>
<td>$118,277</td>
<td>$124,855</td>
</tr>
<tr>
<td><strong>Total expenses</strong></td>
<td>$4,342,047</td>
<td>$4,178,943</td>
</tr>
</tbody>
</table>

## Net Assets Released From Restrictions

<table>
<thead>
<tr>
<th>Description</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Satisfaction of purpose restrictions</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Change in net assets from operations</td>
<td>$553 (23,200)</td>
<td>$72,310 (19,500)</td>
</tr>
<tr>
<td><strong>Net assets released from restrictions</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total other changes in net assets</td>
<td>$691,486 (752,655)</td>
<td>$410,285</td>
</tr>
</tbody>
</table>

## Change in Net Assets

<table>
<thead>
<tr>
<th>Description</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Change in net assets</strong></td>
<td>$692,039 (775,855)</td>
<td>$1,006,799 1,211,128</td>
</tr>
<tr>
<td><strong>Net assets at beginning of year</strong></td>
<td>$1,263,814 1,410,185</td>
<td>$8,898,626</td>
</tr>
<tr>
<td><strong>Net assets at end of year</strong></td>
<td>$1,955,853 $634,330</td>
<td>$8,898,626</td>
</tr>
</tbody>
</table>

See accompanying notes.
## Program Services

<table>
<thead>
<tr>
<th>American Historical Review</th>
<th>Annual Meeting</th>
<th>Membership</th>
<th>Publications</th>
<th>Grants and Programs</th>
<th>Special Funds and Prizes</th>
<th>Management and General</th>
<th>Council and Governance</th>
<th>Fundraising</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaries and fringe benefits</td>
<td>$75,570</td>
<td>$356,608</td>
<td>$325,256</td>
<td>$353,410</td>
<td>$388,930</td>
<td>$29,222</td>
<td>$139,820</td>
<td>$213,922</td>
<td>$26,583</td>
</tr>
<tr>
<td>Advertising and marketing</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>123</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Bank fees and service charges</td>
<td>-</td>
<td>23,453</td>
<td>32,121</td>
<td>737</td>
<td>1,000</td>
<td>-</td>
<td>4,517</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>IT and communications</td>
<td>24,575</td>
<td>29,170</td>
<td>18,405</td>
<td>17,639</td>
<td>41,281</td>
<td>1,267</td>
<td>7,698</td>
<td>2,458</td>
<td>2,142</td>
</tr>
<tr>
<td>Contractors</td>
<td>435,529</td>
<td>92,604</td>
<td>3,931</td>
<td>13,747</td>
<td>25,530</td>
<td>1,267</td>
<td>7,698</td>
<td>2,458</td>
<td>2,142</td>
</tr>
<tr>
<td>Contributions and coalition</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>117,702</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Depreciation</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>21,871</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
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<tr>
<td>Dues and subscriptions</td>
<td>-</td>
<td>12,815</td>
<td>-</td>
<td>430</td>
<td>1,254</td>
<td>1,796</td>
<td>6,877</td>
<td>-</td>
<td>600</td>
</tr>
<tr>
<td>Equipment rental</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>8,779</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>and maintenance</td>
<td>-</td>
<td>4,500</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>28,518</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Insurance</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>21,871</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Meetings and conferences</td>
<td>4,812</td>
<td>346,762</td>
<td>-</td>
<td>385</td>
<td>16,670</td>
<td>5,084</td>
<td>4,687</td>
<td>7,946</td>
<td>-</td>
</tr>
<tr>
<td>Occupancy</td>
<td>4,175</td>
<td>6,983</td>
<td>2,948</td>
<td>3,694</td>
<td>9,135</td>
<td>950</td>
<td>13,884</td>
<td>1,843</td>
<td>75</td>
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<tr>
<td>Office expense</td>
<td>4,740</td>
<td>18,323</td>
<td>786</td>
<td>985</td>
<td>2,840</td>
<td>486</td>
<td>2,806</td>
<td>550</td>
<td>75</td>
</tr>
<tr>
<td>Postage and delivery</td>
<td>3,984</td>
<td>10,318</td>
<td>3,060</td>
<td>41,788</td>
<td>19</td>
<td>1,718</td>
<td>-</td>
<td>699</td>
<td>-</td>
</tr>
<tr>
<td>Printing and duplicating</td>
<td>-</td>
<td>25,004</td>
<td>18,986</td>
<td>67,108</td>
<td>451</td>
<td>1,566</td>
<td>746</td>
<td>-</td>
<td>2,384</td>
</tr>
<tr>
<td>Prizes, grants and honorariums</td>
<td>-</td>
<td>1,387</td>
<td>-</td>
<td>514,106</td>
<td>74,229</td>
<td>-</td>
<td>-</td>
<td>589,722</td>
<td>-</td>
</tr>
<tr>
<td>Storage</td>
<td>-</td>
<td>-</td>
<td>3,664</td>
<td>-</td>
<td>11,450</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Taxes, licenses and permits</td>
<td>-</td>
<td>715</td>
<td>-</td>
<td>488</td>
<td>-</td>
<td>1,052</td>
<td>-</td>
<td>3,390</td>
<td>-</td>
</tr>
<tr>
<td>Travel</td>
<td>20,808</td>
<td>31,778</td>
<td>11</td>
<td>2,750</td>
<td>81,005</td>
<td>-</td>
<td>18,480</td>
<td>19,701</td>
<td>1,010</td>
</tr>
<tr>
<td>Utilities</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>8,779</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Total expenses</td>
<td>$574,193</td>
<td>$960,420</td>
<td>$405,504</td>
<td>$506,825</td>
<td>$1,199,973</td>
<td>$118,277</td>
<td>$284,515</td>
<td>$253,518</td>
<td>$38,822</td>
</tr>
</tbody>
</table>

## Supporting Activities

See accompanying notes.
## AMERICAN HISTORICAL ASSOCIATION

### STATEMENT OF FUNCTIONAL EXPENSES

Year Ended June 30, 2019

See accompanying notes.

<table>
<thead>
<tr>
<th>Program Services</th>
<th>Supporting Activities</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Amencan Historical Review</strong></td>
<td><strong>Management and General</strong></td>
</tr>
<tr>
<td>Salaries and fringe benefits</td>
<td>68,533</td>
</tr>
<tr>
<td>Advertising and marketing</td>
<td>-</td>
</tr>
<tr>
<td>Bank fees and service charges</td>
<td>-</td>
</tr>
<tr>
<td>Communications</td>
<td>15,176</td>
</tr>
<tr>
<td>Contractors</td>
<td>407,434</td>
</tr>
<tr>
<td>Contributions and coalition</td>
<td>-</td>
</tr>
<tr>
<td>Depreciation</td>
<td>2,805</td>
</tr>
<tr>
<td>Dues and subscriptions</td>
<td>250</td>
</tr>
<tr>
<td>Equipment rental and maintenance</td>
<td>978</td>
</tr>
<tr>
<td>Information technology</td>
<td>11,175</td>
</tr>
<tr>
<td>Insurance</td>
<td>2,232</td>
</tr>
<tr>
<td>Meetings and conferences</td>
<td>4,569</td>
</tr>
<tr>
<td>Office expense</td>
<td>5,364</td>
</tr>
<tr>
<td>Postage and delivery</td>
<td>3,117</td>
</tr>
<tr>
<td>Printing and duplicating</td>
<td>8,781</td>
</tr>
<tr>
<td>Prizes, grants and honorariums</td>
<td>-</td>
</tr>
<tr>
<td>Repairs and maintenance</td>
<td>-</td>
</tr>
<tr>
<td>Storage</td>
<td>953</td>
</tr>
<tr>
<td>Taxes, licenses and permits</td>
<td>-</td>
</tr>
<tr>
<td>Travel</td>
<td>21,139</td>
</tr>
<tr>
<td>Utilities</td>
<td>1,047</td>
</tr>
<tr>
<td><strong>Total expenses</strong></td>
<td><strong>553,553</strong></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Annual Meeting</strong></th>
<th><strong>Total</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaries and fringe benefits</td>
<td>340,027</td>
</tr>
<tr>
<td>Advertising and marketing</td>
<td>-</td>
</tr>
<tr>
<td>Bank fees and service charges</td>
<td>25,788</td>
</tr>
<tr>
<td>Communications</td>
<td>14,687</td>
</tr>
<tr>
<td>Contractors</td>
<td>134,276</td>
</tr>
<tr>
<td>Contributions and coalition</td>
<td>-</td>
</tr>
<tr>
<td>Depreciation</td>
<td>4,669</td>
</tr>
<tr>
<td>Dues and subscriptions</td>
<td>14,770</td>
</tr>
<tr>
<td>Equipment rental and maintenance</td>
<td>1,628</td>
</tr>
<tr>
<td>Information technology</td>
<td>19,213</td>
</tr>
<tr>
<td>Insurance</td>
<td>7,500</td>
</tr>
<tr>
<td>Meetings and conferences</td>
<td>243,047</td>
</tr>
<tr>
<td>Office expense</td>
<td>8,929</td>
</tr>
<tr>
<td>Postage and delivery</td>
<td>5,189</td>
</tr>
<tr>
<td>Printing and duplicating</td>
<td>13,823</td>
</tr>
<tr>
<td>Prizes, grants and honorariums</td>
<td>27,856</td>
</tr>
<tr>
<td>Repairs and maintenance</td>
<td>1,250</td>
</tr>
<tr>
<td>Storage</td>
<td>1,586</td>
</tr>
<tr>
<td>Taxes, licenses and permits</td>
<td>53,101</td>
</tr>
<tr>
<td>Travel</td>
<td>1,743</td>
</tr>
<tr>
<td>Utilities</td>
<td>696</td>
</tr>
<tr>
<td><strong>Total expenses</strong></td>
<td><strong>375,381</strong></td>
</tr>
</tbody>
</table>

See accompanying notes.
### AMERICAN HISTORICAL ASSOCIATION
#### STATEMENTS OF CASH FLOWS
Years Ended June 30, 2020 and 2019

<table>
<thead>
<tr>
<th>CASH FLOWS FROM OPERATING ACTIVITIES</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Change in net assets</td>
<td>($740,628)</td>
<td>$1,211,128</td>
</tr>
<tr>
<td>Adjustments to reconcile change in</td>
<td></td>
<td></td>
</tr>
<tr>
<td>net assets to net cash flows from</td>
<td></td>
<td></td>
</tr>
<tr>
<td>operating activities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Depreciation</td>
<td>21,871</td>
<td>23,773</td>
</tr>
<tr>
<td>Realized and unrealized gain on</td>
<td>28,348</td>
<td>(223,937)</td>
</tr>
<tr>
<td>investments</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Contributions restricted for long-</td>
<td>(7,810)</td>
<td>(40,677)</td>
</tr>
<tr>
<td>term purposes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(Increase) decrease in assets</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Accounts receivable</td>
<td>(13,970)</td>
<td>23,749</td>
</tr>
<tr>
<td>Grants and pledges receivable</td>
<td>713,786</td>
<td>(825,000)</td>
</tr>
<tr>
<td>Inventory</td>
<td>1,214</td>
<td>1,079</td>
</tr>
<tr>
<td>Prepaid expenses and deposits</td>
<td>(12,629)</td>
<td>(2,476)</td>
</tr>
<tr>
<td>Increase (decrease) in liabilities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Accounts payable</td>
<td>52,393</td>
<td>77,006</td>
</tr>
<tr>
<td>Accrued expenses</td>
<td>6,332</td>
<td>(6,919)</td>
</tr>
<tr>
<td>Deferred revenue</td>
<td>(2,010)</td>
<td>42,183</td>
</tr>
<tr>
<td>Deferred royalty income</td>
<td>(88,118)</td>
<td>21,481</td>
</tr>
<tr>
<td>**Net cash flows from operating</td>
<td>(41,221)</td>
<td>301,390</td>
</tr>
<tr>
<td>activities</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CASH FLOWS FROM INVESTING ACTIVITIES</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purchases of and interest retained in certificates of deposit</td>
<td>(825,000)</td>
<td>(107,043)</td>
</tr>
<tr>
<td>Proceeds from sale of certificates of deposit</td>
<td>110,081</td>
<td>675,554</td>
</tr>
<tr>
<td>Purchases of property and equipment</td>
<td>(1,007,336)</td>
<td>(271,365)</td>
</tr>
<tr>
<td>Purchases of and interest and dividends retained in investments</td>
<td>(161,164)</td>
<td>(179,951)</td>
</tr>
<tr>
<td>Proceeds from sale of investments</td>
<td>405,648</td>
<td>787,776</td>
</tr>
<tr>
<td>**Net cash flows from investing</td>
<td>(1,477,771)</td>
<td>904,971</td>
</tr>
<tr>
<td>activities</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>CASH FLOWS FROM FINANCING ACTIVITIES</strong></th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proceeds from Paycheck Protection Program loan</td>
<td>384,422</td>
<td>-</td>
</tr>
<tr>
<td>Proceeds from contributions restricted for endowment</td>
<td>7,810</td>
<td>40,677</td>
</tr>
<tr>
<td><strong>Net cash flows from investing activities</strong></td>
<td>392,232</td>
<td>40,677</td>
</tr>
</tbody>
</table>

| Change in cash                         | (1,126,760) | 1,247,038 |
| Cash at beginning of year              | 2,347,370   | 1,100,332 |
| **Cash at end of year**                | $1,220,610  | $2,347,370 |

---

See accompanying notes.
NOTE 1—SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Nature of Activities

American Historical Association (AHA) is a District of Columbia non-stock corporation founded in 1884 and incorporated by Congress in 1889 for the promotion of historical studies, the collection and preservation of historical manuscripts, and the dissemination of historical research.

Adoption of New Accounting Guidance

On May 28, 2014, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2014-09, Revenue from Contracts with Customers (Topic 606). The ASU and all subsequently issued clarifying ASUs supersedes the revenue recognition requirements and most industry-specific guidance in U.S. generally accepted accounting principles. The core principle of the new guidance is that an entity recognizes revenue to depict the transfer of promised goods or services to customers in an amount that reflects the consideration to which the entity expects to be entitled in exchange for those goods or services. The new guidance also includes a cohesive set of disclosure requirements that will provide users of the financial statements with comprehensive information about the nature, amount, timing, and uncertainty of revenue and cash flows arising from the entity’s contracts with customers. AHA adopted the requirements of the new guidance as of July 1, 2019, using the modified retrospective method of transition. In applying the new guidance, AHA elected to use the practical expedient that allows the guidance to be applied only to contracts that were not complete as of July 1, 2019. The adoption of the new guidance did not have a significant impact on AHA’s financial statements. The majority of AHA’s revenue arrangements generally consist of a single performance obligation to transfer promised goods or services. Based on AHA’s evaluation process and review of its contracts with customers, the timing and amount of revenue recognized previously is consistent with how revenue is recognized under the new guidance.

On June 21, 2018, the Financial Accounting Standards Board issued Accounting Standards Update (ASU) No. 2018-08, Not-for-Profit Entities (Topic 958): Clarifying the Scope and the Accounting Guidance for Contributions Received and Contributions Made. The ASU provides a more robust framework for evaluating whether transactions such as grants and similar contracts with government agencies and others should be accounted for as exchange transactions or contributions. The ASU also assists entities in determining whether a contribution is conditional. AHA adopted the requirements of the ASU as of July 1, 2019. The changes in the ASU have been applied on a modified prospective basis, that is, the changes have been applied to agreements that are either not completed as of July 1, 2019, or entered into after that date.

Accounts Receivable

Accounts receivable primarily represent amounts due from members and customers for subscriptions, advertising, meetings, and events provided by AHA. Accounts receivable are stated at the amount management expects to be collected from outstanding balances. As of June 30, 2020 and 2019, management has determined, based on historical experience, that all amounts are fully collectible and no allowance for doubtful accounts is necessary.
NOTE 1—SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Grants and Pledges Receivable

Grants and pledges receivable are recognized as revenues in the period received and as assets, decreases of liabilities, or expenses depending on the form of the benefits received. Conditional grants and pledges receivable are recognized only when the conditions on which they depend are substantially met and the pledges become unconditional.

Inventory

Inventory consists of publications and is stated at the lower of cost or market, based on the FIFO (first-in, first-out) method of accounting.

Property and Equipment

Property and equipment are reported at cost. Assets and capital lease purchases are depreciated over their estimated life using the straight-line method. Depreciation expense for the years ended June 30, 2020 and 2019 was $21,871 and $23,773, respectively.

Investments

AHA carries investments in marketable securities with readily determinable fair values and all investments in debt securities at their fair values in the statements of financial position. Unrealized gains and losses are included in the change in net assets in the accompanying statements of activities. Investment securities, in general, are exposed to various risks, such as interest rates, credit, and overall market volatility. Due to the level of risk associated with certain investment securities, it is reasonably possible that changes in the values of investment securities will occur in the near term and that such change could materially affect the amounts reported in the statements of financial position.

Revenue Recognition

AHA’s earned revenue consists of membership dues, annual conferences, royalties, advertising, publications, and other similar services.

AHA recognizes revenue from membership dues ratably over the applicable membership period, which is on an anniversary basis. AHA generally bills members a month in advance of the applicable membership period. Membership dues received in advance of the membership period are deferred and recognized as revenue in that future period. Membership dues are nonrefundable.

Revenues from the annual conferences (for example, ticket sales, sponsorships, and exhibit booth space), royalties, advertising, publications, and similar services are generally considered to be single performance obligations that are satisfied at a point in time or over the period of the conference.
NOTE 1—SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

Contributions

Contributions that are restricted by the donor are reported as increases in net assets without restrictions if the restriction expires in the year in which the contributions are recognized. All other donor-restricted contributions are reported as increases in net assets with donor restrictions. When a restriction expires, net assets with restrictions are reclassified to net assets without restrictions and reported in the statements of activities as net assets released from restrictions.

Expense Allocation

The financial statements report certain categories of expense that are attributable to more than one program service or supporting activity. Therefore, these expenses require allocation on a reasonable basis that is consistently applied. The expenses that are allocated include salaries and fringe benefits, occupancy, and office expenses, which are allocated on the basis of time, effort, and use.

Income Tax Status

AHA is a nonprofit organization, which is exempt from federal income taxes under the provision of Section 501(c)(3) of the Internal Revenue Code. However, AHA is subject to unrelated business income tax for activities conducted outside its tax-exempt purpose.

Estimates

The preparation of financial statements in accordance with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Date of Management’s Review

Management has evaluated subsequent events through October 29, 2020, the date which the financial statements were available to be issued.

NOTE 2 – CONCENTRATION

AHA maintains cash balances at several financial institutions located in Washington, D.C. Accounts at each institution are insured by the Federal Deposit Insurance Corporation up to $250,000. At June 30, 2020 and 2019, AHA's uninsured cash balances total approximately $701,000 and $1,830,000, respectively.
NOTE 3 – INVESTMENTS

Investments consist of the following:

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Money market funds</td>
<td>$ 127,534</td>
<td>$ 91,877</td>
</tr>
<tr>
<td>Equity mutual funds</td>
<td>3,705,859</td>
<td>3,840,852</td>
</tr>
<tr>
<td>Fixed income mutual funds</td>
<td>3,002,177</td>
<td>3,177,674</td>
</tr>
<tr>
<td>Investments</td>
<td>$ 6,835,570</td>
<td>$ 7,110,403</td>
</tr>
</tbody>
</table>

NOTE 4 – FAIR VALUE MEASUREMENTS

Fair values of assets measured on a recurring basis are as follows:

<table>
<thead>
<tr>
<th></th>
<th>Fair Value</th>
<th>Quoted Prices in Active Markets for Identical Assets (Level 1)</th>
<th>Significant Other Observable Inputs (Level 2)</th>
<th>Significant Unobservable Inputs (Level 3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2020</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Equity mutual funds</td>
<td>$ 3,705,859</td>
<td>$ 3,705,859</td>
<td>$</td>
<td>-</td>
</tr>
<tr>
<td>Fixed income mutual funds</td>
<td>3,002,177</td>
<td>3,002,177</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td>825,000</td>
<td></td>
<td>825,000</td>
<td>-</td>
</tr>
<tr>
<td>Total</td>
<td>$ 7,533,036</td>
<td>$ 6,708,036</td>
<td>$ 825,000</td>
<td>$</td>
</tr>
<tr>
<td>2019</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Equity mutual funds</td>
<td>$ 3,840,852</td>
<td>$ 3,840,852</td>
<td>$</td>
<td>-</td>
</tr>
<tr>
<td>Fixed income mutual funds</td>
<td>3,177,674</td>
<td>3,177,674</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td>108,080</td>
<td></td>
<td>108,080</td>
<td>-</td>
</tr>
<tr>
<td>Total</td>
<td>$ 7,126,606</td>
<td>$ 7,018,526</td>
<td>$ 108,080</td>
<td>$</td>
</tr>
</tbody>
</table>

Fair value for certificates of deposit are determined by reference to prices for similar assets.

NOTE 5 – RETIREMENT PLAN

AHA maintains a qualifying defined contribution retirement plan for qualifying full-time employees under Internal Revenue Code Section 403(b). AHA contributes up to a maximum of 10 percent of the employee’s total annual compensation to the plan each year. The contributions are fully vested and non-forfeitable. For the years ended June 30, 2020 and 2019, contributions to the plan were $120,243 and $116,781, respectively.
NOTE 6 – DEFERRED REVENUE

Deferred revenue consists of the following:

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Memberships</td>
<td>$ 921,632</td>
<td>$ 919,985</td>
</tr>
<tr>
<td>Subscriptions</td>
<td>11,604</td>
<td>21,707</td>
</tr>
<tr>
<td>Annual meeting</td>
<td>120,421</td>
<td>128,484</td>
</tr>
<tr>
<td>Life membership</td>
<td>100,404</td>
<td>80,895</td>
</tr>
<tr>
<td>Registrations</td>
<td>26,500</td>
<td>31,500</td>
</tr>
<tr>
<td><strong>Deferred revenue</strong></td>
<td><strong>$ 1,180,561</strong></td>
<td><strong>$ 1,182,571</strong></td>
</tr>
</tbody>
</table>

NOTE 7 – NET ASSETS

Board designated net assets consist of the following:

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bernadotte Schmitt Fund</td>
<td>$ 575,885</td>
<td>$ 587,813</td>
</tr>
<tr>
<td>Working Capital Fund</td>
<td>-</td>
<td>226,239</td>
</tr>
<tr>
<td>AHR Database Reserve</td>
<td>58,445</td>
<td>57,043</td>
</tr>
<tr>
<td>AHA Building Renovation Fund</td>
<td>-</td>
<td>539,090</td>
</tr>
<tr>
<td><strong>Board designated net assets</strong></td>
<td><strong>$ 634,330</strong></td>
<td><strong>$ 1,410,185</strong></td>
</tr>
</tbody>
</table>

Net assets with donor restrictions are available for the following purposes:

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subject to expenditure for specified purpose:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prize funds</td>
<td>$ 21,662</td>
<td>$ 22,670</td>
</tr>
<tr>
<td>Special funds</td>
<td>11,932</td>
<td>5,930</td>
</tr>
<tr>
<td>Mellon Foundation - Career Diversity</td>
<td>309,740</td>
<td>674,548</td>
</tr>
<tr>
<td>Mellon Foundation - History Gateways</td>
<td>1,025,248</td>
<td>1,429,435</td>
</tr>
<tr>
<td>Teagle Foundation - Chairs Webinar</td>
<td>16,772</td>
<td>-</td>
</tr>
<tr>
<td>Teagle Foundation - General Education</td>
<td>50,000</td>
<td>-</td>
</tr>
<tr>
<td>Endowment:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Subject to AHA’s spending policy and appropriation:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prize funds endowment earnings</td>
<td>984,616</td>
<td>988,644</td>
</tr>
<tr>
<td>Special funds endowment earnings</td>
<td>1,367,038</td>
<td>1,363,270</td>
</tr>
<tr>
<td>Endowment funds restricted in perpetuity:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prize funds</td>
<td>874,613</td>
<td>847,151</td>
</tr>
<tr>
<td>Special funds</td>
<td>906,194</td>
<td>892,979</td>
</tr>
<tr>
<td><strong>Net assets with donor restrictions</strong></td>
<td><strong>$ 5,567,815</strong></td>
<td><strong>$ 6,224,627</strong></td>
</tr>
</tbody>
</table>
NOTE 8 – OPERATING LEASES

AHA leases office equipment under operating leases requiring monthly payments ranging up to $597 per month and expiring at various dates through December 2022. Lease expense for the years ended June 30, 2020 and 2019 was $8,778 and $8,290, respectively.

Future minimum lease payments for the years ending June 30 are as follows:

<table>
<thead>
<tr>
<th>Year</th>
<th>Minimum Lease Payment</th>
</tr>
</thead>
<tbody>
<tr>
<td>2021</td>
<td>$7,387</td>
</tr>
<tr>
<td>2022</td>
<td>$7,387</td>
</tr>
<tr>
<td>2023</td>
<td>$3,581</td>
</tr>
<tr>
<td>Total</td>
<td>$18,355</td>
</tr>
</tbody>
</table>

NOTE 9 – ENDOWMENT

AHA’s endowment includes both donor-restricted endowment funds and funds designated by the Council to function as endowments/board-designated funds. Net assets associated with endowment funds are classified and reported based on the existence or absence of donor-imposed restrictions.

Interpretation of Relevant Law

Based on its interpretation of the provisions of the Uniform Prudent Management of Institutional Funds Act (UPMIFA), AHA feels that retaining its existing policies regarding net asset classification of its donor restricted endowment funds is appropriate. Distribution of any gift, bequest or fund is governed by AHA’s governing documents and donor agreements. Forty-five percent of AHA endowment funds make available the use of principal which are able to be utilized for the intended purpose of the fund. As a result of the ability to distribute corpus, AHA has determined that these donor restricted contributions received subject to the governing documents and subject to UPMIFA are classified as net assets with donor restrictions until appropriated, at which time the appropriation is reclassified to net assets without donor restrictions. Board designated endowments do not possess external spending restrictions and therefore are classified as net assets without donor restrictions.

Return Objectives and Risk Parameters

AHA has adopted investment and spending policies for endowment and board-designated assets that attempt to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets. Endowment assets included those assets of donor-restricted funds that AHA must hold until appropriated as well as board-designated funds. Under this policy, as approved by the Council, the board-designated assets are invested in funds with a primary objective of safety and liquidity. The objective of the restricted assets is to maintain the real purchasing power in order to support AHA’s programs, initiatives and operations.
NOTE 9 – ENDOWMENT (continued)

Spending Policy and How the Investment Objectives Relate to Spending Policy

Expenditures from the board-designated net assets are released as approved by AHA’s Council. The earnings on the net assets with donor restrictions are reinvested. Net assets with donor restrictions are released from restricted funds and are used in accordance with donor stipulations.

Strategies Employed for Achieving Objectives

To satisfy its long-term, rate-of-return objectives, AHA relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). As a result, AHA has elected to adopt a well-diversified asset allocation consisting of equity and fixed income securities. AHA’s current asset allocation for endowment funds targets a composition of between 0 to 10 percent in money market funds, 50 to 70 percent in equities and 30 to 50 percent in fixed income funds.

Underwater Endowment Funds

From time to time, the fair value of assets associated with an individual donor restricted endowment fund may fall below the level that the donor or UPMIFA requires AHA to retain as a fund of perpetual duration. There were no deficiencies as of June 30, 2020 and 2019.

Endowment net asset composition by type of fund as of June 30, 2020 and 2019 are as follows:

<table>
<thead>
<tr>
<th></th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Without Donor Restrictions</td>
<td>With Donor Restrictions</td>
</tr>
<tr>
<td>Donor-restricted endowment funds:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Original donor-restricted gift amount</td>
<td>$ -</td>
<td>$ 1,780,807</td>
</tr>
<tr>
<td>Accumulated investment gains</td>
<td>-</td>
<td>2,351,654</td>
</tr>
<tr>
<td>Board-designated endowment funds</td>
<td>575,885</td>
<td>-</td>
</tr>
<tr>
<td>Total endowment funds</td>
<td>$ 575,885</td>
<td>$ 4,132,461</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Without Donor Restrictions</td>
</tr>
<tr>
<td>Donor-restricted endowment funds:</td>
<td></td>
</tr>
<tr>
<td>Original donor-restricted gift amount</td>
<td>$ -</td>
</tr>
<tr>
<td>Accumulated investment gains</td>
<td>-</td>
</tr>
<tr>
<td>Board-designated endowment funds</td>
<td>587,813</td>
</tr>
<tr>
<td>Total endowment funds</td>
<td>$ 587,813</td>
</tr>
</tbody>
</table>
NOTE 9 – ENDOWMENT (continued)

Changes in endowment net assets for the years ended June 30, 2020 and 2019 are as follows:

<table>
<thead>
<tr>
<th></th>
<th>Without Donor Restrictions</th>
<th>With Donor Restrictions</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Endowment net assets at June 30, 2018</td>
<td>$577,474</td>
<td>$3,945,420</td>
<td>$4,522,894</td>
</tr>
<tr>
<td>Contributions</td>
<td>-</td>
<td>7,810</td>
<td>7,810</td>
</tr>
<tr>
<td>Investment return, net</td>
<td>29,839</td>
<td>216,829</td>
<td>246,668</td>
</tr>
<tr>
<td>Amounts appropriated for expenditure</td>
<td>(19,500)</td>
<td>(78,015)</td>
<td>(97,515)</td>
</tr>
<tr>
<td>Endowment net assets at June 30, 2019</td>
<td>587,813</td>
<td>4,092,044</td>
<td>4,679,857</td>
</tr>
<tr>
<td>Contributions</td>
<td>-</td>
<td>40,677</td>
<td>40,677</td>
</tr>
<tr>
<td>Investment return, net</td>
<td>11,272</td>
<td>78,465</td>
<td>89,737</td>
</tr>
<tr>
<td>Amounts appropriated for expenditure</td>
<td>(23,200)</td>
<td>(78,725)</td>
<td>(101,925)</td>
</tr>
<tr>
<td>Endowment net assets at June 30, 2020</td>
<td>$575,885</td>
<td>$4,132,461</td>
<td>$4,708,346</td>
</tr>
</tbody>
</table>

NOTE 10 – PAYCHECK PROTECTION PROGRAM LOAN

On May 5, 2020, the AHA received a $384,422 loan under the Paycheck Protection Program established by the Coronavirus Aid, Relief, and Economic Security Act and administered by the U.S. Small Business Administration. The loan accrues interest at 1% but payments are deferred until a determination of the amount of forgiveness is made. The amount of forgiveness depends, in part, on the total amount of eligible expenses paid by AHA during the covered period. Eligible expenses include payroll costs, interest on mortgages, rent, and utilities. Any unforgiven portion is payable over two years.

NOTE 11 – COMMITMENTS

AHA has entered into several agreements for rental of space for the annual meeting. AHA is required to pay a portion of the anticipated room revenues in the event that the conference is canceled. If cancellations occur, AHA could be liable for up to approximately $2,895,265. Due to the COVID-19 pandemic, the FY2021 Annual Conference has moved to a virtual format with the space agreement being postponed until FY2028. As part of the move to a virtual format, AHA had to pay $75,350 in cancellation fees, which will be recognized during the year ended June 30, 2021. Cancellation insurance has been obtained by AHA to offset any potential future losses.
NOTE 1 – LIQUIDITY AND AVAILABILITY

The following table reflects AHA’s financial assets as of the date of the statements of financial position reduced by amounts not available for general expenditures within one year of the date of the statements of financial position because of donor-imposed restrictions or internal designations.

<table>
<thead>
<tr>
<th>Financial assets at year-end:</th>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash</td>
<td>$1,220,610</td>
<td>$2,347,370</td>
</tr>
<tr>
<td>Certificates of deposit</td>
<td>825,000</td>
<td>108,080</td>
</tr>
<tr>
<td>Accounts receivable</td>
<td>55,137</td>
<td>41,167</td>
</tr>
<tr>
<td>Grants and pledges receivable</td>
<td>111,214</td>
<td>825,000</td>
</tr>
<tr>
<td>Investments</td>
<td>6,835,570</td>
<td>7,110,403</td>
</tr>
<tr>
<td><strong>Total financial assets</strong></td>
<td><strong>9,047,531</strong></td>
<td><strong>10,432,020</strong></td>
</tr>
</tbody>
</table>

Less those unavailable for general expenditures within one year due to:

| Undesignated investments held for long-term purposes | (1,880,503) | (1,263,185) |
| Designated by the Council for Bernadotte Schmitt Fund | (575,885)  | (587,813)   |
| Designated by the Council for Working Capital Fund | -           | (226,239)   |
| Designated by the Council for AHR Database Reserve | (58,445)   | (57,043)    |
| Designated by the Council for AHA Building Renovation Fund | -          | (539,090)   |
| Restricted by donors purpose restrictions          | (1,385,354)   | (2,132,583)  |
| Restricted by donors subject to AHA’s spending policy | (2,351,654) | (2,351,914) |
| Restricted by donors in perpetuity                  | (1,780,807)   | (1,740,130)  |

Financial assets available to meet cash needs for general expenditures within one year

<table>
<thead>
<tr>
<th>2020</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>$1,014,883</td>
<td>$1,534,023</td>
</tr>
</tbody>
</table>

AHA strives to maintain liquid financial assets sufficient to cover 90 days of general expenditures. Financial assets in excess of daily cash requirements are invested in certificates of deposit, money market funds, and other short-term investments. Amounts not available for general expenditure include long-term investments with redemption limitations and board-designated special projects that are intended to fund special board initiatives not considered in the annual operating budget. In the event the need arises to utilize the board-designated funds for liquidity purposes, the reserves could be drawn upon through board resolution.
## Specific Use Funds:

**Prize Funds:**

<table>
<thead>
<tr>
<th>Fund Name</th>
<th>Total</th>
<th>Additions</th>
<th>Expense</th>
<th>Gains, and Unrealized Losses</th>
<th>Transfers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Joan Kelly Prize Fund</td>
<td>$96,224</td>
<td>- (1,630)</td>
<td>- 1,845</td>
<td>- 96,440</td>
<td>- 96,440</td>
</tr>
<tr>
<td>James H. Breasted Fund</td>
<td>34,320</td>
<td>- (1,234)</td>
<td>658</td>
<td>33,744</td>
<td>33,744</td>
</tr>
<tr>
<td>Beveridge Family Prize Fund</td>
<td>37,455</td>
<td>- (1,200)</td>
<td>718</td>
<td>36,973</td>
<td>36,973</td>
</tr>
<tr>
<td>Friedrich Katz Prize Fund</td>
<td>78,881</td>
<td>- (1,795)</td>
<td>1,514</td>
<td>78,701</td>
<td>78,701</td>
</tr>
<tr>
<td>Bentley Prize for World History</td>
<td>117,900</td>
<td>- (1,506)</td>
<td>2,261</td>
<td>118,654</td>
<td>118,654</td>
</tr>
<tr>
<td>Wesley-Logan Prize Fund</td>
<td>26,405</td>
<td>1,576</td>
<td>506</td>
<td>27,487</td>
<td>27,487</td>
</tr>
<tr>
<td>Martin A. Klein Prize Fund</td>
<td>101,041</td>
<td>- (1,200)</td>
<td>1,937</td>
<td>101,359</td>
<td>101,359</td>
</tr>
<tr>
<td>William Gilbert Prize Fund</td>
<td>203,603</td>
<td>- (6,377)</td>
<td>3,904</td>
<td>201,131</td>
<td>201,131</td>
</tr>
<tr>
<td>Dorothy Rosenburg Prize Fund</td>
<td>59,028</td>
<td>- (1,540)</td>
<td>1,132</td>
<td>58,619</td>
<td>58,619</td>
</tr>
<tr>
<td>Albert Corey Prize Fund</td>
<td>123,905</td>
<td>- - 2,376</td>
<td>126,281</td>
<td>126,281</td>
<td></td>
</tr>
<tr>
<td>Herbert Feis Prize Fund</td>
<td>14,807</td>
<td>58 (1,000)</td>
<td>1,062</td>
<td>14,745</td>
<td>14,745</td>
</tr>
<tr>
<td>Clarence H. Harling Prize Fund</td>
<td>55,392</td>
<td>- - 1,026</td>
<td>56,418</td>
<td>56,418</td>
<td></td>
</tr>
<tr>
<td>George Louis Beer Prize Fund</td>
<td>111,965</td>
<td>- (1,626)</td>
<td>2,147</td>
<td>113,486</td>
<td>113,486</td>
</tr>
<tr>
<td>Howard R. Marraro Prize Fund</td>
<td>36,199</td>
<td>- (1,200)</td>
<td>694</td>
<td>35,503</td>
<td>35,503</td>
</tr>
<tr>
<td>Herbert Baxter Adams Prize Fund</td>
<td>36,404</td>
<td>- (1,200)</td>
<td>698</td>
<td>35,706</td>
<td>35,706</td>
</tr>
<tr>
<td>J. Russell Major Prize Fund</td>
<td>61,509</td>
<td>- (1,540)</td>
<td>1,179</td>
<td>61,050</td>
<td>61,050</td>
</tr>
<tr>
<td>James A. Rawley Award</td>
<td>64,659</td>
<td>- (1,540)</td>
<td>1,240</td>
<td>64,159</td>
<td>64,159</td>
</tr>
<tr>
<td>John E. Richards Prize Fund</td>
<td>83,005</td>
<td>- (1,620)</td>
<td>1,592</td>
<td>82,777</td>
<td>82,777</td>
</tr>
<tr>
<td>John H. Dunning Prize Fund</td>
<td>47,115</td>
<td>- (1,490)</td>
<td>903</td>
<td>46,212</td>
<td>46,212</td>
</tr>
<tr>
<td>John K. Fairbank Prize Fund</td>
<td>79,824</td>
<td>- (1,620)</td>
<td>1,531</td>
<td>79,473</td>
<td>79,473</td>
</tr>
<tr>
<td>Leo Gershoy Prize Fund</td>
<td>79,839</td>
<td>- (1,620)</td>
<td>1,533</td>
<td>79,406</td>
<td>79,406</td>
</tr>
<tr>
<td>George L. Mosse Prize Fund</td>
<td>47,787</td>
<td>- (1,540)</td>
<td>916</td>
<td>47,171</td>
<td>47,171</td>
</tr>
<tr>
<td>Morris D. Forkosch Prize Fund</td>
<td>53,362</td>
<td>- (1,540)</td>
<td>1,023</td>
<td>52,439</td>
<td>52,439</td>
</tr>
<tr>
<td>Nancy Roelker Award</td>
<td>44,080</td>
<td>500 (1,458)</td>
<td>845</td>
<td>43,628</td>
<td>43,628</td>
</tr>
<tr>
<td>Paul Birdsall Prize Fund</td>
<td>38,103</td>
<td>- - 731</td>
<td>38,834</td>
<td>38,834</td>
<td></td>
</tr>
<tr>
<td>Premio Del Rey Prize Fund</td>
<td>40,360</td>
<td>- - 774</td>
<td>41,134</td>
<td>41,134</td>
<td></td>
</tr>
<tr>
<td>Andrew D. White Prize Fund</td>
<td>17,017</td>
<td>- (1,796)</td>
<td>326</td>
<td>15,481</td>
<td>15,481</td>
</tr>
<tr>
<td>Dorothy Cunningham Fund</td>
<td>7,863</td>
<td>- (500)</td>
<td>151</td>
<td>7,712</td>
<td>7,712</td>
</tr>
<tr>
<td>Patmegiano Prize Fund</td>
<td>60,213</td>
<td>- (1,568)</td>
<td>1,155</td>
<td>59,799</td>
<td>59,799</td>
</tr>
<tr>
<td>Stoler Fund</td>
<td>-</td>
<td>25,386</td>
<td>25,386</td>
<td>-</td>
<td>25,386</td>
</tr>
</tbody>
</table>

**Total prize funds:** $1,858,465 $27,520 (40,760) 35,637 1,880,862

---

**Total prize funds with donor restrictions:**

- $96,440
- $36,973
- $78,701
- $118,654
- $27,487
- $101,359
- $201,131
- $58,619
- $126,281
- $14,745
- $56,418
- $113,486
- $82,777
- $46,212
- $79,473
- $79,406
- $47,171
- $52,439
- $43,628
- $38,834
- $41,134
- $15,481
- $7,712
- $59,799
- $25,386

**Total prize funds without donor restrictions:**

- $96,440
- $36,973
- $78,701
- $118,654
- $27,487
- $101,359
- $201,131
- $58,619
- $126,281
- $14,745
- $56,418
- $113,486
- $82,777
- $46,212
- $79,473
- $79,406
- $47,171
- $52,439
- $43,628
- $38,834
- $41,134
- $15,481
- $7,712
- $59,799
- $25,386

**Total prize funds:** $1,880,862
### AMERICAN HISTORICAL ASSOCIATION

**SCHEDULE OF NET ASSETS BY CLASSIFICATION**

**Year Ended June 30, 2020**

#### Net Investment Income, Realized Gains, and Unrealized Losses

<table>
<thead>
<tr>
<th>Special Funds</th>
<th>Total</th>
<th>Additions</th>
<th>Expense</th>
<th>Transfers</th>
<th>With Donor Restrictions</th>
<th>Without Donor Restrictions</th>
</tr>
</thead>
<tbody>
<tr>
<td>AHA Council Travel Support Fund</td>
<td>5,732</td>
<td>10,635</td>
<td>(7,830)</td>
<td>-</td>
<td>-</td>
<td>8,537</td>
</tr>
<tr>
<td>PBK AM Travel Support Fund</td>
<td>200</td>
<td>1,440</td>
<td>(1,640)</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>AHA Historians Relief Fund</td>
<td>-</td>
<td>3,395</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>3,395</td>
</tr>
<tr>
<td>NISS AM Travel Fund</td>
<td>-</td>
<td>1,000</td>
<td>(1,000)</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Michael Kraus Fund</td>
<td>85,746</td>
<td>-</td>
<td>(2,880)</td>
<td>1,644</td>
<td>-</td>
<td>84,510</td>
</tr>
<tr>
<td>Albert J. Beveridge Memorial Fund</td>
<td>330,186</td>
<td>-</td>
<td>(12,340)</td>
<td>6,331</td>
<td>-</td>
<td>324,177</td>
</tr>
<tr>
<td>Jerry Bentley World History Travel Fund</td>
<td>66,585</td>
<td>2,000</td>
<td>(2,160)</td>
<td>1,277</td>
<td>-</td>
<td>67,702</td>
</tr>
<tr>
<td>David M. Matteson Fund</td>
<td>485,116</td>
<td>-</td>
<td>(15,745)</td>
<td>9,302</td>
<td>-</td>
<td>476,763</td>
</tr>
<tr>
<td>Littleton-Gins坞old Fund</td>
<td>174,205</td>
<td>-</td>
<td>(6,340)</td>
<td>3,340</td>
<td>-</td>
<td>171,205</td>
</tr>
<tr>
<td>Bernadotte Schmitt Endowment Fund</td>
<td>587,814</td>
<td>-</td>
<td>(23,200)</td>
<td>11,271</td>
<td>-</td>
<td>575,885</td>
</tr>
<tr>
<td>The AHA Endowment Fund</td>
<td>1,058,425</td>
<td>11,215</td>
<td>(111,214)</td>
<td>111,214</td>
<td>-</td>
<td>1,058,425</td>
</tr>
<tr>
<td>125th Anniversary Fund</td>
<td>55,984</td>
<td>-</td>
<td>50,000</td>
<td>4,338</td>
<td>-</td>
<td>54,536</td>
</tr>
<tr>
<td><strong>Total Special Funds</strong></td>
<td>2,849,992</td>
<td>29,685</td>
<td>(73,135)</td>
<td>54,536</td>
<td>-</td>
<td>2,285,193</td>
</tr>
</tbody>
</table>

**Grant Programs**

| National Aeronautics and Space Administration Fellowship Program | - | 50,000 | (50,000) | - | - | - |
| Mellon Foundation Grant - Career Diversity II | 674,548 | - | (375,854) | 11,046 | - | 309,740 |
| Mellon Foundation Grant - History Gateways | 1,429,435 | - | (410,244) | 6,057 | - | 1,025,248 |
| NEH Surveying the Past | - | 111,214 | (111,214) | - | - | - |
| Teagle Foundation-Chairs Webinar | - | 20,000 | (2,228) | - | - | 16,772 |
| Teagle Foundation-Gen ED | - | 50,000 | - | - | - | 50,000 |
| **Total grant programs** | 2,103,983 | 231,214 | (950,540) | 17,102 | - | 1,401,760 |

<table>
<thead>
<tr>
<th>Funds Without Donor Restrictions</th>
<th>Total</th>
<th>Additions</th>
<th>Expense</th>
<th>Transfers</th>
<th>With Donor Restrictions</th>
<th>Without Donor Restrictions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Working Capital Fund</td>
<td>226,238</td>
<td>-</td>
<td>4,338</td>
<td>-</td>
<td>(230,576)</td>
<td>-</td>
</tr>
<tr>
<td>AHR Database Reserve</td>
<td>57,043</td>
<td>-</td>
<td>1,402</td>
<td>-</td>
<td>-</td>
<td>58,445</td>
</tr>
<tr>
<td>AHA Building Renovation Fund</td>
<td>539,090</td>
<td>8,220</td>
<td>892</td>
<td>443,021</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Undesignated Funds Without Donor Restrictions</td>
<td>1,263,814</td>
<td>3,168,784</td>
<td>(2,286,389)</td>
<td>30,310</td>
<td>(212,445)</td>
<td>1,955,853</td>
</tr>
<tr>
<td><strong>Total funds without donor restrictions</strong></td>
<td>2,086,185</td>
<td>3,168,784</td>
<td>(3,277,612)</td>
<td>36,942</td>
<td>-</td>
<td>1,955,853</td>
</tr>
</tbody>
</table>

**Total net assets**

<table>
<thead>
<tr>
<th>Net Investment Income, Realized Gains, and Unrealized Losses</th>
<th>Total</th>
<th>Additions</th>
<th>Expense</th>
<th>Transfers</th>
<th>With Donor Restrictions</th>
<th>Without Donor Restrictions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Beginning of Year</strong></td>
<td>$8,898,620</td>
<td>$3,457,203</td>
<td>$(4,342,047)</td>
<td>$144,217</td>
<td>-</td>
<td>$5,567,815</td>
</tr>
<tr>
<td><strong>End of Year</strong></td>
<td>$3,457,203</td>
<td>$144,217</td>
<td>-</td>
<td>-</td>
<td>$5,567,815</td>
<td>$3,430,330</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$8,898,620</td>
<td>$3,457,203</td>
<td>$(4,342,047)</td>
<td>$144,217</td>
<td>-</td>
<td>$8,157,998</td>
</tr>
</tbody>
</table>
### AMERICAN HISTORICAL ASSOCIATION

**SCHEDULE OF NET ASSETS BY CLASSIFICATION**

**Year Ended June 30, 2019**

<table>
<thead>
<tr>
<th>Specific Use Funds:</th>
<th>Net Investment Income, Realized Gains, and Unrealized Losses</th>
<th>End of Year</th>
<th>Without Donor Restrictions</th>
<th>With Donor Restrictions</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Total</td>
<td>Additions</td>
<td>Expense</td>
<td>Transfers</td>
</tr>
<tr>
<td><strong>Prize Funds</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Joan Kelly Prize Fund</td>
<td>$93,257</td>
<td>-</td>
<td>(1,912)</td>
<td>$4,879</td>
</tr>
<tr>
<td>James H. Breasted Fund</td>
<td>33,834</td>
<td>-</td>
<td>(1,284)</td>
<td>1,770</td>
</tr>
<tr>
<td>Beveridge Family Prize Fund</td>
<td>35,783</td>
<td>-</td>
<td>(200)</td>
<td>1,872</td>
</tr>
<tr>
<td>Friedrich Katz Prize Fund</td>
<td>76,684</td>
<td>467</td>
<td>(1,715)</td>
<td>5,936</td>
</tr>
<tr>
<td>Bentley Prize for World History</td>
<td>113,444</td>
<td>476</td>
<td>(1,947)</td>
<td>9,139</td>
</tr>
<tr>
<td>Wesley-Logan Prize Fund</td>
<td>25,593</td>
<td>366</td>
<td>(693)</td>
<td>1,339</td>
</tr>
<tr>
<td>Martin A. Klein Prize Fund</td>
<td>97,823</td>
<td>-</td>
<td>(1,900)</td>
<td>5,118</td>
</tr>
<tr>
<td>William Gilbert Prize Fund</td>
<td>201,106</td>
<td>-</td>
<td>(8,025)</td>
<td>10,522</td>
</tr>
<tr>
<td>Dorothy Rosenberg Prize Fund</td>
<td>57,271</td>
<td>-</td>
<td>(1,240)</td>
<td>2,997</td>
</tr>
<tr>
<td>Albert Corey Prize Fund</td>
<td>118,761</td>
<td>-</td>
<td>(3,070)</td>
<td>6,214</td>
</tr>
<tr>
<td>Herbert Feis Prize Fund</td>
<td>201,348</td>
<td>285</td>
<td>(527)</td>
<td>750</td>
</tr>
<tr>
<td>Clarence H. Haring Prize Fund</td>
<td>52,638</td>
<td>-</td>
<td>-</td>
<td>2,754</td>
</tr>
<tr>
<td>George Louis Beer Prize Fund</td>
<td>108,205</td>
<td>-</td>
<td>(1,902)</td>
<td>5,662</td>
</tr>
<tr>
<td>Howard R. Marraro Prize Fund</td>
<td>35,578</td>
<td>-</td>
<td>(1,240)</td>
<td>5,270</td>
</tr>
<tr>
<td>Herbert Baxter Adams Prize Fund</td>
<td>35,772</td>
<td>-</td>
<td>(1,240)</td>
<td>2,875</td>
</tr>
<tr>
<td>J. Russell Major Prize Fund</td>
<td>59,629</td>
<td>-</td>
<td>(1,240)</td>
<td>3,120</td>
</tr>
<tr>
<td>James A. Rawley Award</td>
<td>62,998</td>
<td>-</td>
<td>(1,635)</td>
<td>3,296</td>
</tr>
<tr>
<td>John E. Richards Prize Fund</td>
<td>80,484</td>
<td>-</td>
<td>(1,690)</td>
<td>4,211</td>
</tr>
<tr>
<td>John H. Dunning Prize Fund</td>
<td>44,772</td>
<td>-</td>
<td>-</td>
<td>2,343</td>
</tr>
<tr>
<td>John K. Fairbank Prize Fund</td>
<td>77,461</td>
<td>-</td>
<td>(1,690)</td>
<td>4,053</td>
</tr>
<tr>
<td>Leo Gershoy Prize Fund</td>
<td>77,618</td>
<td>-</td>
<td>(1,740)</td>
<td>4,061</td>
</tr>
<tr>
<td>George L. Mosse Prize Fund</td>
<td>46,589</td>
<td>-</td>
<td>(1,240)</td>
<td>2,438</td>
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<tr>
<td>Morris D. Forkosch Prize Fund</td>
<td>51,887</td>
<td>-</td>
<td>(1,240)</td>
<td>2,715</td>
</tr>
<tr>
<td>Nancy Roelker Award</td>
<td>43,067</td>
<td>-</td>
<td>(1,240)</td>
<td>2,253</td>
</tr>
<tr>
<td>Paul Birdsall Prize Fund</td>
<td>37,197</td>
<td>-</td>
<td>(1,040)</td>
<td>1,946</td>
</tr>
<tr>
<td>Premio Del Rey Prize Fund</td>
<td>39,342</td>
<td>-</td>
<td>(1,040)</td>
<td>2,058</td>
</tr>
<tr>
<td>Andrew D. White Prize Fund</td>
<td>17,885</td>
<td>-</td>
<td>(1,040)</td>
<td>936</td>
</tr>
<tr>
<td>Dorothy Cunningham Fund</td>
<td>7,947</td>
<td>-</td>
<td>(500)</td>
<td>416</td>
</tr>
<tr>
<td>Palmegiano Prize Fund</td>
<td>58,531</td>
<td>1,000</td>
<td>(1,481)</td>
<td>3,063</td>
</tr>
<tr>
<td><strong>Total prize funds</strong></td>
<td>1,805,504</td>
<td>1,168</td>
<td>(42,675)</td>
<td>94,468</td>
</tr>
<tr>
<td>Special Funds</td>
<td>Total</td>
<td>Additions</td>
<td>Expense</td>
<td>Income, Realized Gains, and Unrealized Losses</td>
</tr>
<tr>
<td>---------------------------------------------------</td>
<td>-------------</td>
<td>-----------</td>
<td>---------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td>AHA Council Travel Support Fund</td>
<td>6,915</td>
<td>13,016</td>
<td>-</td>
<td>(14,200)</td>
</tr>
<tr>
<td>PBK AM Travel Support Fund</td>
<td>200</td>
<td>1,440</td>
<td>-</td>
<td>(1,440)</td>
</tr>
<tr>
<td>Michael Kraus Fund</td>
<td>84,219</td>
<td>-</td>
<td>(2,880)</td>
<td>4,407</td>
</tr>
<tr>
<td>Albert J. Beveridge Memorial Fund</td>
<td>324,451</td>
<td>-</td>
<td>(11,240)</td>
<td>16,976</td>
</tr>
<tr>
<td>Jerry Bentley World History Travel Fund</td>
<td>63,238</td>
<td>2,000</td>
<td>-</td>
<td>(1,960)</td>
</tr>
<tr>
<td>David M. Matteis Fund</td>
<td>474,890</td>
<td>-</td>
<td>(14,997)</td>
<td>25,223</td>
</tr>
<tr>
<td>Littleton-Griswold Fund</td>
<td>170,573</td>
<td>(5,290)</td>
<td>8,923</td>
<td>-</td>
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<tr>
<td>Bernadotte Schmitt Endowment Fund</td>
<td>577,474</td>
<td>(19,500)</td>
<td>29,839</td>
<td>-</td>
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<tr>
<td>The AHA Endowment Fund</td>
<td>992,111</td>
<td>4,877</td>
<td>-</td>
<td>61,435</td>
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<tr>
<td>125th Anniversary Fund</td>
<td>52,729</td>
<td>-</td>
<td>3,255</td>
<td>-</td>
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<tr>
<td><strong>Total special funds</strong></td>
<td><strong>2,746,799</strong></td>
<td><strong>21,333</strong></td>
<td>(71,507)</td>
<td><strong>153,367</strong></td>
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<tr>
<td><strong>Total specific use funds</strong></td>
<td><strong>4,552,303</strong></td>
<td><strong>22,501</strong></td>
<td>(114,162)</td>
<td><strong>247,835</strong></td>
</tr>
<tr>
<td><strong>Grant Programs</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>National Aeronautics and Space</td>
<td>-</td>
<td>25,000</td>
<td>-</td>
<td>(25,000)</td>
</tr>
<tr>
<td>Administration Fellowship Program</td>
<td>-</td>
<td>-</td>
<td>34,423</td>
<td>-</td>
</tr>
<tr>
<td>Mellon Foundation Grant - Career Diversity II</td>
<td>1,207,710</td>
<td>-</td>
<td>(567,585)</td>
<td>34,423</td>
</tr>
<tr>
<td>Mellon Foundation Grant - HBCU</td>
<td>35,289</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Mellon Foundation Grant - History Gateways</td>
<td>-</td>
<td>1,650,000</td>
<td>(234,584)</td>
<td>14,019</td>
</tr>
<tr>
<td><strong>Total grant programs</strong></td>
<td><strong>1,242,999</strong></td>
<td><strong>1,675,000</strong></td>
<td>(862,458)</td>
<td><strong>48,442</strong></td>
</tr>
<tr>
<td><strong>Funds Without Donor Restrictions</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Working Capital Fund</td>
<td>215,010</td>
<td>-</td>
<td>11,229</td>
<td>-</td>
</tr>
<tr>
<td>AHR Database Reserve</td>
<td>-</td>
<td>-</td>
<td>55,818</td>
<td>-</td>
</tr>
<tr>
<td>AHA Building Renovation Fund</td>
<td>-</td>
<td>109,360</td>
<td>(281,365)</td>
<td>11,095</td>
</tr>
<tr>
<td>Undesignated Funds Without Donor Restrictions</td>
<td>1,677,186</td>
<td>3,194,444</td>
<td>(2,949,881)</td>
<td>97,883</td>
</tr>
<tr>
<td><strong>Total funds without donor restrictions</strong></td>
<td><strong>1,892,196</strong></td>
<td><strong>3,303,804</strong></td>
<td>(3,231,246)</td>
<td><strong>121,432</strong></td>
</tr>
<tr>
<td><strong>Total net assets</strong></td>
<td><strong>$ 7,687,498</strong></td>
<td><strong>$ 5,001,305</strong></td>
<td><strong>$ (4,207,886)</strong></td>
<td><strong>$ 417,709</strong></td>
</tr>
</tbody>
</table>